

Lattice-based cryptography,  
day 1: simplicity

D. J. Bernstein

University of Illinois at Chicago;  
Ruhr University Bochum

## 2000 Cohen cryptosystem

Public key: vector of integers

$$K = (K_1, \dots, K_N) \in \{-X, \dots, X\}^N.$$

Encryption:

1. Input message  $m \in \{0, 1\}$ .
2. Generate  $r_1, \dots, r_N \in \{0, 1\}$ .  
i.e.  $r = (r_1, \dots, r_N) \in \{0, 1\}^N$ .

(Cohen says pick “half of the integers in the public key at random”: I guess this means  $N \in 2\mathbf{Z}$  and  $\sum r_i = N/2$ .)

3. Compute and send ciphertext  $C = (-1)^m (r_1 K_1 + \dots + r_N K_N)$ .

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Receiver says pick "half of the

bits in the public key at

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Receiver computes and send ciphertext

$$C = (r_1 K_1 + \dots + r_N K_N)^m.$$

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Let's try

Debian:

Fedora:

Source:

Web (using

[sagecell](#))

Sage is

+ many

+ a few

sage: 10

1000000

sage: f

31721350

sage:

2

system

of integers

$$) \in \{-X, \dots, X\}^N.$$

$$m \in \{0, 1\}.$$

$$, r_N \in \{0, 1\}.$$

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Let's try this on the

Debian: apt inst

Fedora: dnf inst

Source: [www.sagemath.org](http://www.sagemath.org)

Web (use print(  
[sagecell.sagemath.org](http://sagecell.sagemath.org)

Sage is Python 3

+ many math libra

+ a few syntax dif

```
sage: 10^6 # pow
```

```
1000000
```

```
sage: factor(314
```

```
317213509 * 9903
```

```
sage:
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```
sage: 10^6 # power, not x
```

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1000000
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317213509 * 990371647
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317213509 * 990371647
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```
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```

receiver decrypt?

operation:

$s \in \{1, \dots, Y\};$

$u_N \in \left\{ 0, \dots, \left\lfloor \frac{s-1}{2N} \right\rfloor \right\};$

$(\dots + s\mathbf{Z}) \cap \{-X, \dots, X\}.$

condition:

$C \bmod s \leq (s-1)/2;$

where  $m = 1.$

how it works:

$s = u_i \leq (s-1)/2N$  so

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Sage's "

outputs

Matches

$C \bmod s$

decrypt?

$\dots, Y\}$ ;  
 $\dots, \left\lfloor \frac{s-1}{2N} \right\rfloor\}$ ;  
 $\{-X, \dots, X\}$ .

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For integers  $C, s$  with  $s > 0$

Sage's " $C\%s$ " always produces outputs between 0 and  $s - 1$

Matches standard math definition

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Warning: For polynomials  $C$ ,  
Sage can make the same mistake.

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sage: Y=2^20
sage: Y
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sage:
```

For integers  $C$ ,  $s$  with  $s > 0$ ,  
Sage's " $C\%s$ " always produces  
outputs between 0 and  $s - 1$ .

Matches standard math definition:

$$C \bmod s = C - \lfloor C/s \rfloor s.$$

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$C < 0$  produces  $C\%s < 0$

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5

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6

```
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....:
....:
sage:
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6

```
sage: K=[ui+s*ra
.....:      ceil(
.....:      floor
.....:      for ui
sage:
```

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```
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```

sage: K=[ui+s*randrange(
.....:      ceil(-(X+ui)/s),
.....:      floor((X-ui)/s)+1)
.....:      for ui in u]
sage: K
[870056918917829,
 822006576592695,
-294765544345815,
-669275100080982,
 528958455221029,
 426006001074157,
-641940176080531,
 501543495923784,
-583064075392587,
 46109390243834]

```

```
=10
=2^50
=2^20

=randrange(1, Y+1)

=[randrange(
    (s-1)//(2*N)+1)
 for i in range(N)]

7039, 6945, 15890,
17333, 1397, 8656,
6370]
```

6

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 46109390243834]
```

7

```
sage: [1
[14485,
 10493,
 8213,
sage: u
[14485,
 10493,
 8213,
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```

6

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sage: [Ki%s for
[14485, 7039, 69
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```

```
sage: u
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 10493, 17333, 1
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```
e(1,Y+1)
ge(
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n range(N)]
45, 15890,
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```

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```
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```

```
96821
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sage: s//2
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179756
```

```
sage:
```

```
= [ui+s*randrange(  
    ceil(-(X+ui)/s),  
    floor((X-ui)/s)+1)  
for ui in u]
```

```
918917829,  
576592695,  
5544345815,  
5100080982,  
455221029,  
001074157,  
0176080531,  
495923784,  
4075392587,  
90243834]
```

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```
179756
```

```
sage:
```

8

```
sage: m=
```

```
sage:
```

7

```

ndrange(
-(X+ui)/s),
((X-ui)/s)+1)
in u]

```

```

,
,
5,
2,
,
,
1,
,
7,

```

```

sage: [Ki%s for Ki in K]
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10493, 17333, 1397, 8656,
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```

179756

```

```

sage:

```

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sage: m=randrang

```

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sage: C=(-1)^m*sum(r[i]*K[i]
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sage: C
-202215856043576
sage:

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.....:     for i in range(N))
sage: C
-202215856043576
sage: C%s
47024
sage:

```

```

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[14485, 7039, 6945, 15890,
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sage: u
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96821
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179756
sage:

```

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0
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.....:     for i in range(N))
47024
sage:

```

```
Ki%s for Ki in K]
7039, 6945, 15890,
17333, 1397, 8656,
6370]
7039, 6945, 15890,
17333, 1397, 8656,
6370]
sum(K)%s
sum(u)
//2
```

8

```
sage: m=randrange(2)
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sage: C=(-1)^m*sum(r[i]*K[i]
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sage:
```

9

Some pr

1. Funct  
System o  
that hav

8

Ki in K]  
 45, 15890,  
 397, 8656,  
 45, 15890,  
 397, 8656,

```
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sage:
```

9

Some problems with

1. Functionality problem  
 System can't encrypt messages  
 that have more than 256 bits

8

```

sage: m=randrange(2)
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.....:     for i in range(N)]
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```

9

## Some problems with cryptos

1. Functionality problem:  
System can't encrypt messages that have more than 1 bit.

```

sage: m=randrange(2)
sage: r=[randrange(2)
.....:     for i in range(N)]
sage: C=(-1)^m*sum(r[i]*K[i]
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sage:

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## Some problems with cryptosystem

1. Functionality problem:  
System can't encrypt messages that have more than 1 bit.

```

sage: m=randrange(2)
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47024
sage:

```

## Some problems with cryptosystem

1. Functionality problem:

System can't encrypt messages that have more than 1 bit.

2. Security problem:

We want cryptosystems to resist "chosen-ciphertext attacks" where attacker can see decryptions of other ciphertexts.

```

sage: m=randrange(2)
sage: r=[randrange(2)
.....:     for i in range(N)]
sage: C=(-1)^m*sum(r[i]*K[i]
.....:     for i in range(N))
sage: C
-202215856043576
sage: C%s
47024
sage: m
0
sage: sum(r[i]*u[i]
.....:     for i in range(N))
47024
sage:

```

## Some problems with cryptosystem

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856043576

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$B$ -bit input message

$$m = (m_1, \dots, m_B) \in \{0, 1\}^B.$$

For each  $i \in \{1, \dots, B\}$ :

Generate  $r_{i,1}, \dots, r_{i,N} \in \{0, 1\}$ .

Ciphertext  $C$ :

$$(-1)^{m_1} (r_{1,1}K_1 + \dots + r_{1,N}K_N),$$

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## Problems with cryptosystem

Confidentiality problem:

Can't encrypt messages  
more than 1 bit.

