

Challenges in evaluating costs of known lattice attacks

Daniel J. Bernstein

Tanja Lange

Based on attack survey from
2019 Bernstein–Chuengsatiansup–
Lange–van Vredendaal.

Why analysis is important:

- Guide attack optimization.
- Guide attack selection.
- Evaluate crypto parameters.
- Evaluate crypto designs.
- Advise users on security.

Three typical attack problems

Define $\mathcal{R} = \mathbf{Z}[x]/(x^{761} - x - 1)$;
“small” = all coeffs in $\{-1, 0, 1\}$;
 $w = 286$; $q = 4591$.

Attacker wants to find
small weight- w secret $a \in \mathcal{R}$.

Problem 1: Public $G \in \mathcal{R}/q$ with
 $aG + e = 0$. Small secret $e \in \mathcal{R}$.

Problem 2: Public $G \in \mathcal{R}/q$ and
 $aG + e$. Small secret $e \in \mathcal{R}$.

Problem 3: Public $G_1, G_2 \in \mathcal{R}/q$.
Public $aG_1 + e_1, aG_2 + e_2$.
Small secrets $e_1, e_2 \in \mathcal{R}$.

Examples of target cryptosystems

Secret key: small a ; small e .

Public key reveals multiplier G
and approximation $A = aG + e$.

Public key for “NTRU”:

$G = -e/a$, and $A = 0$.

Examples of target cryptosystems

Secret key: small a ; small e .

Public key reveals multiplier G
and approximation $A = aG + e$.

Public key for “NTRU”:

$G = -e/a$, and $A = 0$.

Public key for “Ring-LWE”:

random G , and $A = aG + e$.

Examples of target cryptosystems

Secret key: small a ; small e .

Public key reveals multiplier G
and approximation $A = aG + e$.

Public key for “NTRU”:

$$G = -e/a, \text{ and } A = 0.$$

Public key for “Ring-LWE”:

random G , and $A = aG + e$.

Systematization of naming,

recognizing similarity + credits:

“NTRU” \Rightarrow Quotient NTRU.

“Ring-LWE” \Rightarrow Product NTRU.

Encryption for Quotient NTRU:

Input small b , small d .

Ciphertext: $B = 3Gb + d$.

Encryption for Quotient NTRU:

Input small b , small d .

Ciphertext: $B = 3Gb + d$.

Encryption for Product NTRU:

Input encoded message M .

Randomly generate

small b , small d , small c .

Ciphertext: $B = Gb + d$

and $C = Ab + M + c$.

Encryption for Quotient NTRU:

Input small b , small d .

Ciphertext: $B = 3Gb + d$.

Encryption for Product NTRU:

Input encoded message M .

Randomly generate

small b , small d , small c .

Ciphertext: $B = Gb + d$

and $C = Ab + M + c$.

Next slides: survey of G, a, e, c, M

details and variants in NISTPQC

submissions. Source: Bernstein,

“Comparing proofs of security

for lattice-based encryption”.

system	parameter set	type	set of multipliers
frodo	640	Product	$(\mathbf{Z}/32768)^{640 \times 640}$
frodo	976	Product	$(\mathbf{Z}/65536)^{976 \times 976}$
frodo	1344	Product	$(\mathbf{Z}/65536)^{1344 \times 1344}$
kyber	512	Product	$((\mathbf{Z}/3329)[x]/(x^{256} + 1))^{2 \times 2}$
kyber	768	Product	$((\mathbf{Z}/3329)[x]/(x^{256} + 1))^{3 \times 3}$
kyber	1024	Product	$((\mathbf{Z}/3329)[x]/(x^{256} + 1))^{4 \times 4}$
lac	128	Product	$(\mathbf{Z}/251)[x]/(x^{512} + 1)$
lac	192	Product	$(\mathbf{Z}/251)[x]/(x^{1024} + 1)$
lac	256	Product	$(\mathbf{Z}/251)[x]/(x^{1024} + 1)$
newhope	512	Product	$(\mathbf{Z}/12289)[x]/(x^{512} + 1)$
newhope	1024	Product	$(\mathbf{Z}/12289)[x]/(x^{1024} + 1)$
ntru	hps2048509	Quotient	$(\mathbf{Z}/2048)[x]/(x^{509} - 1)$
ntru	hps2048677	Quotient	$(\mathbf{Z}/2048)[x]/(x^{677} - 1)$
ntru	hps4096821	Quotient	$(\mathbf{Z}/4096)[x]/(x^{821} - 1)$
ntru	hrss701	Quotient	$(\mathbf{Z}/8192)[x]/(x^{701} - 1)$
ntrulpr	653	Product	$(\mathbf{Z}/4621)[x]/(x^{653} - x - 1)$
ntrulpr	761	Product	$(\mathbf{Z}/4591)[x]/(x^{761} - x - 1)$
ntrulpr	857	Product	$(\mathbf{Z}/5167)[x]/(x^{857} - x - 1)$
round5n1	1	Product	$(\mathbf{Z}/4096)^{636 \times 636}$
round5n1	3	Product	$(\mathbf{Z}/32768)^{876 \times 876}$
round5n1	5	Product	$(\mathbf{Z}/32768)^{1217 \times 1217}$
round5nd	1.0d	Product	$(\mathbf{Z}/8192)[x]/(x^{586} + \dots + 1)$
round5nd	3.0d	Product	$(\mathbf{Z}/4096)[x]/(x^{852} + \dots + 1)$
round5nd	5.0d	Product	$(\mathbf{Z}/8192)[x]/(x^{1170} + \dots + 1)$
round5nd	1.5d	Product	$(\mathbf{Z}/1024)[x]/(x^{509} - 1)$
round5nd	3.5d	Product	$(\mathbf{Z}/4096)[x]/(x^{757} - 1)$
round5nd	5.5d	Product	$(\mathbf{Z}/2048)[x]/(x^{947} - 1)$
saber	light	Product	$((\mathbf{Z}/8192)[x]/(x^{256} + 1))^{2 \times 2}$
saber	main	Product	$((\mathbf{Z}/8192)[x]/(x^{256} + 1))^{3 \times 3}$
saber	fire	Product	$((\mathbf{Z}/8192)[x]/(x^{256} + 1))^{4 \times 4}$
sntrup	653	Quotient	$(\mathbf{Z}/4621)[x]/(x^{653} - x - 1)$
sntrup	761	Quotient	$(\mathbf{Z}/4591)[x]/(x^{761} - x - 1)$
sntrup	857	Quotient	$(\mathbf{Z}/5167)[x]/(x^{857} - x - 1)$
threebears	baby	Product	$(\mathbf{Z}/(2^{3120} - 2^{1560} - 1))^{2 \times 2}$
threebears	mama	Product	$(\mathbf{Z}/(2^{3120} - 2^{1560} - 1))^{3 \times 3}$
threebears	papa	Product	$(\mathbf{Z}/(2^{3120} - 2^{1560} - 1))^{4 \times 4}$

