

NTRU Prime

Daniel J. Bernstein

University of Illinois at Chicago &
Technische Universiteit Eindhoven

cr.yp.to/papers.html

[#ntruprime](#) is joint work with:

Chitchanok Chuengsatiansup

Tanja Lange

Christine van Vredendaal

Technische Universiteit Eindhoven

Focus of this talk: motivation.

Can we predict future attacks?

1996 Dobbertin–Bosselaers–
Preneel “RIPEMD-160:
a strengthened version of
RIPEMD”: “It is anticipated that
these techniques can be used to
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Choose p sensibly.

Define $C(x, y) = 4^x 9^y \pmod p$
for suitable ranges of x and y .

Simple, beautiful, structured.

Very easy security reduction:

finding C collision implies
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Are RSA, DSA, etc. less scalable?
These systems have structure enabling attacks such as NF.
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Barak: prime fields

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number of security concerns

with elliptic-curve cryptography.”

CRYPT key-sizes report:

general concerns

about possible future

... As a first choice, we

prefer curves over prime

No extra automorphisms.

Barak's response: “That’s

fine! $E(\mathbf{F}_{2^n})$ isn’t broken!”

8

Last example: 2013 Garg–Gentry–
Halevi–Raykova–Sahai–Waters

“Candidate indistinguishability
obfuscation and functional
encryption for all circuits” .

UCLA press release: “According
to Sahai, previously developed
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The new system, he said, **puts up
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Example: 2013 Garg–Gentry–Raykova–Sahai–Waters state indistinguishability encryption and functional encryption for all circuits”.

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Classic NTRU

Standardize prime

Also standardize q

Define $\mathcal{R} = \mathbf{Z}[x]/$

Receiver chooses s

(Some invertibility)

Public key $h = 3g$

Sender chooses m

Ciphertext $c = m$

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Classic NTRU

Standardize prime p ; e.g. 74

Also standardize q ; e.g. 204

Define $\mathcal{R} = \mathbf{Z}[x]/(x^p - 1)$.

Receiver chooses small f, g

(Some invertibility requirements)

Public key $h = 3g/f \bmod q$

Sender chooses small $m, r \in \mathcal{R}$

Ciphertext $c = m + hr \bmod q$

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Classic NTRU

Standardize prime p ; e.g. 743.

Also standardize q ; e.g. 2048.

Define $\mathcal{R} = \mathbf{Z}[x]/(x^p - 1)$.

Receiver chooses small $f, g \in \mathcal{R}$.
(Some invertibility requirements.)

Public key $h = 3g/f \bmod q$.

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Receiver chooses small $f, g \in \mathcal{R}$.

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Public key $h = 3g/f \pmod q$.

Sender chooses small $m, r \in \mathcal{R}$.

Ciphertext $c = m + hr \pmod q$.

Multiply by $f \pmod q$: $fc \pmod q$.

Use smallness: $fm + 3gr$.

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1998 Horváth introduced

Many subsequent

meet-in-the-middle

lattice attacks

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Receiver chooses small $f, g \in \mathcal{R}$.

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Public key $h = 3g/f \pmod{q}$.

Sender chooses small $m, r \in \mathcal{R}$.

Ciphertext $c = m + hr \pmod{q}$.

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Use smallness: $fm + 3gr$.

Reduce mod 3: $fm \pmod{3}$.

Divide by $f \pmod{3}$: m .

1998 Hoffstein–Pipher–Silverman introduced this system.

Many subsequent NTRU parameters were vulnerable to meet-in-the-middle attacks, lattice attacks, hybrid attacks, chosen-ciphertext attacks; decryption-failure attacks; complicated padding systems; variations for efficiency; parameter selection.

Also many ideas that in retrospect were small tweaks of NTRU, e.g., homomorphic encryption.

Classic NTRU

Standardize prime p ; e.g. 743.

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Define $\mathcal{R} = \mathbf{Z}[x]/(x^p - 1)$.

Receiver chooses small $f, g \in \mathcal{R}$.
(Some invertibility requirements.)

Public key $h = 3g/f \bmod q$.

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Ciphertext $c = m + hr \bmod q$.

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Use smallness: $fm + 3gr$.

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NTRU

Choose prime p ; e.g. 743.

Choose q ; e.g. 2048.

$$\mathcal{R} = \mathbf{Z}[x]/(x^p - 1).$$

Choose small $f, g \in \mathcal{R}$.