Integrity problem:

Not cryptosystems to resist

"-ciphertext attacks"

Attacker can see

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Ciphertext attack

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Subset-s

Attacker

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checks  $m$

against  $\dots$

This takes

e.g. 1024

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## Subset-sum attack

Attacker searches for  $(r_1, \dots, r_N)$ , checks  $r_1K_1 + \dots$  against  $\pm C_1$ .

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an example of “FO”, the  
Nishizeki–Okamoto transform.

Optimization: Generate  $r$

cryptographic hash  $H(m)$ ,

standard hash function  $H$ .

Output: Is  $m$  guessable?)

Encryption with reencryption:

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Modified

For each

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moto transform.

Generate  $r$

hash  $H(m)$ ,

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guessable?)

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(be  $C' \neq C$ .)

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$= H(m')$ .

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$C'$ .

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Finding 1% of all bits in all messages, huge information leak:  
total  $0.01 \cdot 2^N$  operations.

Sum attacks

searches all possibilities

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$r_1 K_1 + \dots + r_N K_N$

$\pm C_1$ .

requires  $2^N$  easy operations:

4 operations for  $N = 10$ .

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$$+ r_N K_N$$

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Apply this not just to  $B$  bits in one message, but all bits in all messages sent to this key.

Finding all bits in all messages:  
total  $2^N$  operations.

Finding 1% of all bits in all messages, huge information leak:  
total  $0.01 \cdot 2^N$  operations.

“We can stop attacks by taking  $N = 128$ , and changing keys every day, and applying all-or-nothing transform to each message.”

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Make hash table containing  $C - r_{N/2+1} K_{N/2+1} - \dots - r_N K_N$  for all  $(r_{N/2+1}, \dots, r_N)$ .

Look up  $r_1 K_1 + \dots + r_{N/2} K_{N/2}$  in hash table for each  $(r_1, \dots, r_{N/2})$ .

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 of the keys  
 and the target  
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Standard subset-sum attacks require  $2^{N/2}$  operations

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$$r_1 K_1 + \dots + r_N K_N = C.$$

Hash table containing

$$r_{N/2+1} K_{N/2+1} - \dots - r_N K_N$$

$$(r_{N/2+1}, \dots, r_N).$$

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Quantum attacks: various papers.

Multi-target speedups: probably!

Attacks exploit linear structure of problem to convert single target  $C$  into many targets.

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Other attacks: various papers.

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## Homomorphisms

If  $u_i/s \in \mathbf{Z}$

DGHV scheme

Braham–Joux:

operations. 2011  
 ction:  $2^{0.337N}$ .

n–Joux:

s.  
 $87N$  operations.

claimed  $2^{0.255N}$   
 thdrew claim.

Bricout–

en:  $2^{0.283N}$ .

various papers.

lups: probably!

## Variants of cryptosystem

2003 Regev: Cohen cryptosystem  
 (without credit), but replace  
 $(-1)^m(r_1K_1 + \cdots + r_NK_N)$  with  
 $m(K_1/2) + r_1K_1 + \cdots + r_NK_N$ .

To make this work,  
 modify keygen to force  $K_1 \in 2\mathbf{Z}$   
 and  $(K_1 - u_1)/s \in 1 + 2\mathbf{Z}$ .  
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 Vaikuntanathan:  $K_i \in 2u_i + s\mathbf{Z}$ ;  
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sage: N=

sage:

system

en cryptosystem

out replace

$+ r_N K_N$ ) with

$+ \dots + r_N K_N$ .

$K$ ,

force  $K_1 \in 2\mathbf{Z}$

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ntry–Halevi–

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sage:

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sage: N=10

sage: E=2^10

sage:

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sage: E=2^10

sage: Y=2^50

sage:

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```
sage: N=10
```

```
sage: E=2^10
```

```
sage: Y=2^50
```

```
sage: X=2^80
```

```
sage: s=1+2*randrange(Y/4, Y/2)
```

```
sage: s
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```
984887308997925
```

```
sage:
```

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984887308997925
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```
sage: u=[randrange(E)
```

```
.....:     for i in range(N)]
```

```
sage: u
```

```
[247, 418, 365, 738, 123, 735,
 772, 209, 673, 47]
```

```
sage:
```

homomorphic encryption

is small enough then 2009  
system is homomorphic.

to ciphertexts:

$$+ 2\epsilon + sq,$$

$$+ 2\epsilon' + sq'$$

all  $\epsilon, \epsilon' \in \mathbf{Z}$ .

$$= m + m' + 2(\epsilon + \epsilon') +$$

). This decrypts to

mod 2 if  $\epsilon + \epsilon'$  is small.

$$mm' + 2(\epsilon m' + \epsilon' m + 2\epsilon\epsilon') +$$

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```
sage: N=10
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```
sage:
```

```
sage:
```

ryption

ough then 2009  
omomorphic.

xts:

$q'$

$Z$ .

$+ 2(\epsilon + \epsilon') +$

decrypts to

$+ \epsilon'$  is small.

$n' + \epsilon' m + 2\epsilon\epsilon')$  +

pts to

$+ 2\epsilon\epsilon'$  is small.

```
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```
sage:
```

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sage:
```

2009

hic.

) +

small.

 $2\epsilon\epsilon')$  +

small.

```

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sage: K
[587473338058640662659869,
 -1111539179100720083770339,
 794301459533783434896055,
 68817802108374958901751,
 742362470968200823035396,
 1023345827831539515054795,
 -357168679398558876730006,
 1121421619119964601051443,
 -1109674862276222495587129,
 -235628937785003770523381]

```

```

=10
=2^10
=2^50
=2^80
=1+2*randrange(Y/4,Y/2)

08997925
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18, 365, 738, 123, 735,
09, 673, 47]

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 -357168679398558876730006,
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 -1109674862276222495587129,
 -235628937785003770523381]
sage: m=
sage: r=
....:
sage:

```

```
range(Y/4, Y/2)
```

```
ge(E)
```

```
n range(N)]
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```
738, 123, 735,
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```
47]
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```

```
sage: m=randrang
```

```
sage: r=[randran
```

```
....:     for i i
```

```
sage:
```

```
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```

```
sage: m=randrange(2)
sage: r=[randrange(2)
....:     for i in range(N)]
sage: C=m+sum(r[i]*K[i]
....:     for i in range(N))
```

```
sage: C
```

```
2094088748748247210016703
```

```
sage:
```

```
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794301459533783434896055,
68817802108374958901751,
742362470968200823035396,
1023345827831539515054795,
-357168679398558876730006,
1121421619119964601051443,
-1109674862276222495587129,
-235628937785003770523381]
```

```
sage: m=randrange(2)
sage: r=[randrange(2)
....:     for i in range(N)]
sage: C=m+sum(r[i]*K[i]
....:     for i in range(N))
```

```
sage: C
```

```
2094088748748247210016703
```

```
sage: C%s
```

```
2703
```

```
sage:
```

```
sage: K=[2*ui+s*randrange(
....:     ceil(-(X+2*ui)/s),
....:     floor((X-2*ui)/s)+1)
....:     for ui in u]
```

```
sage: K
```

```
[587473338058640662659869,
-1111539179100720083770339,
794301459533783434896055,
68817802108374958901751,
742362470968200823035396,
1023345827831539515054795,
-357168679398558876730006,
1121421619119964601051443,
-1109674862276222495587129,
-235628937785003770523381]
```

```
sage: m=randrange(2)
sage: r=[randrange(2)
....:     for i in range(N)]
sage: C=m+sum(r[i]*K[i]
....:     for i in range(N))
```

```
sage: C
```

```
2094088748748247210016703
```

```
sage: C%s
```

```
2703
```

```
sage: (C%s)%2
```

```
1
```

```
sage:
```

```
sage: K=[2*ui+s*randrange(
....:     ceil(-(X+2*ui)/s),
....:     floor((X-2*ui)/s)+1)
....:     for ui in u]
```

```
sage: K
```

```
[587473338058640662659869,
-1111539179100720083770339,
794301459533783434896055,
68817802108374958901751,
742362470968200823035396,
1023345827831539515054795,
-357168679398558876730006,
1121421619119964601051443,
-1109674862276222495587129,
-235628937785003770523381]
```

```
sage: m=randrange(2)
sage: r=[randrange(2)
....:     for i in range(N)]
sage: C=m+sum(r[i]*K[i]
....:     for i in range(N))
```

```
sage: C
```

```
2094088748748247210016703
```

```
sage: C%s
```

```
2703
```

```
sage: (C%s)%2
```

```
1
```

```
sage: m
```

```
1
```

```
sage:
```

```
= [2*ui+s*randrange(
    ceil(-(X+2*ui)/s),
    floor((X-2*ui)/s)+1)
for ui in u]
```

```
338058640662659869,
39179100720083770339,
459533783434896055,
02108374958901751,
470968200823035396,
5827831539515054795,
8679398558876730006,
1619119964601051443,
74862276222495587129,
8937785003770523381]
```

```
sage: m=randrange(2)
sage: r=[randrange(2)
....:     for i in range(N)]
sage: C=m+sum(r[i]*K[i]
....:     for i in range(N))
sage: C
2094088748748247210016703
sage: C%s
2703
sage: (C%s)%2
1
sage: m
1
sage:
```

```
sage: m
sage: r
....:
sage:
```