short element

-
- $\mathbf{Z}^{640 \times 8}$; $\{-12, \dots, 12\}$; Pr 1, 4, 17, ... (spec page 23)
 $\mathbf{Z}^{976 \times 8}$; $\{-10, \dots, 10\}$; Pr 1, 6, 29, ... (spec page 23)
 $\mathbf{Z}^{1344 \times 8}$; $\{-6, \dots, 6\}$; Pr 2, 40, 364, ... (spec page 23)
 $(\mathbf{Z}[x]/(x^{256} + 1))^2$; $\sum_{0 \leq i < 4} \{-0.5, 0.5\}$
 $(\mathbf{Z}[x]/(x^{256} + 1))^3$; $\sum_{0 \leq i < 4} \{-0.5, 0.5\}$
 $(\mathbf{Z}[x]/(x^{256} + 1))^4$; $\sum_{0 \leq i < 4} \{-0.5, 0.5\}$
 $\mathbf{Z}[x]/(x^{512} + 1)$; $\{-1, 0, 1\}$; Pr 1, 2, 1; weight 128, 128
 $\mathbf{Z}[x]/(x^{1024} + 1)$; $\{-1, 0, 1\}$; Pr 1, 6, 1; weight 128, 128
 $\mathbf{Z}[x]/(x^{1024} + 1)$; $\{-1, 0, 1\}$; Pr 1, 2, 1; weight 256, 256
 $\mathbf{Z}[x]/(x^{512} + 1)$; $\sum_{0 \leq i < 16} \{-0.5, 0.5\}$
 $\mathbf{Z}[x]/(x^{1024} + 1)$; $\sum_{0 \leq i < 16} \{-0.5, 0.5\}$
 $\mathbf{Z}[x]/(x^{509} - 1)$; $\{-1, 0, 1\}$
 $\mathbf{Z}[x]/(x^{677} - 1)$; $\{-1, 0, 1\}$
 $\mathbf{Z}[x]/(x^{821} - 1)$; $\{-1, 0, 1\}$
 $\mathbf{Z}[x]/(x^{701} - 1)$; $\{-1, 0, 1\}$; key correlation ≥ 0
 $\mathbf{Z}[x]/(x^{653} - x - 1)$; $\{-1, 0, 1\}$; weight 252
 $\mathbf{Z}[x]/(x^{761} - x - 1)$; $\{-1, 0, 1\}$; weight 250
 $\mathbf{Z}[x]/(x^{857} - x - 1)$; $\{-1, 0, 1\}$; weight 281
 $\mathbf{Z}^{636 \times 8}$; $\{-1, 0, 1\}$; weight 57, 57
 $\mathbf{Z}^{876 \times 8}$; $\{-1, 0, 1\}$; weight 223, 223
 $\mathbf{Z}^{1217 \times 8}$; $\{-1, 0, 1\}$; weight 231, 231
 $\mathbf{Z}[x]/(x^{586} + \dots + 1)$; $\{-1, 0, 1\}$; weight 91, 91
 $\mathbf{Z}[x]/(x^{852} + \dots + 1)$; $\{-1, 0, 1\}$; weight 106, 106
 $\mathbf{Z}[x]/(x^{1170} + \dots + 1)$; $\{-1, 0, 1\}$; weight 111, 111
 $\mathbf{Z}[x]/(x^{509} - 1)$; $\{-1, 0, 1\}$; weight 68, 68; ending 0
 $\mathbf{Z}[x]/(x^{757} - 1)$; $\{-1, 0, 1\}$; weight 121, 121; ending 0
 $\mathbf{Z}[x]/(x^{947} - 1)$; $\{-1, 0, 1\}$; weight 194, 194; ending 0
 $(\mathbf{Z}[x]/(x^{256} + 1))^2$; $\sum_{0 \leq i < 10} \{-0.5, 0.5\}$
 $(\mathbf{Z}[x]/(x^{256} + 1))^3$; $\sum_{0 \leq i < 8} \{-0.5, 0.5\}$
 $(\mathbf{Z}[x]/(x^{256} + 1))^4$; $\sum_{0 \leq i < 6} \{-0.5, 0.5\}$
 $\mathbf{Z}[x]/(x^{653} - x - 1)$; $\{-1, 0, 1\}$; weight 288
 $\mathbf{Z}[x]/(x^{761} - x - 1)$; $\{-1, 0, 1\}$; weight 286
 $\mathbf{Z}[x]/(x^{857} - x - 1)$; $\{-1, 0, 1\}$; weight 322
 \mathbf{Z}^2 ; $\sum_{0 \leq i < 312} 2^{10i} \{-2, -1, 0, 1, 2\}$; Pr 1, 32, 62, 32, 1; *
 \mathbf{Z}^3 ; $\sum_{0 \leq i < 312} 2^{10i} \{-1, 0, 1\}$; Pr 13, 38, 13; *
 \mathbf{Z}^4 ; $\sum_{0 \leq i < 312} 2^{10i} \{-1, 0, 1\}$; Pr 5, 22, 5; *

key offset (numerator or noise or rounding method)

