# Post-quantum cryptography: risk assessment

# **Daniel J. Bernstein** 15 June 2023

#### A bit about me

Designing cryptography to proactively reduce risks. Deployed cryptosystems include X25519, Ed25519, ChaCha20, NTRU Prime in TinySSH and OpenSSH, Classic McEliece in Mullvad and Rosenpass.

Coined the phrase "post-quantum cryptography" in 2003.

#### A bit about an attacker

2012 "Investigative Report on the U.S. National Security Issues Posed by Chinese Telecommunications Companies Huawei and ZTE" by the U.S. House of Representatives Permanent Select Committee on Intelligence:

Chinese intelligence collection efforts against the U.S. government are growing in "scale, intensity and sophistication."<sup>12</sup> Chinese actors are also the world's most active and persistent perpetrators of economic espionage.<sup>13</sup> U.S. private sector firms and cybersecurity specialists report an ongoing onslaught of sophisticated computer network intrusions that originate in China, and are almost certainly the work of, or have the backing of, the Chinese government.<sup>14</sup> Further, Chinese intelligence services, as well as private companies and other entities, often recruit those with direct access to corporate networks to steal trade secrets and other sensitive proprietary data.<sup>15</sup>



## R0: The basic quantum-attack risk

R0(*Y*) definition: attackers in year *Y* have a large enough quantum computer to break RSA-2048 with Shor's algorithm.

#### Impact: **security disaster** if RSA-2048 is still in wide use in year *Y*.

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#### Impact: **security disaster** if RSA-2048 is still in wide use in year *Y*.

Mitigation: upgrade to post-quantum cryptography before year *Y*.

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- Median: reaches 50% in *Y* = 2037.
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Two common mistakes analyzing this risk:

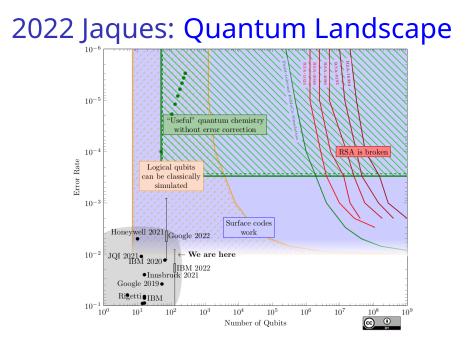
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Two common mistakes analyzing this risk:

- Assuming attackers aren't ahead of us.
- Watching advances in #qubits and in qubit error rates but not in algorithms.



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Probability: can be anywhere between 0 and the basic risk, depending on the type of data. Mitigation: upgrade encryption now!

## R2: The upgrade-time risk

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Impact: amplifies R0 and R1, by slowing down mitigations for those.

Mitigations: upgrade asap; search for paths to faster upgrades; reduce reliance on systems that are hard to upgrade.

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Impact: The upgrade **instantly damages security** against knowledgeable attackers. Also, the upgrade fails to mitigate R0 and R1.

#### Submissions to NIST: status in 2017

BIG QUAKE. BIKE. CFPKM. Classic McEliece. Compact LWE. CRYSTALS-DILITHIUM, CRYSTALS-KYBER, DAGS, Ding Key Exchange. DME. DRS. DualModeMS. Edon-K. EMBLEM and R.EMBLEM, FALCON, FrodoKEM, GeMSS, Giophantus. Gravity-SPHINCS. Guess Again. Gui. HILA5. HIMQ-3. HK17. HQC. KINDI. LAC. LAKE. LEDAkem. LEDApkc. Lepton, LIMA, Lizard, LOCKER, LOTUS, LUOV, McNie, Mersenne-756839. MQDSS. NewHope. NTRU Prime. NTRU-HRSS-KEM. NTRUEncrypt. NTS-KEM. Odd Manhattan. OKCN/AKCN/CNKE. Ouroboros-R. Picnic. pqNTRUSign. pqRSA encryption. pqRSA signature. pqsiqRM. QC-MDPC KEM. qTESLA. RaCoSS. Rainbow. Ramstake. RankSign. RLCE-KEM. Round2. RQC. RVB. SABER. SIKE. SPHINCS+. SRTPI. Three Bears, Titanium, WalnutDSA,