21

```
randrange(
-(X+2*ui)/s),
((X-2*ui)/s)+1)
in u]
```

```
662659869,
20083770339,
434896055,
58901751,
823035396,
9515054795,
8876730006,
4601051443,
22495587129,
3770523381]
```

```
sage: m=randrange(2)
sage: r=[randrange(2)
.....:     for i in range(N)]
sage: C=m+sum(r[i]*K[i]
.....:     for i in range(N))
sage: C
2094088748748247210016703
sage: C%s
2703
sage: (C%s)%2
1
sage: m
1
sage:
```

22

```
sage: m2=randran
sage: r2=[randra
.....:     for i
sage:
```

21

```
(  
/s),  
/s)+1)  
  
,  
39,  
,  
  
,  
5,  
6,  
3,  
29,  
1]
```

```
sage: m=randrange(2)  
sage: r=[randrange(2)  
.....:     for i in range(N)]  
sage: C=m+sum(r[i]*K[i]  
.....:     for i in range(N))  
sage: C  
2094088748748247210016703  
sage: C%s  
2703  
sage: (C%s)%2  
1  
sage: m  
1  
sage:
```

22

```
sage: m2=randrange(2)  
sage: r2=[randrange(2)  
.....:     for i in range(  
sage:
```

```
sage: m=randrange(2)
sage: r=[randrange(2)
.....:     for i in range(N)]
sage: C=m+sum(r[i]*K[i]
.....:     for i in range(N))
sage: C
2094088748748247210016703
sage: C%s
2703
sage: (C%s)%2
1
sage: m
1
sage:
```

```
sage: m2=randrange(2)
sage: r2=[randrange(2)
.....:     for i in range(N)]
sage:
```

```
sage: m=randrange(2)
sage: r=[randrange(2)
.....:     for i in range(N)]
sage: C=m+sum(r[i]*K[i]
.....:     for i in range(N))
sage: C
2094088748748247210016703
sage: C%s
2703
sage: (C%s)%2
1
sage: m
1
sage:
```

```
sage: m2=randrange(2)
sage: r2=[randrange(2)
.....:     for i in range(N)]
sage: C2=m2+sum(r2[i]*K[i]
.....:     for i in range(N))
sage: C2
-51722353737982737270129
sage:
```

```

sage: m=randrange(2)
sage: r=[randrange(2)
.....:     for i in range(N)]
sage: C=m+sum(r[i]*K[i]
.....:     for i in range(N))
sage: C
2094088748748247210016703
sage: C%s
2703
sage: (C%s)%2
1
sage: m
1
sage:

```

```

sage: m2=randrange(2)
sage: r2=[randrange(2)
.....:     for i in range(N)]
sage: C2=m2+sum(r2[i]*K[i]
.....:     for i in range(N))
sage: C2
-51722353737982737270129
sage: C2%s
4971
sage:

```

```

sage: m=randrange(2)
sage: r=[randrange(2)
.....:     for i in range(N)]
sage: C=m+sum(r[i]*K[i]
.....:     for i in range(N))
sage: C
2094088748748247210016703
sage: C%s
2703
sage: (C%s)%2
1
sage: m
1
sage:

```

```

sage: m2=randrange(2)
sage: r2=[randrange(2)
.....:     for i in range(N)]
sage: C2=m2+sum(r2[i]*K[i]
.....:     for i in range(N))
sage: C2
-51722353737982737270129
sage: C2%s
4971
sage: (C2%s)%2
1
sage:

```

```

sage: m=randrange(2)
sage: r=[randrange(2)
.....:     for i in range(N)]
sage: C=m+sum(r[i]*K[i]
.....:     for i in range(N))
sage: C
2094088748748247210016703
sage: C%s
2703
sage: (C%s)%2
1
sage: m
1
sage:

```

```

sage: m2=randrange(2)
sage: r2=[randrange(2)
.....:     for i in range(N)]
sage: C2=m2+sum(r2[i]*K[i]
.....:     for i in range(N))
sage: C2
-51722353737982737270129
sage: C2%s
4971
sage: (C2%s)%2
1
sage: m2
1
sage:

```

22

```

m=randrange(2)
r=[randrange(2)
   for i in range(N)]
m+m+sum(r[i]*K[i]
        for i in range(N))

```

748748247210016703

%s

(C%s)%2

23

```

sage: m2=randrange(2)
sage: r2=[randrange(2)
...:      for i in range(N)]
sage: C2=m2+sum(r2[i]*K[i]
...:            for i in range(N))

```

sage: C2

-51722353737982737270129

sage: C2%s

4971

sage: (C2%s)%2

1

sage: m2

1

sage:

sage: (

7674

sage: (

1343661

sage:

22

```

e(2)
ge(2)
n range(N)]
i]*K[i]
n range(N))

```

210016703

```

sage: m2=randrange(2)
sage: r2=[randrange(2)
.....:      for i in range(N)]
sage: C2=m2+sum(r2[i]*K[i]
.....:      for i in range(N))
sage: C2
-51722353737982737270129
sage: C2%s
4971
sage: (C2%s)%2
1
sage: m2
1
sage:

```

23

```

sage: (C+C2)%s
7674
sage: (C*C2)%s
13436613
sage:

```

22

```

sage: m2=randrange(2)
sage: r2=[randrange(2)
.....:      for i in range(N)]
sage: C2=m2+sum(r2[i]*K[i]
.....:      for i in range(N))
sage: C2
-51722353737982737270129
sage: C2%s
4971
sage: (C2%s)%2
1
sage: m2
1
sage:

```

23

```

sage: (C+C2)%s
7674
sage: (C*C2)%s
13436613
sage:

```

```
sage: m2=randrange(2)
sage: r2=[randrange(2)
.....:      for i in range(N)]
sage: C2=m2+sum(r2[i]*K[i]
.....:      for i in range(N))
sage: C2
-51722353737982737270129
sage: C2%s
4971
sage: (C2%s)%2
1
sage: m2
1
sage:
```

```
sage: (C+C2)%s
7674
sage: (C*C2)%s
13436613
sage:
```

```

sage: m2=randrange(2)
sage: r2=[randrange(2)
.....:      for i in range(N)]
sage: C2=m2+sum(r2[i]*K[i]
.....:      for i in range(N))
sage: C2
-51722353737982737270129
sage: C2%s
4971
sage: (C2%s)%2
1
sage: m2
1
sage:

```

```

sage: (C+C2)%s
7674
sage: (C*C2)%s
13436613
sage:

```

Because  $C \bmod s$  and  $C' \bmod s$  are small enough compared to  $s$ , have  $C + C' \bmod s = (C \bmod s) + (C' \bmod s)$  and  $CC' \bmod s = (C \bmod s)(C' \bmod s)$ .

```

sage: m2=randrange(2)
sage: r2=[randrange(2)
.....:      for i in range(N)]
sage: C2=m2+sum(r2[i]*K[i]
.....:      for i in range(N))
sage: C2
-51722353737982737270129
sage: C2%s
4971
sage: (C2%s)%2
1
sage: m2
1
sage:

```

```

sage: (C+C2)%s
7674
sage: (C*C2)%s
13436613
sage:

```

Because  $C \bmod s$  and  $C' \bmod s$  are small enough compared to  $s$ , have  $C + C' \bmod s = (C \bmod s) + (C' \bmod s)$  and  $CC' \bmod s = (C \bmod s)(C' \bmod s)$ .