$\mathbf{Z}^{640 \times 8}$; $\{-12, \dots, 12\}$; Pr 1, 4, 17, ... (spec page 23)

$\mathbf{Z}^{976 \times 8}$; $\{-10, \dots, 10\}$; Pr 1, 6, 29, ... (spec page 23)

$\mathbf{Z}^{1344 \times 8}$; $\{-6, \dots, 6\}$; Pr 2, 40, 364, ... (spec page 23)

$(\mathbf{Z}[x]/(x^{256} + 1))^2$; $\sum_{0 \leq i < 4} \{-0.5, 0.5\}$

$(\mathbf{Z}[x]/(x^{256} + 1))^3$; $\sum_{0 \leq i < 4} \{-0.5, 0.5\}$

$(\mathbf{Z}[x]/(x^{256} + 1))^4$; $\sum_{0 \leq i < 4} \{-0.5, 0.5\}$

$\mathbf{Z}[x]/(x^{512} + 1)$; $\{-1, 0, 1\}$; Pr 1, 2, 1; weight 128, 128

$\mathbf{Z}[x]/(x^{1024} + 1)$; $\{-1, 0, 1\}$; Pr 1, 6, 1; weight 128, 128

$\mathbf{Z}[x]/(x^{1024} + 1)$; $\{-1, 0, 1\}$; Pr 1, 2, 1; weight 256, 256

$\mathbf{Z}[x]/(x^{512} + 1)$; $\sum_{0 \leq i < 16} \{-0.5, 0.5\}$

$\mathbf{Z}[x]/(x^{1024} + 1)$; $\sum_{0 \leq i < 16} \{-0.5, 0.5\}$

$\mathbf{Z}[x]/(x^{509} - 1)$; $\{-1, 0, 1\}$; weight 127, 127

$\mathbf{Z}[x]/(x^{677} - 1)$; $\{-1, 0, 1\}$; weight 127, 127

$\mathbf{Z}[x]/(x^{821} - 1)$; $\{-1, 0, 1\}$; weight 255, 255

$\mathbf{Z}[x]/(x^{701} - 1)$; $\{-1, 0, 1\}$; key correlation ≥ 0 ; $\cdot(x - 1)$

round $\{-2310, \dots, 2310\}$ to $3\mathbf{Z}$

round $\{-2295, \dots, 2295\}$ to $3\mathbf{Z}$

round $\{-2583, \dots, 2583\}$ to $3\mathbf{Z}$

round $\mathbf{Z}/4096$ to $8\mathbf{Z}$

round $\mathbf{Z}/32768$ to $16\mathbf{Z}$

round $\mathbf{Z}/32768$ to $8\mathbf{Z}$

round $\mathbf{Z}/8192$ to $16\mathbf{Z}$

round $\mathbf{Z}/4096$ to $8\mathbf{Z}$

round $\mathbf{Z}/8192$ to $16\mathbf{Z}$

reduce mod $x^{508} + \dots + 1$; round $\mathbf{Z}/1024$ to $8\mathbf{Z}$

reduce mod $x^{756} + \dots + 1$; round $\mathbf{Z}/4096$ to $16\mathbf{Z}$

reduce mod $x^{946} + \dots + 1$; round $\mathbf{Z}/2048$ to $8\mathbf{Z}$

round $\mathbf{Z}/8192$ to $8\mathbf{Z}$

round $\mathbf{Z}/8192$ to $8\mathbf{Z}$

round $\mathbf{Z}/8192$ to $8\mathbf{Z}$

$\mathbf{Z}[x]/(x^{653} - x - 1)$; $\{-1, 0, 1\}$; invertible mod 3

$\mathbf{Z}[x]/(x^{761} - x - 1)$; $\{-1, 0, 1\}$; invertible mod 3

$\mathbf{Z}[x]/(x^{857} - x - 1)$; $\{-1, 0, 1\}$; invertible mod 3

\mathbf{Z}^2 ; $\sum_{0 \leq i < 312} 2^{10i} \{-2, -1, 0, 1, 2\}$; Pr 1, 32, 62, 32, 1; *

\mathbf{Z}^3 ; $\sum_{0 \leq i < 312} 2^{10i} \{-1, 0, 1\}$; Pr 13, 38, 13; *

\mathbf{Z}^4 ; $\sum_{0 \leq i < 312} 2^{10i} \{-1, 0, 1\}$; Pr 5, 22, 5; *

ciphertext offset (noise or rounding method)

$\mathbf{Z}^{8 \times 8}$; $\{-12, \dots, 12\}$; Pr 1, 4, 17, ... (spec page 23)

$\mathbf{Z}^{8 \times 8}$; $\{-10, \dots, 10\}$; Pr 1, 6, 29, ... (spec page 23)

$\mathbf{Z}^{8 \times 8}$; $\{-6, \dots, 6\}$; Pr 2, 40, 364, ... (spec page 23)

