# Formal proofs in applied cryptography

# Daniel J. Bernstein

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2004 Bernstein–Lenstra–Pila: Another such algorithm, simpler proof. Applied view: Great, can skip the transcendental number theory! Pure view: less proof depth; less interesting.

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Formal-proof example, 2023 Bernstein, for showing correctness of a recent fast modular-inversion algorithm: 3711-line Sage script producing 22771 lines in HOL Light proving the theorem on the next slide.

```
!i:num->int f:num->real g:num->real b:num m:num.
i(0) = \&0 ==>
\&0 \le g(0) =>
g(0) \le f(0) =>
f(0) <= &2 pow b ==>
(!n. (i(n+1) = \&1 + i(n) / f(n+1) = f(n) / g(n+1) = g(n) / \&2)
 \langle (if i(n) < \& 0) \rangle
      then i(n+1) = \&1 + i(n) / (f(n+1)) = f(n) / (g(n+1)) = (g(n)+f(n)) / \&2
      else i(n+1) = -i(n) / f(n+1) = g(n) / g(n+1) = (g(n)-f(n)) / \&2)
) ==>
(!n. integer(f(n))) =>
(!n. integer(g(n))) =>
9437 * b + 1 <= 4096 * m ==>
?n. n <= m / g(n) = \& 0
```

# Exercise: Understand how proof uses the number $((1591853137 + 3\sqrt{273548757304312537})/2^{55})^{1/54}$ .

Daniel J. Bernstein, Formal proofs in applied cryptography

## My formalization goal this week

**Theorem:** Let *n*, *t* be nonnegative integers. Let k be a finite field with  $\mathbf{F}_2 \subseteq k$ . Let  $\alpha_1, \ldots, \alpha_n$  be distinct elements of k. Define  $A = \prod_{i} (x - \alpha_i)$ . Let g be an element of k[x] such that deg g = tand  $gcd\{g, A\} = 1$ . Let *B*, *a*, *b* be elements of k[x] with  $gcd\{a, b\} = 1$ , deg a < t,  $A \in ak[x]$ , and deg(aB - bA) < n - 2t + deg a. Assume that  $g(\alpha_i)^2 B(\alpha_i) / A'(\alpha_i) \in \mathbf{F}_2$  for all *i*, where A' is the derivative of A. Define  $e \in \mathbf{F}_2^n$  by  $e_i = [a(\alpha_i) = 0]$ . Then wt  $e = \deg a$  and  $\sum_{i=1}^{n} \left( \frac{g(\alpha_i)^2 B(\alpha_i)}{A'(\alpha_i)} - e_i \right) \frac{A}{x - \alpha_i} \in g^2 k[x].$ 

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Concepts: supersingular elliptic curves over finite fields, isogenies, ideals of quaternion algebras, etc. See "Learning to SQI".

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- *T* is too narrow for the application.
- Big (c, p) vs. (d, q) gap is ignored.
- "Proof" is wrong.

A construction-security challenge

"Classic McEliece": a public-key encryption system using error-correcting codes.

**Challenge:** formalize the existing proof that any "QROM IND-CCA2" attack against Classic McEliece implies an "inversion" attack with comparable effectiveness against the original 1978 McEliece cryptosystem. A construction-security challenge

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Relies a bit on basic coding theory (using finite fields, matrices, polynomials) but main task is to formalize the proofs tracking cost and probability of quantum algorithms. Warmup challenge: "ROM", non-quantum.

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- General issue: We have no proofs of useful lower bounds on costs of high-Pr attacks.

And: Best proven performance among known attacks is much worse than best conjectured performance among known attacks.

#### Are proofs useless here?

Sometimes proofs for *components* of attack analyses help reduce risk of error.

e.g. 2023 Bernstein: 9950-line HOL Light proof of asymptotics of a particular function. Previous literature (1) uses this function as a model of the cost of lattice attacks and (2) makes claims about attack performance incompatible with these asymptotics.

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**Challenge:** formally verify proofs given in 2021 Bernstein–Lange "Non-randomness of *S*-unit lattices". Need cyclotomic fields, units, class groups, Brauer–Siegel theorem.