Refinements: add more noise to ciphertexts, bootstrap (2009 Gentry) to control noise, etc.

```

2=randrange(2)
r2=[randrange(2)
     for i in range(N)]
m2=m+sum(r2[i]*K[i]
         for i in range(N))
C2=(m2+sum(r2[i]*K[i]
          for i in range(N)))%s
C2%2

```

2

```
sage: (C+C2)%s
```

7674

```
sage: (C*C2)%s
```

13436613

```
sage:
```

Because  $C \bmod s$  and  $C' \bmod s$  are small enough compared to  $s$ , have  $C + C' \bmod s = (C \bmod s) + (C' \bmod s)$  and  $CC' \bmod s = (C \bmod s)(C' \bmod s)$ .

Refinements: add more noise to ciphertexts, bootstrap (2009 Gentry) to control noise, etc.

```

ge(2)
nge(2)
in range(N)]
r2[i]*K[i]
in range(N))

37270129

```

```

sage: (C+C2)%s
7674
sage: (C*C2)%s
13436613
sage:

```

Because  $C \bmod s$  and  $C' \bmod s$  are small enough compared to  $s$ , have  $C + C' \bmod s = (C \bmod s) + (C' \bmod s)$  and  $CC' \bmod s = (C \bmod s)(C' \bmod s)$ .

Refinements: add more noise to ciphertexts, bootstrap (2009 Gentry) to control noise, etc.

sage:  $(C+C2)\%s$

7674

sage:  $(C*C2)\%s$

13436613

sage:

Because  $C \bmod s$  and  $C' \bmod s$  are small enough compared to  $s$ , have  $C + C' \bmod s = (C \bmod s) + (C' \bmod s)$  and  $CC' \bmod s = (C \bmod s)(C' \bmod s)$ .

Refinements: add more noise to ciphertexts, bootstrap (2009 Gentry) to control noise, etc.

## Lattices

```
sage: (C+C2)%s
```

```
7674
```

```
sage: (C*C2)%s
```

```
13436613
```

```
sage:
```

Because  $C \bmod s$  and  $C' \bmod s$  are small enough compared to  $s$ , have  $C + C' \bmod s = (C \bmod s) + (C' \bmod s)$  and  $CC' \bmod s = (C \bmod s)(C' \bmod s)$ .

Refinements: add more noise to ciphertexts, bootstrap (2009 Gentry) to control noise, etc.

## Lattices

```
sage: (C+C2)%s
```

```
7674
```

```
sage: (C*C2)%s
```

```
13436613
```

```
sage:
```

Because  $C \bmod s$  and  $C' \bmod s$  are small enough compared to  $s$ , have  $C + C' \bmod s = (C \bmod s) + (C' \bmod s)$  and  $CC' \bmod s = (C \bmod s)(C' \bmod s)$ .

Refinements: add more noise to ciphertexts, bootstrap (2009 Gentry) to control noise, etc.

## Lattices

This is a lettuce:



```
sage: (C+C2)%s
```

```
7674
```

```
sage: (C*C2)%s
```

```
13436613
```

```
sage:
```

Because  $C \bmod s$  and  $C' \bmod s$  are small enough compared to  $s$ , have  $C + C' \bmod s = (C \bmod s) + (C' \bmod s)$  and  $CC' \bmod s = (C \bmod s)(C' \bmod s)$ .

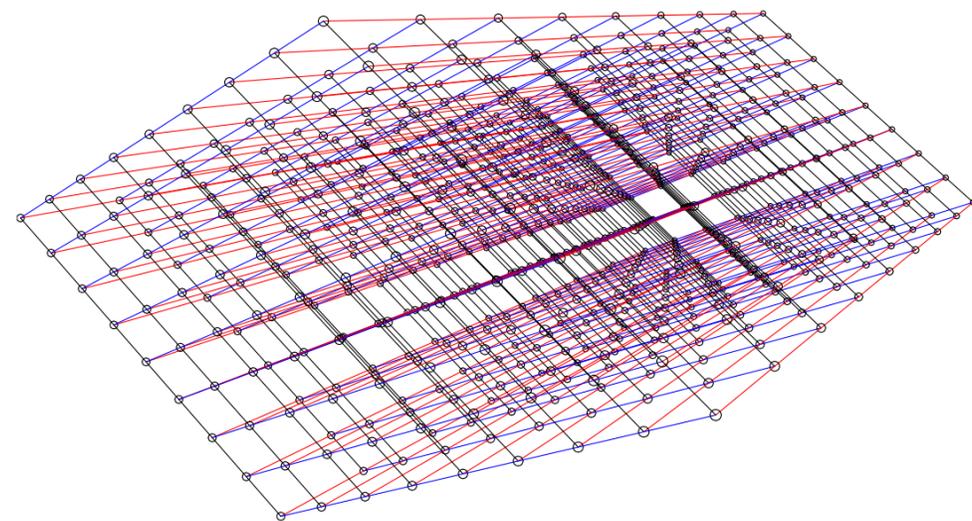
Refinements: add more noise to ciphertexts, bootstrap (2009 Gentry) to control noise, etc.

## Lattices

This is a lettuce:



This is a lattice:



$(C+C2)\%s$

$(C*C2)\%s$

3

$C \bmod s$  and  $C' \bmod s$   
 are small enough compared to  $s$ ,  
 $(C + C') \bmod s = (C \bmod s) + (C' \bmod s)$   
 and  $CC' \bmod s = (C \bmod s)(C' \bmod s)$ .

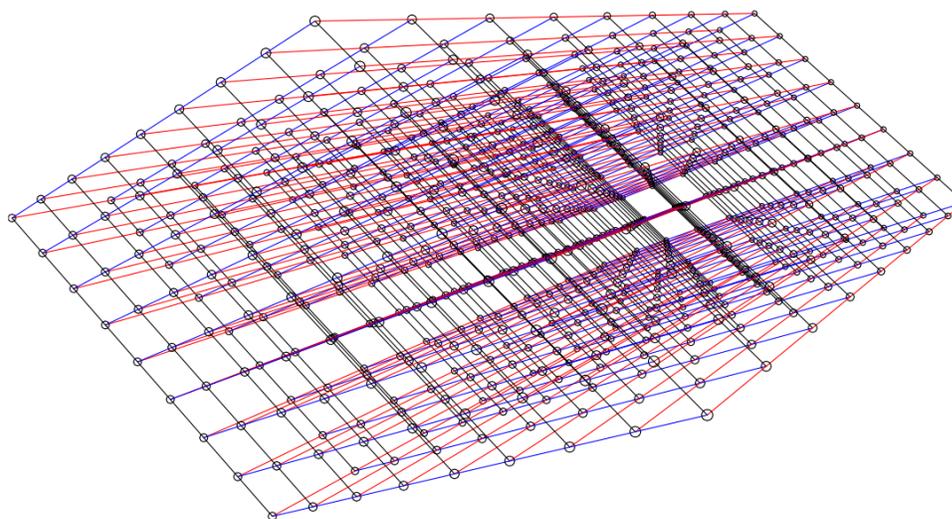
Techniques: add more noise  
 to contexts, bootstrap (2009)  
 to control noise, etc.