$\mathbf{Z}[x]/(x^{256} + 1)$; $\sum_{0 \leq i < 4} \{-0.5, 0.5\}$

$\mathbf{Z}[x]/(x^{256} + 1)$; $\sum_{0 \leq i < 4} \{-0.5, 0.5\}$

$\mathbf{Z}[x]/(x^{256} + 1)$; $\sum_{0 \leq i < 4} \{-0.5, 0.5\}$

$\mathbf{Z}[x]/(x^{512} + 1)$; $\{-1, 0, 1\}$; Pr 1, 2, 1

$\mathbf{Z}[x]/(x^{1024} + 1)$; $\{-1, 0, 1\}$; Pr 1, 6, 1

$\mathbf{Z}[x]/(x^{1024} + 1)$; $\{-1, 0, 1\}$; Pr 1, 2, 1

$\mathbf{Z}[x]/(x^{512} + 1)$; $\sum_{0 \leq i < 16} \{-0.5, 0.5\}$

$\mathbf{Z}[x]/(x^{1024} + 1)$; $\sum_{0 \leq i < 16} \{-0.5, 0.5\}$

not applicable

not applicable

not applicable

not applicable

bottom 256 coeffs; $z \mapsto \lfloor (114(z + 2156) + 16384)/32768 \rfloor$

bottom 256 coeffs; $z \mapsto \lfloor (113(z + 2175) + 16384)/32768 \rfloor$

bottom 256 coeffs; $z \mapsto \lfloor (101(z + 2433) + 16384)/32768 \rfloor$

round $\mathbf{Z}/4096$ to $64\mathbf{Z}$

round $\mathbf{Z}/32768$ to $512\mathbf{Z}$

round $\mathbf{Z}/32768$ to $64\mathbf{Z}$

bottom 128 coeffs; round $\mathbf{Z}/8192$ to $512\mathbf{Z}$

bottom 192 coeffs; round $\mathbf{Z}/4096$ to $128\mathbf{Z}$

bottom 256 coeffs; round $\mathbf{Z}/8192$ to $256\mathbf{Z}$

bottom 318 coeffs; round $\mathbf{Z}/1024$ to $64\mathbf{Z}$

bottom 410 coeffs; round $\mathbf{Z}/4096$ to $512\mathbf{Z}$

bottom 490 coeffs; round $\mathbf{Z}/2048$ to $64\mathbf{Z}$

round $\mathbf{Z}/8192$ to $1024\mathbf{Z}$

round $\mathbf{Z}/8192$ to $512\mathbf{Z}$

round $\mathbf{Z}/8192$ to $128\mathbf{Z}$

not applicable

not applicable

not applicable

\mathbf{Z} ; $\sum_{0 \leq i < 312} 2^{10i} \{-2, -1, 0, 1, 2\}$; Pr 1, 32, 62, 32, 1; *