(Invertibility requirements.)

$$\text{Key } h = 3g/f \text{ mod } q.$$

Choose small $m, r \in \mathcal{R}$.

$$\text{Text } c = m + hr \text{ mod } q.$$

$$\text{Decrypt by } f \text{ mod } q: \quad fc \text{ mod } q.$$

$$\text{Result: } fm + 3gr.$$

$$\text{mod } 3: \quad fm \text{ mod } 3.$$

$$\text{Divide by } f \text{ mod } 3: \quad m.$$

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$$f(1)h(1)$$

$$c(1) = r$$

p ; e.g. 743.

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Unnecessary structure

Attacker can evaluate public polynomials

Compatible with a multiplication mod
 $f(1)h(1) = 3g(1)$
 $c(1) = m(1) + h(1)$

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Unnecessary structures in NTRU

Attacker can evaluate public polynomials h, c at 1:

Compatible with addition and multiplication mod $x^p - 1$:

$$f(1)h(1) = 3g(1) \text{ in } \mathbf{Z}/q;$$

$$c(1) = m(1) + h(1)r(1) \text{ in } \mathbf{Z}$$

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One way to exploit this:
 $c(1), h(1)$ are visible; $r(1)$ is guessable, sometimes standard.
 Attacker scans many ciphertexts to find some with large $m(1)$.
 Uses this to speed up m search.

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Subsequent NTRU papers:

the-middle attacks,
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NTRU papers:

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Better: replace NTRU's

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Recall $\Phi_p = (x^p - 1)/(x - 1)$.

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$$\text{Recall } \Phi_p = (x^p - 1)/(x - 1).$$

Can view poly $m \text{ mod } x^p - 1$ as two parts: $m(1); m \text{ mod } \Phi_p$.

Compatible with add, mult.

Why include $m(1)$ here?

Doesn't seem to help security.

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Necessary structures in NTRU

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polynomials h, c at 1.

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$m(1) = 3g(1)$ in \mathbf{Z}/q ;

$m(1) + h(1)r(1)$ in \mathbf{Z}/q .

try to exploit this:

$m(1)$ and $h(1)r(1)$ are visible; $r(1)$ is

not, sometimes standard.

Attacker scans many ciphertexts

some with large $m(1)$.

Goal: to speed up m search.

NTRU complicates m selection

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Can view poly $m \bmod x^p - 1$

as two parts: $m(1)$; $m \bmod \Phi_p$.

Compatible with add, mult.

Why include $m(1)$ here?

Doesn't seem to help security.

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Good example: $P = x^p - x - 1$.

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2009 Gentry system (simpler
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 structures, use “a number field
 of prime degree, so that the only
 subfield is \mathbf{Q} ” and “an irreducible
 polynomial $x^p - x - 1$ with a
 very large Galois group, so that
 the number field is very far from
 having automorphisms”.

Subsequent attacks against
 several lattice-based systems
 have exploited these structures
 and have not been extended
 to our recommended rings.

2014.10 Campbell–Groves–
 Shepherd describe an ideal-lattice-
 based system “Soliloquy”; claim
 quantum poly-time key recovery.

2010 Smart–Vercauteren system is
 practically identical to Soliloquy.

2009 Gentry system (simpler
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Weber’s conjecture: all elements of \mathcal{R}^* are cyclotomic units.

Experiments confirm that SV is quickly broken by LLL using, e.g., 1997 Washington textbook basis for cyclotomic units.

Shortness of basis is critical; missing from bogus CGS analysis.

Attackers can also use automorphisms in more ways.

2016 Albrecht–Bai–Ducas

“A subfield lattice attack on overstretched NTRU assumption

Cryptanalysis of some FHE & Graded Encoding Schemes”

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traces $g + \sigma(g)$, where σ is

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$x \mapsto x^3, x \mapsto x^5, x \mapsto x^7, \text{ etc.}$ are automorphisms of $\mathcal{R} = \mathbf{Z}[x]/\Phi_{2^k}$.

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Larger impact on known attacks, maybe also on unknown attacks.

Not clear what to recommend.

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Hard to find any applications
that will notice the differences.
And we *improve* network traffic.

Importance of efficiency

Are we so worried about security, why are you tolerating inefficient polynomial structure?
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