## Lattices

This is a lettuce:



This is a lattice:



## Lattices,

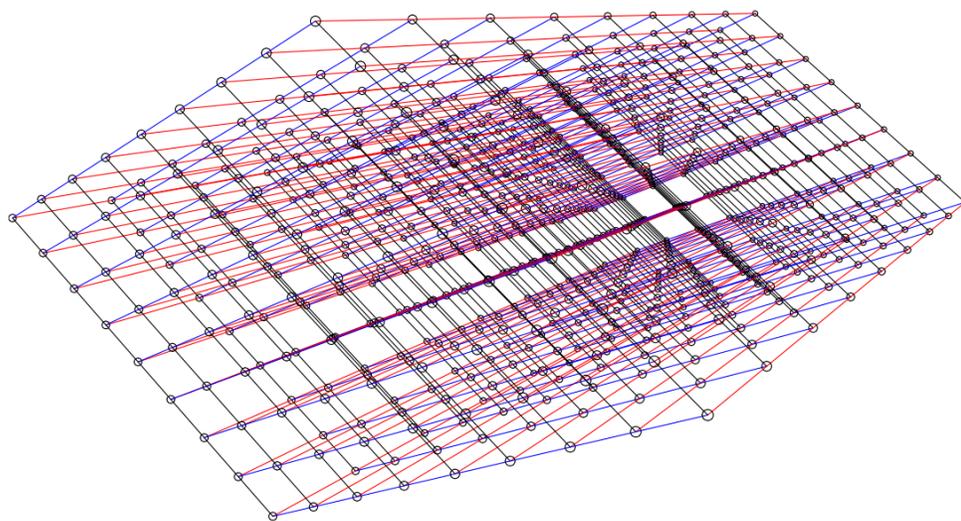
Assume  
 are  $\mathbf{R}$ -lin  
 i.e.,  $\mathbf{R}V_1$   
 $\{r_1V_1 +$   
 is a  $D$ -d

## Lattices

This is a lettuce:



This is a lattice:



and  $C' \bmod s$   
 compared to  $s$ ,  
 $s = (C \bmod s) +$   
 $C' \bmod s =$   
 $(C + C') \bmod s$ .

more noise  
 bootstrap (2009  
 noise, etc.

## Lattices, mathema

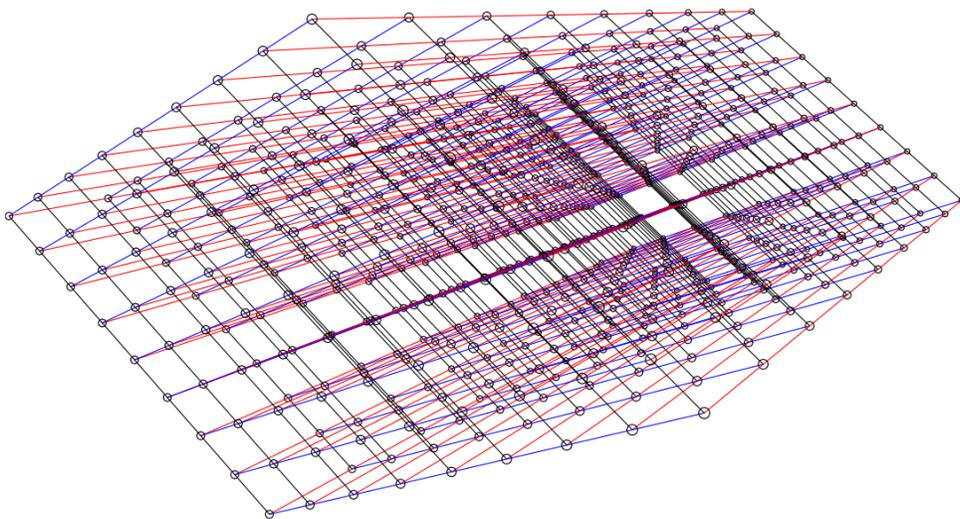
Assume that  $V_1, \dots, V_D$   
 are  $\mathbf{R}$ -linearly inde  
 i.e.,  $\mathbf{R}V_1 + \dots + \mathbf{R}V_D$   
 $\{r_1V_1 + \dots + r_DV_D\}$   
 is a  $D$ -dimensional

## Lattices

This is a lettuce:



This is a lattice:



## Lattices, mathematically

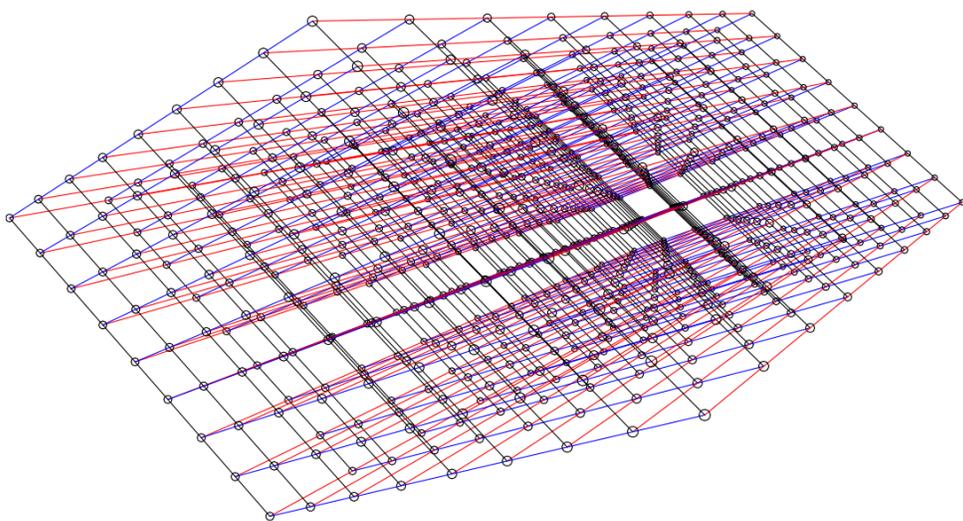
Assume that  $V_1, \dots, V_D \in \mathbf{R}^D$  are  $\mathbf{R}$ -linearly independent, i.e.,  $\mathbf{R}V_1 + \dots + \mathbf{R}V_D = \{r_1V_1 + \dots + r_DV_D : r_1, \dots, r_D \in \mathbf{R}\}$  is a  $D$ -dimensional vector space.

## Lattices

This is a lettuce:



This is a lattice:



## Lattices, mathematically

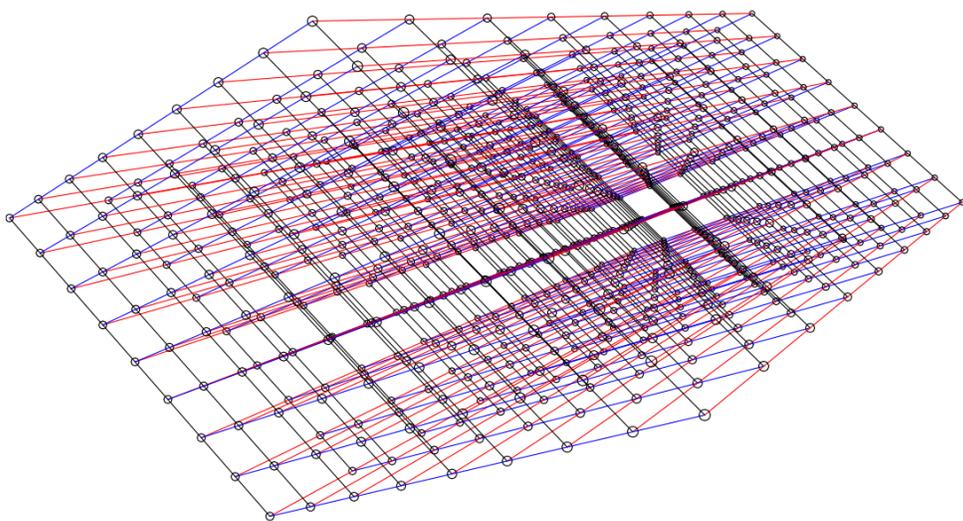
Assume that  $V_1, \dots, V_D \in \mathbf{R}^N$  are  $\mathbf{R}$ -linearly independent, i.e.,  $\mathbf{R}V_1 + \dots + \mathbf{R}V_D = \{r_1V_1 + \dots + r_DV_D : r_1, \dots, r_D \in \mathbf{R}\}$  is a  $D$ -dimensional vector space.