\mathbf{Z} ; $\sum_{0 \leq i < 312} 2^{10i} \{-1, 0, 1\}$; Pr 13, 38, 13; *

\mathbf{Z} ; $\sum_{0 \leq i < 312} 2^{10i} \{-1, 0, 1\}$; Pr 5, 22, 5; *

set of encoded messages

8×8 matrix over $\{0, 8192, 16384, 24576\}$

8×8 matrix over $\{0, 8192, \dots, 57344\}$

8×8 matrix over $\{0, 4096, \dots, 61440\}$

$\sum_{0 \leq i < 256} \{0, 1665\}x^i$

$\sum_{0 \leq i < 256} \{0, 1665\}x^i$

$\sum_{0 \leq i < 256} \{0, 1665\}x^i$

256-dim subcode (see spec) of $\sum_{0 \leq i < 512} \{0, 126\}x^i$

256-dim subcode (see spec) of $\sum_{0 \leq i < 1024} \{0, 126\}x^i$

256-dim subcode (see spec) of $\sum_{0 \leq i < 1024} \{0, 126\}x^i$

$\sum_{0 \leq i < 256} \{0, 6145\}x^i (1 + x^{256})$

$\sum_{0 \leq i < 256} \{0, 6145\}x^i (1 + x^{256} + x^{512} + x^{768})$

not applicable

not applicable

not applicable

not applicable

$\sum_{0 \leq i < 256} \{0, 2310\}x^i$

$\sum_{0 \leq i < 256} \{0, 2295\}x^i$

$\sum_{0 \leq i < 256} \{0, 2583\}x^i$

8×8 matrix over $\{0, 1024, 2048, 3072\}$

8×8 matrix over $\{0, 4096, \dots, 28672\}$

8×8 matrix over $\{0, 2048, \dots, 30720\}$

$\sum_{0 \leq i < 128} \{0, 4096\}x^i$

$\sum_{0 \leq i < 192} \{0, 2048\}x^i$

$\sum_{0 \leq i < 256} \{0, 4096\}x^i$

128-dim subcode (see spec) of $\sum_{0 \leq i < 318} \{0, 512\}x^i$

192-dim subcode (see spec) of $\sum_{0 \leq i < 410} \{0, 2048\}x^i$

256-dim subcode (see spec) of $\sum_{0 \leq i < 490} \{0, 1024\}x^i$

$\sum_{0 \leq i < 256} \{0, 4096\}x^i$

$\sum_{0 \leq i < 256} \{0, 4096\}x^i$

$\sum_{0 \leq i < 256} \{0, 4096\}x^i$

not applicable

not applicable

not applicable

256-dim subcode (see spec) of $\sum_{0 \leq i < 274} \{0, 512\}2^{10i}$

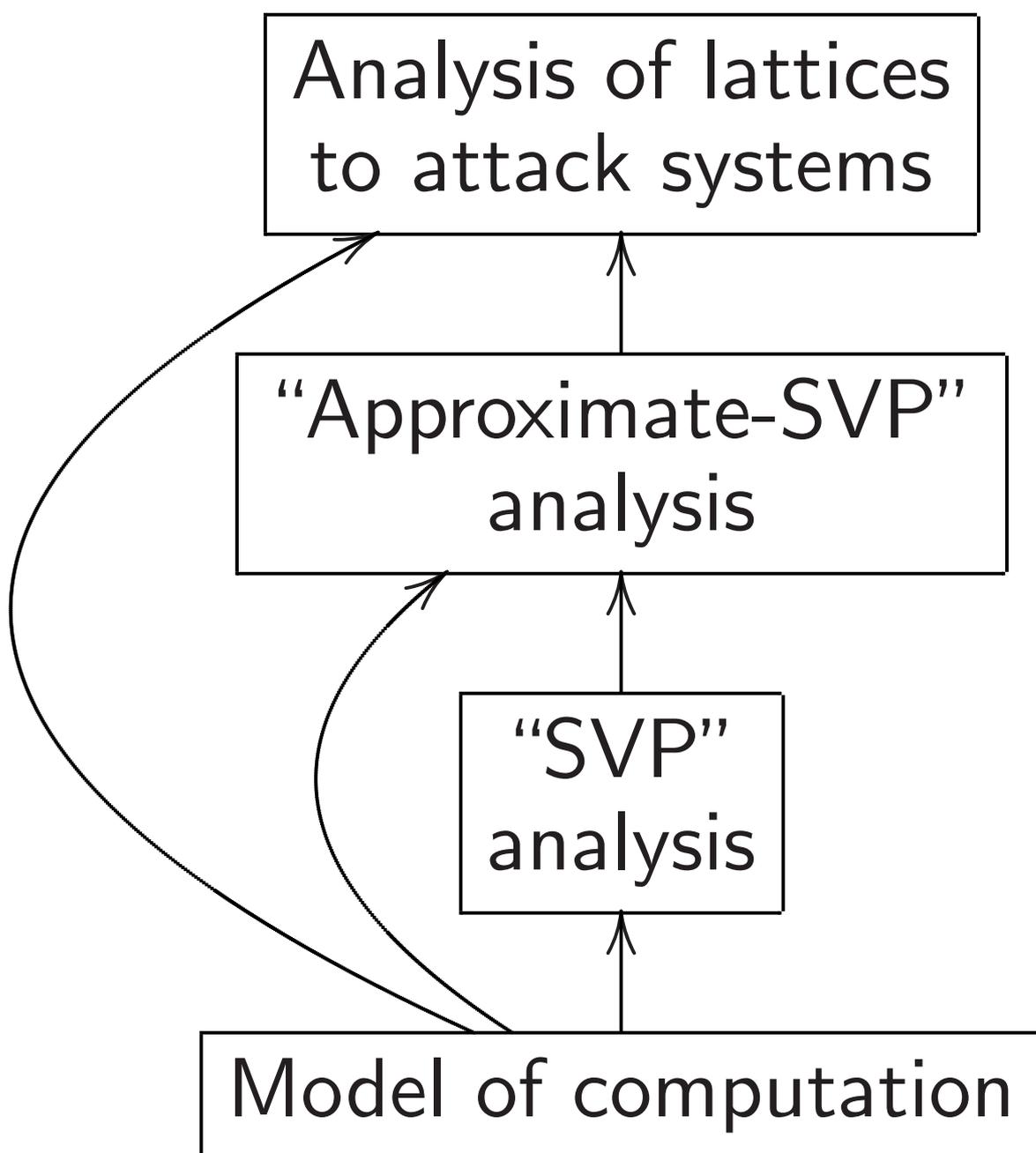
256-dim subcode (see spec) of $\sum_{0 \leq i < 274} \{0, 512\}2^{10i}$

256-dim subcode (see spec) of $\sum_{0 \leq i < 274} \{0, 512\}2^{10i}$

Attacking these problems

Attack strategy with reputation of usually being best: “primal” strategy. Focus of this talk.

Normal layers in analysis:



Models of computation

Multitape Turing machine: e.g.,
sort N ints, each $N^{o(1)}$ bits, in
time $N^{1+o(1)}$, space $N^{1+o(1)}$.

Models of computation

Multitape Turing machine: e.g., sort N ints, each $N^{o(1)}$ bits, in time $N^{1+o(1)}$, space $N^{1+o(1)}$.

Brent–Kung 2D circuit model allows parallelism—e.g., sort in time $N^{0.5+o(1)}$, space $N^{1+o(1)}$.

Models of computation

Multitape Turing machine: e.g., sort N ints, each $N^{o(1)}$ bits, in time $N^{1+o(1)}$, space $N^{1+o(1)}$.

Brent–Kung 2D circuit model allows parallelism—e.g., sort in time $N^{0.5+o(1)}$, space $N^{1+o(1)}$.

PRAM: multiple inequivalent definitions, untethered to physical explanations. Sort in time $N^{o(1)}$.

Models of computation

Multitape Turing machine: e.g., sort N ints, each $N^{o(1)}$ bits, in time $N^{1+o(1)}$, space $N^{1+o(1)}$.

Brent–Kung 2D circuit model allows parallelism—e.g., sort in time $N^{0.5+o(1)}$, space $N^{1+o(1)}$.

PRAM: multiple inequivalent definitions, untethered to physical explanations. Sort in time $N^{o(1)}$.

Quantum computing:
similar divergence of models.

Lattices

Rewrite each problem as finding **short** nonzero solution to system of homogeneous \mathcal{R}/q equations.

Problem 1: Find $(a, e) \in \mathcal{R}^2$
with $aG + e = 0$, given $G \in \mathcal{R}/q$.