Submissions to NIST: status today BIG QUAKE. BIKE. CFPKM. Classic McEliece. Compact LWE. CRYSTALS-DILITHIUM, CRYSTALS-KYBER, DAGS, Ding Key Exchange. DME. DRS. DualModeMS. Edon-K. EMBLEM and R.EMBLEM, FALCON, FrodoKEM, GeMSS, Giophantus. Gravity-SPHINCS. Guess Again. Gui. HILA5. HiMQ-3. HK17. HQC. KINDI. LAC. LAKE. LEDAkem. LEDApkc. Lepton, LIMA, Lizard, LOCKER, LOTUS, LUOV, McNie, Mersenne-756839. MQDSS. NewHope. NTRU Prime. NTRU-HRSS-KEM. NTRUEncrypt. NTS-KEM. Odd Manhattan. OKCN/AKCN/CNKE. Ouroboros-R. Picnic. pqNTRUSign. pqRSA encryption. pqRSA signature. pqsiqRM. QC-MDPC KEM. qTESLA. RaCoSS. Rainbow. Ramstake. RankSign. RLCE-KEM. Round2. RQC. RVB. SABER. SIKE. SPHINCS+. SRTPI. Three Bears. Titanium. WalnutDSA.

#### Legend: Still in the NIST competition. Less security than claimed. Really broken. <u>Attack scripts</u>.

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#### Quantitative cryptographic risk analysis:

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- Scientifically evaluate these mechanisms.
- Use the best mechanisms to select lowest-risk cryptosystems.
- Bad news: This is wishful thinking.

Note that success in this direction would eliminate many cryptographic jobs: failures produce cryptographic funding.

# Mitigations for cryptanalysis

Minimum mitigation for R3b (not useful for R3a): Don't throw away the existing encryption layer. Double encrypt using old+new cryptosystems ("hybrid").

Recommended by, e.g., ANSI, French ANSSI, German BSI. Used in 2019 SIKE experiment; prevented R3b impact there.

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R3a+R3b mitigation: Take, e.g., Kyber-1024, not Kyber-512. Note that this doesn't *eliminate* risk: largest SIKE version is broken.

#### R4: The patent risk

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Mitigations: search patents; analyze patent coverage; use older cryptosystems.

## Kyber patent delays, part 1

NIST sets October 2021 deadline for input regarding the competition.

December 2021: "NIST will be selecting the first post-quantum standards for KEMs (and digital signatures) around the end of December or sometime in early January."

April 2022: "the delay is not due to technical considerations but is due to some legal and procedural steps that are taking more time than we anticipated".

# Kyber patent delays, part 2

July 2022: NIST announces selection of Kyber for encryption—but says it hasn't signed patent licenses yet.

"NIST expects to execute the various agreements prior to publishing the standard. If the agreements are not executed by the end of 2022, NIST may consider selecting NTRU instead of Kyber."

(NTRU is older; patent expired in 2017. NTRU Prime and Kyber are variants of NTRU.)

## Kyber patent delays, part 3

November 2022: NIST says it has signed two patent licenses—but one license won't activate until NIST issues a standard, probably 2024.

License text is only for "a NIST Special Publication or Federal Information Processing Standard" and specifically disallows any "modification, extension, or derivation of the parameters of the PQC ALGORITHM".

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The only decisive-sounding factor listed is performance: "With regard to performance, Kyber was near the top (if not the top) in most benchmarks."

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Probability: low for all *C* of interest here. But commonly portrayed as high, driving selection of cryptosystems that amplify risks R3 and R4.

#### Example of how NIST analyzed R5 July 2020 NIST report:

- FrodoKEM in TLS key exchange would cost "around 20,000 bytes" plus "2 million cycles" for the server.
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- "NIST's first priority for standardization is a KEM that would have acceptable performance in widely used applications overall" so NIST is punting on FrodoKEM.

I request explanation of the basis for the claim that 20000 bytes plus 2 million cycles would not be "acceptable performance" for post-quantum TLS key exchange.

# Example, continued

NIST's answer: "While it is not possible to speak for what every user of our standards would or wouldn't find 'acceptable', there is a pretty large difference between the performance of Frodo on the one hand and Kyber, NTRU, and Saber on the other hand. We are therefore more confident that Kyber, NTRU, or Saber will be considered 'acceptable' for most users than that Frodo will."

#### What's next?

You won't be fired for this strategy: "We'll form a committee to devise an action plan to inventory current usage of cryptography to support future assessment of the steps needed to build a best-practices playbook for meeting the performance challenges of upgrading to post-quantum cryptography, with a target date after I retire."

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But what SSH did is a better strategy.