## Lattices

This is a lettuce:



This is a lattice:



## Lattices, mathematically

Assume that  $V_1, \dots, V_D \in \mathbf{R}^N$  are  $\mathbf{R}$ -linearly independent, i.e.,  $\mathbf{R}V_1 + \dots + \mathbf{R}V_D = \{r_1V_1 + \dots + r_DV_D : r_1, \dots, r_D \in \mathbf{R}\}$  is a  $D$ -dimensional vector space.

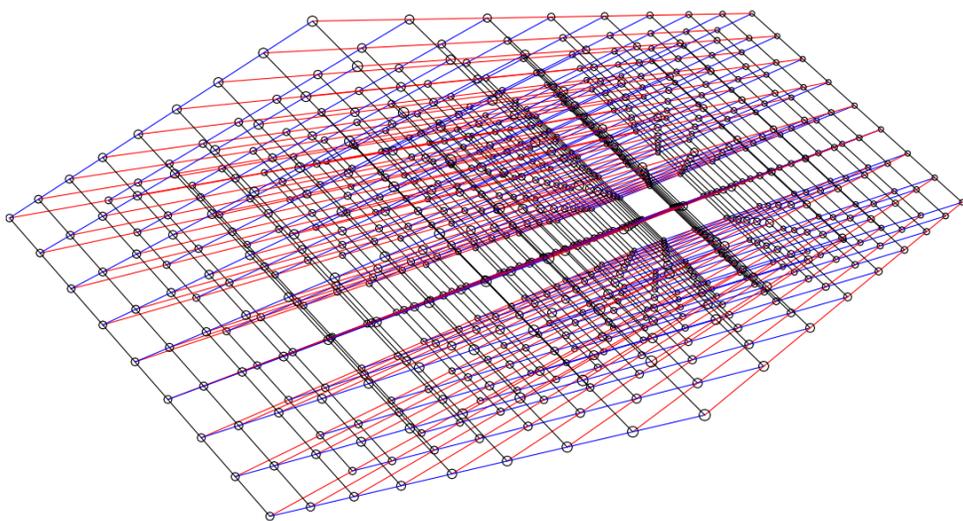
$\mathbf{Z}V_1 + \dots + \mathbf{Z}V_D = \{r_1V_1 + \dots + r_DV_D : r_1, \dots, r_D \in \mathbf{Z}\}$  is a rank- $D$  length- $N$  **lattice**.

## Lattices

This is a lettuce:



This is a lattice:



## Lattices, mathematically

Assume that  $V_1, \dots, V_D \in \mathbf{R}^N$  are  $\mathbf{R}$ -linearly independent, i.e.,  $\mathbf{R}V_1 + \dots + \mathbf{R}V_D = \{r_1V_1 + \dots + r_DV_D : r_1, \dots, r_D \in \mathbf{R}\}$  is a  $D$ -dimensional vector space.

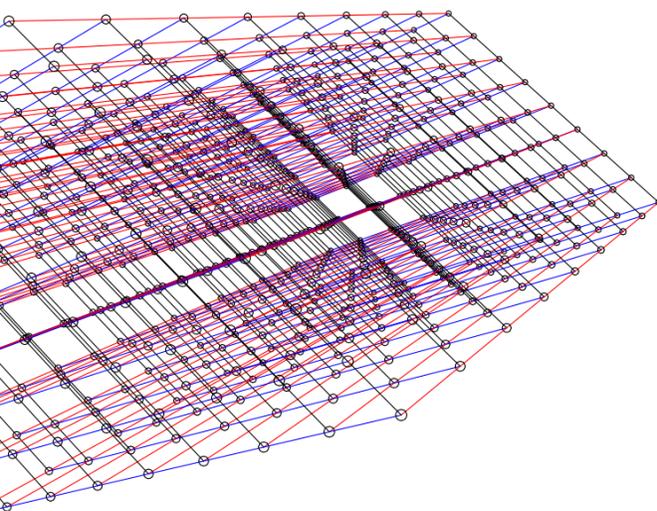
$\mathbf{Z}V_1 + \dots + \mathbf{Z}V_D = \{r_1V_1 + \dots + r_DV_D : r_1, \dots, r_D \in \mathbf{Z}\}$  is a rank- $D$  length- $N$  **lattice**.

$V_1, \dots, V_D$  is a **basis** of this lattice.

a lettuce:



a lattice:



## Lattices, mathematically

Assume that  $V_1, \dots, V_D \in \mathbf{R}^N$  are  $\mathbf{R}$ -linearly independent, i.e.,  $\mathbf{R}V_1 + \dots + \mathbf{R}V_D = \{r_1V_1 + \dots + r_DV_D : r_1, \dots, r_D \in \mathbf{R}\}$  is a  $D$ -dimensional vector space.

$\mathbf{Z}V_1 + \dots + \mathbf{Z}V_D = \{r_1V_1 + \dots + r_DV_D : r_1, \dots, r_D \in \mathbf{Z}\}$  is a rank- $D$  length- $N$  **lattice**.

$V_1, \dots, V_D$  is a **basis** of this lattice.

## Short ve

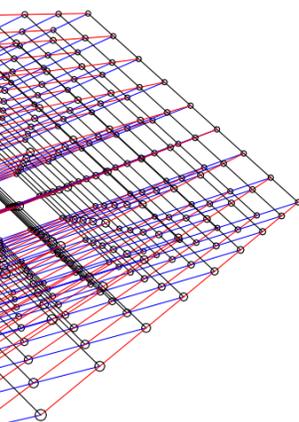
Given  $V_1$   
what is s  
in  $L = \mathbf{Z}$

## Lattices, mathematically

Assume that  $V_1, \dots, V_D \in \mathbf{R}^N$   
 are  $\mathbf{R}$ -linearly independent,  
 i.e.,  $\mathbf{R}V_1 + \dots + \mathbf{R}V_D =$   
 $\{r_1V_1 + \dots + r_DV_D : r_1, \dots, r_D \in \mathbf{R}\}$   
 is a  $D$ -dimensional vector space.

$\mathbf{Z}V_1 + \dots + \mathbf{Z}V_D =$   
 $\{r_1V_1 + \dots + r_DV_D : r_1, \dots, r_D \in \mathbf{Z}\}$   
 is a rank- $D$  length- $N$  **lattice**.

$V_1, \dots, V_D$   
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## Short vectors in la

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Is this true? Open: What’s the exponent of this algorithm?

Sum lattices

to find  $(r_1, \dots, r_N)$

$$= r_1 K_1 + \dots + r_N K_N:$$

$\lambda$ . Define

$$C, 0, 0, \dots, 0),$$

$$1, \lambda, 0, \dots, 0),$$

$$2, 0, \lambda, \dots, 0),$$

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$$V_N = (0, 0, 0, \dots, -K_1).$$

Define  $L = \mathbf{Z}V_1 + \dots + \mathbf{Z}V_N$ .

$L$  contains  $q_1 V_1 + \dots + q_N V_N =$

$$(q_1 E, q_1 K_2 - q_2 K_1, \dots) =$$

$$(q_1 E, 2q_1 u_2 - 2q_2 u_1, \dots).$$

sage: `V=matrix.i`

sage:

## Lattice attacks on DGHV keys

Recall  $K_i = 2u_i + sq_i \approx sq_i$ .

Each  $u_i$  is small:  $u_i < E$ .

Note  $q_j K_i - q_i K_j = 2q_j u_i - 2q_i u_j$ .