Lattices

Rewrite each problem as finding **short** nonzero solution to system of homogeneous \mathcal{R}/q equations.

Problem 1: Find $(a, e) \in \mathcal{R}^2$
with $aG + e = 0$, given $G \in \mathcal{R}/q$.

Problem 2: Find $(a, t, e) \in \mathcal{R}^3$
with $aG + e = At$,
given $G, A \in \mathcal{R}/q$.

Lattices

Rewrite each problem as finding **short** nonzero solution to system of homogeneous \mathcal{R}/q equations.

Problem 1: Find $(a, e) \in \mathcal{R}^2$ with $aG + e = 0$, given $G \in \mathcal{R}/q$.

Problem 2: Find $(a, t, e) \in \mathcal{R}^3$ with $aG + e = At$, given $G, A \in \mathcal{R}/q$.

Problem 3: Find $(a, t_1, t_2, e_1, e_2) \in \mathcal{R}^5$ with $aG_1 + e_1 = A_1 t_1$, $aG_2 + e_2 = A_2 t_2$, given $G_1, A_1, G_2, A_2 \in \mathcal{R}/q$.

Recognize each solution space as a full-rank lattice:

Problem 1: Lattice is image of the map $(\bar{a}, \bar{r}) \mapsto (\bar{a}, q\bar{r} - \bar{a}G)$ from \mathcal{R}^2 to \mathcal{R}^2 .

Recognize each solution space as a full-rank lattice:

Problem 1: Lattice is image of the map $(\bar{a}, \bar{r}) \mapsto (\bar{a}, q\bar{r} - \bar{a}G)$ from \mathcal{R}^2 to \mathcal{R}^2 .

Problem 2: Lattice is image of the map $(\bar{a}, \bar{t}, \bar{r}) \mapsto (\bar{a}, \bar{t}, A\bar{t} + q\bar{r} - \bar{a}G)$.

Recognize each solution space as a full-rank lattice:

Problem 1: Lattice is image of the map $(\bar{a}, \bar{r}) \mapsto (\bar{a}, q\bar{r} - \bar{a}G)$ from \mathcal{R}^2 to \mathcal{R}^2 .

Problem 2: Lattice is image of the map $(\bar{a}, \bar{t}, \bar{r}) \mapsto (\bar{a}, \bar{t}, A\bar{t} + q\bar{r} - \bar{a}G)$.

Problem 3: Lattice is image of the map $(\bar{a}, \bar{t}_1, \bar{t}_2, \bar{r}_1, \bar{r}_2) \mapsto (\bar{a}, \bar{t}_1, \bar{t}_2, A_1\bar{t}_1 + q\bar{r}_1 - \bar{a}G_1, A_2\bar{t}_2 + q\bar{r}_2 - \bar{a}G_2)$.

Module structure

Each of these lattices is an \mathcal{R} -module, and thus has, generically, many independent short vectors.

Module structure

Each of these lattices is an \mathcal{R} -module, and thus has, generically, many independent short vectors.

e.g. in Problem 2:

Lattice has short (a, t, e) .

Lattice has short (xa, xt, xe) .

Lattice has short (x^2a, x^2t, x^2e) .

etc.

Module structure

Each of these lattices is an \mathcal{R} -module, and thus has, generically, many independent short vectors.

e.g. in Problem 2:

Lattice has short (a, t, e) .

Lattice has short (xa, xt, xe) .

Lattice has short (x^2a, x^2t, x^2e) .

etc.

Many more lattice vectors are fairly short combinations of independent vectors:

e.g., $((x + 1)a, (x + 1)t, (x + 1)e)$.

2001 May–Silverman, for Problem 1: Force a few coefficients of a to be 0. This reduces lattice rank, speeding up various attacks, despite lower success chance.

2001 May–Silverman, for Problem 1: Force a few coefficients of a to be 0. This reduces lattice rank, speeding up various attacks, despite lower success chance.

(Always a speedup? Seems to be a slowdown if q is very large.)

2001 May–Silverman, for Problem 1: Force a few coefficients of a to be 0. This reduces lattice rank, speeding up various attacks, despite lower success chance.

(Always a speedup? Seems to be a slowdown if q is very large.)

Other problems: same speedup.

e.g. Problem 2: Force many coefficients of (a, t) to be 0.

Bai–Galbraith special case:

Force $t = 1$, and force

a few coefficients of a to be 0.

(Also slowdown if q is very large?)

Standard analysis for Problem 1

Lattice has rank $2 \cdot 761 = 1522$.

Uniform random small weight- w
secret a has length $\sqrt{w} \approx 17$.

Standard analysis for Problem 1

Lattice has rank $2 \cdot 761 = 1522$.

Uniform random small weight- w secret a has length $\sqrt{w} \approx 17$.

Uniform random small secret e has length usually close to $\sqrt{1522/3} \approx 23$. (What if it's smaller? What if it's larger? Does fixed weight change security?)

Standard analysis for Problem 1

Lattice has rank $2 \cdot 761 = 1522$.

Uniform random small weight- w secret a has length $\sqrt{w} \approx 17$.

Uniform random small secret e has length usually close to $\sqrt{1522/3} \approx 23$. (What if it's smaller? What if it's larger? Does fixed weight change security?)

Attack parameter: $k = 13$.

Force k positions in a to be 0:
restrict to sublattice of rank 1509.

$\Pr[a \text{ is in sublattice}] \approx 0.2\%$.

Attacker is just as happy to find another solution such as (x_a, x_e) .

Attacker is just as happy to find another solution such as (x_a, x_e) .