Define

$$V_1 = (E, K_2, K_3, \dots, K_N);$$

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sage: `V=matrix.identity(N)`

sage:

## Lattice attacks on DGHV keys

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```
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```

```
sage:
```

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```
sage: V=matrix.identity(N)
```

```
sage: V=-K[0]*V
```

```
sage:
```

## Lattice attacks on DGHV keys

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```
sage: V=matrix.identity(N)
```

```
sage: V=-K[0]*V
```

```
sage: Vtop=copy(K)
```

```
sage:
```

## Lattice attacks on DGHV keys

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Each  $u_i$  is small:  $u_i < E$ .

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```
sage: V=matrix.identity(N)
```

```
sage: V=-K[0]*V
```

```
sage: Vtop=copy(K)
```

```
sage: Vtop[0]=E
```

```
sage:
```

## Lattice attacks on DGHV keys

Recall  $K_i = 2u_i + sq_i \approx sq_i$ .

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```
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```
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```

```
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```

```
sage: Vtop[0]=E
```

```
sage: V[0]=Vtop
```

```
sage:
```

## Lattice attacks on DGHV keys

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```
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```

```
sage: Vtop[0]=E
```

```
sage: V[0]=Vtop
```

```
sage: q0=V.LLL()[0][0]/E
```

```
sage: q0
```

```
596487875
```

```
sage:
```

## Lattice attacks on DGHV keys

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Each  $u_i$  is small:  $u_i < E$ .

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```
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```

```
sage: V[0]=Vtop
```

```
sage: q0=V.LLL()[0][0]/E
```

```
sage: q0
```

```
596487875
```

```
sage: round(K[0]/q0)
```

```
984887308997925
```

```
sage:
```

## Lattice attacks on DGHV keys

Recall  $K_i = 2u_i + sq_i \approx sq_i$ .

Each  $u_i$  is small:  $u_i < E$ .

Note  $q_j K_i - q_i K_j = 2q_j u_i - 2q_i u_j$ .

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$$V_1 = (E, K_2, K_3, \dots, K_N);$$

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```
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```

```
sage: V=-K[0]*V
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```
sage: Vtop=copy(K)
```

```
sage: Vtop[0]=E
```

```
sage: V[0]=Vtop
```

```
sage: q0=V.LLL()[0][0]/E
```

```
sage: q0
```

```
596487875
```

```
sage: round(K[0]/q0)
```

```
984887308997925
```

```
sage: s
```

```
984887308997925
```

```
sage:
```

Attacks on DGHV keys

$$K_i = 2u_i + sq_i \approx sq_i.$$

is small:  $u_i < E$ .

$$K_i - q_i K_j = 2q_j u_i - 2q_i u_j.$$

$(K_1, K_2, K_3, \dots, K_N);$

$(-K_1, 0, \dots, 0);$

$(0, -K_1, \dots, 0);$

$(0, 0, \dots, -K_1).$

$$= \mathbf{Z}V_1 + \dots + \mathbf{Z}V_N.$$

$$\text{ns } q_1 V_1 + \dots + q_N V_N =$$

$$(K_2 - q_2 K_1, \dots) =$$

$$(q_1 u_2 - 2q_2 u_1, \dots).$$

```
sage: V=matrix.identity(N)
```

```
sage: V=-K[0]*V
```

```
sage: Vtop=copy(K)
```

```
sage: Vtop[0]=E
```

```
sage: V[0]=Vtop
```

```
sage: q0=V.LLL()[0][0]/E
```

```
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```

```
596487875
```

```
sage: round(K[0]/q0)
```

```
984887308997925
```

```
sage: s
```

```
984887308997925
```

```
sage:
```

```
sage: V
```

```
(1024,
```

```
-111153
```

```
7943014
```

```
6881780
```

```
7423624
```

```
1023345
```

```
-357168
```

```
1121423
```

```
-110967
```

```
-235628
```

```
sage:
```

DGHV keys

$$sq_i \approx sq_i.$$

$$u_i < E.$$

$$= 2q_j u_i - 2q_i u_j.$$

$$\dots, K_N);$$

$$\dots, 0);$$

$$\dots, 0);$$

$$-K_1).$$

$$\dots + \mathbf{Z}V_N.$$

$$\dots + q_N V_N =$$

$$(1, \dots) =$$

$$(u_1, \dots).$$

```
sage: V=matrix.identity(N)
```

```
sage: V=-K[0]*V
```

```
sage: Vtop=copy(K)
```

```
sage: Vtop[0]=E
```

```
sage: V[0]=Vtop
```

```
sage: q0=V.LLL()[0][0]/E
```

```
sage: q0
```

```
596487875
```

```
sage: round(K[0]/q0)
```

```
984887308997925
```

```
sage: s
```

```
984887308997925
```

```
sage:
```

```
sage: V[0]
```

```
(1024,
```

```
-11115391791007
```

```
794301459533783
```

```
688178021083749
```

```
742362470968200
```

```
102334582783153
```

```
-35716867939855
```

```
112142161911996
```

```
-11096748622762
```

```
-23562893778500
```

```
sage:
```

eys

.

 $2q_i u_j$ .

/.

 $/N =$ 

```

sage: V=matrix.identity(N)
sage: V=-K[0]*V
sage: Vtop=copy(K)
sage: Vtop[0]=E
sage: V[0]=Vtop
sage: q0=V.LLL()[0][0]/E
sage: q0
596487875
sage: round(K[0]/q0)
984887308997925
sage: s
984887308997925
sage:

```

```

sage: V[0]
(1024,
 -11115391791007200837703
 794301459533783434896055
 68817802108374958901751,
 742362470968200823035396
 102334582783153951505479
 -35716867939855887673000
 112142161911996460105144
 -11096748622762224955871
 -23562893778500377052338
sage:

```

```

sage: V=matrix.identity(N)
sage: V=-K[0]*V
sage: Vtop=copy(K)
sage: Vtop[0]=E
sage: V[0]=Vtop
sage: q0=V.LLL()[0][0]/E
sage: q0
596487875
sage: round(K[0]/q0)
984887308997925
sage: s
984887308997925
sage:

```

```

sage: V[0]
(1024,
 -1111539179100720083770339,
 794301459533783434896055,
 68817802108374958901751,
 742362470968200823035396,
 1023345827831539515054795,
 -357168679398558876730006,
 1121421619119964601051443,
 -1109674862276222495587129,
 -235628937785003770523381)
sage:

```

```

sage: V=matrix.identity(N)
sage: V=-K[0]*V
sage: Vtop=copy(K)
sage: Vtop[0]=E
sage: V[0]=Vtop
sage: q0=V.LLL()[0][0]/E
sage: q0
596487875
sage: round(K[0]/q0)
984887308997925
sage: s
984887308997925
sage:

```

```

sage: V[0]
(1024,
 -1111539179100720083770339,
 794301459533783434896055,
 68817802108374958901751,
 742362470968200823035396,
 1023345827831539515054795,
 -357168679398558876730006,
 1121421619119964601051443,
 -1109674862276222495587129,
 -235628937785003770523381)
sage: V[1]
(0, -587473338058640662659869,
 0, 0, 0, 0, 0, 0, 0)
sage:

```

31

```
=matrix.identity(N)
```

```
=-K[0]*V
```

```
top=copy(K)
```

```
top[0]=E
```

```
[0]=Vtop
```

```
0=V.LLL()[0][0]/E
```

```
0
```

```
75
```

```
ound(K[0]/q0)
```

```
08997925
```

```
08997925
```

32

```
sage: V[0]
```

```
(1024,
```

```
-1111539179100720083770339,
```

```
794301459533783434896055,
```

```
68817802108374958901751,
```

```
742362470968200823035396,
```

```
1023345827831539515054795,
```

```
-357168679398558876730006,
```

```
1121421619119964601051443,
```

```
-1109674862276222495587129,
```

```
-235628937785003770523381)
```

```
sage: V[1]
```

```
(0, -587473338058640662659869,
```

```
0, 0, 0, 0, 0, 0, 0, 0)
```

```
sage:
```

```
sage: V
```

```
(6108035
```

```
3703024
```

```
-225618
```

```
1100120
```

```
1359463
```

```
sage:
```

identity(N)

K)

[0] [0] /E

/q0)

```
sage: V[0]
(1024,
 -1111539179100720083770339,
 794301459533783434896055,
 68817802108374958901751,
 742362470968200823035396,
 1023345827831539515054795,
 -357168679398558876730006,
 1121421619119964601051443,
 -1109674862276222495587129,
 -235628937785003770523381)
sage: V[1]
(0, -587473338058640662659869,
 0, 0, 0, 0, 0, 0, 0)
sage:
```

sage: V.LLL()[0]

(610803584000, 1

37030242384, 84

-225618319442,

1100126026284,

1359463649048,

sage:

```

sage: V[0]
(1024,
 -1111539179100720083770339,
 794301459533783434896055,
 68817802108374958901751,
 742362470968200823035396,
 1023345827831539515054795,
 -357168679398558876730006,
 1121421619119964601051443,
 -1109674862276222495587129,
 -235628937785003770523381)
sage: V[1]
(0, -587473338058640662659869,
 0, 0, 0, 0, 0, 0, 0)
sage:

```

```

sage: V.LLL()[0]
(610803584000, 1056189937
 37030242384, 84589845469
 -225618319442, 363547143
 1100126026284, -31315097
 1359463649048, 174256676
sage:

```

```
sage: V[0]
(1024,
 -1111539179100720083770339,
 794301459533783434896055,
 68817802108374958901751,
 742362470968200823035396,
 1023345827831539515054795,
 -357168679398558876730006,
 1121421619119964601051443,
 -1109674862276222495587129,
 -235628937785003770523381)
sage: V[1]
(0, -587473338058640662659869,
 0, 0, 0, 0, 0, 0, 0)
sage:
```

```
sage: V.LLL()[0]
(610803584000, 1056189937254,
 37030242384, 845898454698,
 -225618319442, 363547143644,
 1100126026284, -313150978512,
 1359463649048, 174256676348)
sage:
```

```

sage: V[0]
(1024,
 -1111539179100720083770339,
 794301459533783434896055,
 68817802108374958901751,
 742362470968200823035396,
 1023345827831539515054795,
 -357168679398558876730006,
 1121421619119964601051443,
 -1109674862276222495587129,
 -235628937785003770523381)
sage: V[1]
(0, -587473338058640662659869,
 0, 0, 0, 0, 0, 0, 0)
sage:

```

```

sage: V.LLL()[0]
(610803584000, 1056189937254,
 37030242384, 845898454698,
 -225618319442, 363547143644,
 1100126026284, -313150978512,
 1359463649048, 174256676348)
sage: q=[Ki//s for Ki in K]
sage:

```

```

sage: V[0]
(1024,
 -1111539179100720083770339,
 794301459533783434896055,
 68817802108374958901751,
 742362470968200823035396,
 1023345827831539515054795,
 -357168679398558876730006,
 1121421619119964601051443,
 -1109674862276222495587129,
 -235628937785003770523381)
sage: V[1]
(0, -587473338058640662659869,
 0, 0, 0, 0, 0, 0, 0)
sage:

```

```

sage: V.LLL()[0]
(610803584000, 1056189937254,
 37030242384, 845898454698,
 -225618319442, 363547143644,
 1100126026284, -313150978512,
 1359463649048, 174256676348)
sage: q=[Ki//s for Ki in K]
sage: q[0]*E
610803584000
sage:

```

```

sage: V[0]
(1024,
 -1111539179100720083770339,
 794301459533783434896055,
 68817802108374958901751,
 742362470968200823035396,
 1023345827831539515054795,
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 1121421619119964601051443,
 -1109674862276222495587129,
 -235628937785003770523381)
sage: V[1]
(0, -587473338058640662659869,
 0, 0, 0, 0, 0, 0, 0)
sage:

```

```

sage: V.LLL()[0]
(610803584000, 1056189937254,
 37030242384, 845898454698,
 -225618319442, 363547143644,
 1100126026284, -313150978512,
 1359463649048, 174256676348)
sage: q=[Ki//s for Ki in K]
sage: q[0]*E
610803584000
sage: q[0]*K[1]-q[1]*K[0]
1056189937254
sage:

```

```
sage: V[0]
(1024,
 -1111539179100720083770339,
 794301459533783434896055,
 68817802108374958901751,
 742362470968200823035396,
 1023345827831539515054795,
 -357168679398558876730006,
 1121421619119964601051443,
 -1109674862276222495587129,
 -235628937785003770523381)
sage: V[1]
(0, -587473338058640662659869,
 0, 0, 0, 0, 0, 0, 0)
sage:
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```
sage: V.LLL()[0]
(610803584000, 1056189937254,
 37030242384, 845898454698,
 -225618319442, 363547143644,
 1100126026284, -313150978512,
 1359463649048, 174256676348)
sage: q=[Ki//s for Ki in K]
sage: q[0]*E
610803584000
sage: q[0]*K[1]-q[1]*K[0]
1056189937254
sage: q[0]*K[9]-q[9]*K[0]
174256676348
sage:
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[0]
39179100720083770339,
459533783434896055,
02108374958901751,
470968200823035396,
5827831539515054795,
8679398558876730006,
1619119964601051443,
74862276222495587129,
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[1]
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sage: V.LLL()[0]
(610803584000, 1056189937254,
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6026284, -313150978512,
3649048, 174256676348)
=[Ki//s for Ki in K]
[0]*E
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[0]*K[1]-q[1]*K[0]
937254
[0]*K[9]-q[9]*K[0]
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Big attack surface

1991 Chaum–van  
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 define  $C(x, y) = 4$   
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Simple, beautiful,  
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Big attack surfaces are dangerous

1991 Chaum–van Heijst–Pfitzmann: choose  $p$  sensible, define  $C(x, y) = 4^x 9^y \pmod p$  for suitable ranges of  $x$  and  $y$ .

Simple, beautiful, structured. Very easy security reduction: finding  $C$  collision implies computing a discrete logarithm.

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Typical exaggerations:

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DGHV analysis:

those key sizes where  
lattice attacks fail.

Baron–Mandal–Naccache–  
Stern: reduce key sizes  
by doubling  $n$ ,  
defining DGHV. “This  
is the first homomorphic  
encryption scheme that  
is fully homomorphic  
and can be implemented  
efficiently.”

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## Big attack surfaces are dangerous

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Simple, beautiful, structured. Very easy security reduction: finding  $C$  collision implies computing a discrete logarithm.

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Security losses in  $C$  include  
 1922 Kraitchik (index calculus);  
 1986 Coppersmith–Odlyzko–Schroeppel (NFS predecessor);  
 1993 Gordon (general DL NFS);  
 1993 Schirokauer (faster NFS);  
 1994 Shor (quantum poly time);  
 many subsequent attack speedups from people who care about pre-quantum security.

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No matter what user’s cost limit is, obtain better security with “unstructured” compression-function designs such as BLAKE.

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2013 Barbulescu–Gaudry–Joux–  
Thomé: pre-quantum quasi-poly  
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losses in  $C$  include  
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 from hundreds of  
 22 signature subm  
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Round 1: round 2.

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Round 2: round 2.

Round 3: eliminated.

Round 4: mistaken security  
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**All lattice submissions have suffered security losses.**

lattice-based encryption

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Example after beginning

2018 Lattice “between sieving, SVP attack

2018 Bavarian variant, for the ‘

2018 Advanced quantum cryptogr than sieving

Encryption  
 Round 2: Frodo,  
 NewHope, NTRU,  
 Round5☢, SABER,  
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 KINDI,  
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 “between a factor  
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 variant, “bases of  
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Frodo, Kyber, LAC

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