Standard analysis for, e.g.,

$\mathbf{Z}[x]/(x^{761} - 1)$: Each $(x^j a, x^j e)$

has chance $\approx 0.2\%$ of being in

sublattice. These 761 chances

are independent. (No, they

aren't; also, total Pr depends on

attacker's choice of positions.)

Attacker is just as happy to find another solution such as (x_a, x_e) .

Standard analysis for, e.g.,

$\mathbf{Z}[x]/(x^{761} - 1)$: Each $(x^j a, x^j e)$

has chance $\approx 0.2\%$ of being in

sublattice. These 761 chances

are independent. (No, they

aren't; also, total Pr depends on

attacker's choice of positions.)

Ignore bigger solutions $(\alpha a, \alpha e)$.

(How hard are these to find?)

Attacker is just as happy to find another solution such as (x_a, x_e) .

Standard analysis for, e.g.,

$\mathbf{Z}[x]/(x^{761} - 1)$: Each $(x^j a, x^j e)$

has chance $\approx 0.2\%$ of being in

sublattice. These 761 chances

are independent. (No, they

aren't; also, total Pr depends on

attacker's choice of positions.)

Ignore bigger solutions $(\alpha a, \alpha e)$.

(How hard are these to find?)

Pretend this analysis applies to

$\mathbf{Z}[x]/(x^{761} - x - 1)$. (It doesn't.)

Write equation $e = qr - aG$
as 761 equations on coefficients.

Write equation $e = qr - aG$
as 761 equations on coefficients.

Attack parameter: $m = 600$.

Ignore $761 - m = 161$ equations:
i.e., project e onto 600 positions.

Projected sublattice rank

$$d = 1509 - 161 = 1348; \det q^{600}.$$

Write equation $e = qr - aG$
as 761 equations on coefficients.

Attack parameter: $m = 600$.

Ignore $761 - m = 161$ equations:
i.e., project e onto 600 positions.

Projected sublattice rank

$$d = 1509 - 161 = 1348; \det q^{600}.$$

Attack parameter: $\lambda = 1.331876$.

Rescaling: Assign weight λ to
positions in a . Increases length
of a to $\lambda\sqrt{w} \approx 23$; increases det
to $\lambda^{748} q^{600}$. (Is this λ optimal?
Interaction with e size variation?)

Lattice-basis reduction

Attack parameter: $\beta = 525$.

Use BKZ- β algorithm to reduce lattice basis. (What about alternatives to BKZ?)

Lattice-basis reduction

Attack parameter: $\beta = 525$.

Use BKZ- β algorithm to reduce lattice basis. (What about alternatives to BKZ?)

Standard analysis of BKZ- β :

“Normally” finds nonzero vector of length $\delta^d (\det L)^{1/d}$ where

$$\delta = (\beta(\pi\beta)^{1/\beta} / (2\pi e))^{1/(2(\beta-1))}.$$

Lattice-basis reduction

Attack parameter: $\beta = 525$.

Use BKZ- β algorithm to reduce lattice basis. (What about alternatives to BKZ?)

Standard analysis of BKZ- β :

“Normally” finds nonzero vector of length $\delta^d (\det L)^{1/d}$ where $\delta = (\beta(\pi\beta)^{1/\beta} / (2\pi e))^{1/(2(\beta-1))}$.

(This δ formula is an *asymptotic* claim without claimed error bounds. Does not match experiments for specific d .)

Standard analysis, continued:

“Geometric-series assumption”
holds. (What about deviations
identified in 2018 experiments?)

Standard analysis, continued:

“Geometric-series assumption” holds. (What about deviations identified in 2018 experiments?)

BKZ- β finds unique (mod \pm)

shortest nonzero vector \Leftrightarrow

length $\leq \delta^{2\beta-d} (\det L)^{1/d} \sqrt{d/\beta}$.

(What about deviations identified in 2017 experiments?)

Standard analysis, continued:

“Geometric-series assumption” holds. (What about deviations identified in 2018 experiments?)

BKZ- β finds unique (mod \pm) shortest nonzero vector \Leftrightarrow length $\leq \delta^{2\beta-d} (\det L)^{1/d} \sqrt{d/\beta}$. (What about deviations identified in 2017 experiments?)

Hence the attack finds (a, e) , assuming forcing worked. If it didn't, retry. (Are these tries independent? Should they use new parameters? Grover?)

How long does BKZ- β take?

Standard answer: $2^{0.292\beta} = 2^{153.3}$ operations by “sieving” .

How long does BKZ- β take?

Standard answer: $2^{0.292\beta} = 2^{153.3}$ operations by “sieving”.

(Plugging $o(1) = 0$ into the $2^{(0.292+o(1))\beta}$ *asymptotic* does not match experiments. What’s the actual performance? And what exactly is an “operation”?)

How long does BKZ- β take?

Standard answer: $2^{0.292\beta} = 2^{153.3}$ operations by “sieving”.

(Plugging $o(1) = 0$ into the $2^{(0.292+o(1))\beta}$ *asymptotic* does not match experiments. What’s the actual performance? And what exactly is an “operation”?)

0.292β (fake) cost for “sieving” is advertised as being below

$0.187\beta \log_2 \beta - 1.019\beta + 16.1$

(questionable extrapolation of experiments) for “enumeration”.

Note fragility of comparison.

$$S \leq 43 \Rightarrow E < S \text{ for}$$

$$S = 0.396\beta, E =$$

$$0.187\beta \log_2 \beta - 1.019\beta + 16.1.$$

Note fragility of comparison.

$S \leq 43 \Rightarrow E < S$ for

$S = 0.396\beta$, $E =$

$0.187\beta \log_2 \beta - 1.019\beta + 16.1$.

$S \leq 225 \Rightarrow E < S$ for

$S = 0.369\beta$, $E =$

$(0.187\beta \log_2 \beta - 1.019\beta + 16.1)/2$.

Note fragility of comparison.

$$S \leq 43 \Rightarrow E < S \text{ for}$$

$$S = 0.396\beta, E =$$

$$0.187\beta \log_2 \beta - 1.019\beta + 16.1.$$

$$S \leq 225 \Rightarrow E < S \text{ for}$$

$$S = 0.369\beta, E =$$

$$(0.187\beta \log_2 \beta - 1.019\beta + 16.1)/2.$$

$$S \leq 86 \Rightarrow E < S \text{ for}$$

$$S = 0.265\beta, E =$$

$$(0.125\beta \log_2 \beta - 0.545\beta + 10)/2.$$

Note fragility of comparison.

$S \leq 43 \Rightarrow E < S$ for

$S = 0.396\beta$, $E =$

$0.187\beta \log_2 \beta - 1.019\beta + 16.1$.

$S \leq 225 \Rightarrow E < S$ for

$S = 0.369\beta$, $E =$

$(0.187\beta \log_2 \beta - 1.019\beta + 16.1)/2$.

$S \leq 86 \Rightarrow E < S$ for

$S = 0.265\beta$, $E =$

$(0.125\beta \log_2 \beta - 0.545\beta + 10)/2$.

Need to get analyses right!

First step: include models

that account for memory cost.

sntrup761 evaluations from

“NTRU Prime: round 2” Table 2:

Ignoring hybrid attacks:

368	185	enum, free memory cost
368	185	enum, real memory cost
153	139	sieving, free memory cost
208	208	sieving, real memory cost

Including hybrid attacks:

230	169	enum, free memory cost
277	169	enum, real memory cost
153	139	sieving, free memory cost
208	180	sieving, real memory cost

Security levels:

...	pre-quantum
	... post-quantum

Hybrid attacks

Extreme special case:

Search all small weight- w a .

Hybrid attacks

Extreme special case:

Search all small weight- w a .

Grover reduces cost to $\sqrt{\cdot}$.

Hybrid attacks

Extreme special case:

Search all small weight- w a .

Grover reduces cost to $\sqrt{\cdot}$.

Can also get “ $\sqrt{\cdot}$ ” using memory without quantum computation.

Represent a as $a_1 + a_2$. (What is the optimal a_1, a_2 overlap?)

Look for approximate collision between $H_1(a_1)$ and $H_2(a_2)$.

Hybrid attacks

Extreme special case:

Search all small weight- w a .

Grover reduces cost to $\sqrt{\cdot}$.

Can also get “ $\sqrt{\cdot}$ ” using memory without quantum computation.

Represent a as $a_1 + a_2$. (What is the optimal a_1, a_2 overlap?)

Look for approximate collision between $H_1(a_1)$ and $H_2(a_2)$.

e.g. Problem 1: aG small

so $a_1G \approx -a_2G$. (How fast are near-neighbor algorithms?)

Seems worse than basis reduction
for typical $\{a\}$.

Seems worse than basis reduction for typical $\{a\}$. But hybrid attack uses basis reduction *and* search; can beat basis reduction alone.

Seems worse than basis reduction for typical $\{a\}$. But hybrid attack uses basis reduction *and* search; can beat basis reduction alone.

Unified lattice description:

$\{(u, uM + qr)\}$ given matrix M .

Seems worse than basis reduction for typical $\{a\}$. But hybrid attack uses basis reduction *and* search; can beat basis reduction alone.

Unified lattice description:

$\{(u, uM + qr)\}$ given matrix M .

Relabel: $\{(v, w, vK + wL + qr)\}$.

Attacker chooses subset of u indices to relabel as v .

Seems worse than basis reduction for typical $\{a\}$. But hybrid attack uses basis reduction *and* search; can beat basis reduction alone.

Unified lattice description:

$\{(u, uM + qr)\}$ given matrix M .

Relabel: $\{(v, w, vK + wL + qr)\}$.

Attacker chooses subset of u indices to relabel as v .

Use BKZ- β to find short B

with $\{(w, wL + qr)\} = \{zB\}$.

Seems worse than basis reduction for typical $\{a\}$. But hybrid attack uses basis reduction *and* search; can beat basis reduction alone.

Unified lattice description:

$\{(u, uM + qr)\}$ given matrix M .

Relabel: $\{(v, w, vK + wL + qr)\}$.

Attacker chooses subset of u indices to relabel as v .

Use BKZ- β to find short B with $\{(w, wL + qr)\} = \{zB\}$.

Now $\{(v, w, vK + wL + qr)\} = \{(v, v(0, K) + zB)\}$.

Search through many of the most likely choices of v .

Search through many of the most likely choices of v .

For each v : Quickly find z with $zB \approx -v(0, K)$. Check whether $(v, v(0, K) + zB)$ is short enough.

Search through many of the most likely choices of v .

For each v : Quickly find z with $zB \approx -v(0, K)$. Check whether $(v, v(0, K) + zB)$ is short enough.

Can again do quantum search, or approximate collision search.

Search through many of the most likely choices of v .

For each v : Quickly find z with $zB \approx -v(0, K)$. Check whether $(v, v(0, K) + zB)$ is short enough.

Can again do quantum search, or approximate collision search.

Can afford exponentially many z , maybe compensating for lower β .

Search through many of the most likely choices of v .

For each v : Quickly find z with $zB \approx -v(0, K)$. Check whether $(v, v(0, K) + zB)$ is short enough.

Can again do quantum search, or approximate collision search.

Can afford exponentially many z , maybe compensating for lower β .

Common claim: This saves time only for sufficiently narrow $\{a\}$.

(Is this true, or a calculation error in existing algorithm analyses?)