Comparing proofs of security for lattice-based encryption

Daniel J. Bernstein

Primary objective of this paper: Make a **complete plan** for **thorough security reviews** of 36 target KEMs.

Much harder: Do the reviews!

Complete plan is framework
to evaluate which pieces are done,
and to coordinate further efforts.

KEMs vary in what's needed.

The target KE	EMs (all proposed
for wide deplo	yment, IND-CCA2):
frodo	640, 976, 1344.
kyber	512, 768, 1024.
lac	128, 192, 256.
newhope	512, 1024.
ntru hps20	48509, hps2048677,
hp	s4096821, hrss701.
ntrulpr	653, 761, 857.
round5n1	1, 3, 5.
round5nd	1.0d, 3.0d, 5.0d,
	1.5d, 3.5d, 5.5d.
saber	light, main, fire.
sntrup	653, 761, 857.
threebears	baby, mama, papa.

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653, 761, 857. sntrup

threebears baby, mama, papa. lac newhope

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The target KEMs (all proposed
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                    for wide deployment, IND-CCA2):
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                    kyber
                                     512, 768, 1024.
                    lac
                                      128, 192, 256.
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                    newhope
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                                      653, 761, 857.
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                                 light, main, fire.
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	The target KEMs (all proposed		One categoriza	tion of the K	
	for wide deplo	oyment, IND-CCA2):			
	frodo	640, 976, 1344.		frodo	Product 1
	kyber	512, 768, 1024.		kyber	Product 1
	lac	128, 192, 256.		lac	Product 1
oer:	newhope	512, 1024.		newhope	Product 1
	ntru hps20	48509, hps2048677,		ntru	Quotient l
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	ntrulpr	653, 761, 857.		ntrulpr	Product 1
rs!	round5n1	1, 3, 5.		round5n1	Product 1
	round5nd	1.0d, 3.0d, 5.0d,		round5nd	Product 1
done,		1.5d, 3.5d, 5.5d.			
forts.	saber	light, main, fire.		saber	Product 1
J .	sntrup	653, 761, 857.		sntrup	Quotient l
	threebears	baby, mama, papa.		threebears	Product 1

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saber	light, main, fire.	
sntrup	653, 761, 857.	
threebears	baby, mama, papa.	

One categorization of the KEMs:

frodo	Product NTRU.
kyber	Product NTRU.
lac	Product NTRU.
newhope	Product NTRU.
ntru	Quotient NTRU.
ntrulpr	Product NTRU.
round5n1	Product NTRU.
round5nd	Product NTRU.
saber	Product NTRU.

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Product NTRU.

get K	EMs (all proposed	One categoriza	tion of the KEMs:
deplo	oyment, IND-CCA2):		
	640, 976, 1344.	frodo	Product NTRU
	512, 768, 1024.	kyber	Product NTRU
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hp	s4096821, hrss701.		
.	653, 761, 857.	ntrulpr	Product NTRU
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ıd	1.0d, 3.0d, 5.0d,	round5nd	Product NTRU
	1.5d, 3.5d, 5.5d.		
	light, main, fire.	saber	Product NTRU
	653, 761, 857.	sntrup	Quotient NTRU
ears	baby, mama, papa.	threebears	Product NTRU

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(all proposed	One categorization of the KEMs:	
nt, IND-CCA2):		
640, 976, 1344.	frodo	Product NTRU.
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128, 192, 256.	lac	Product NTRU.
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5d, 3.5d, 5.5d.		
ht, main, fire.	saber	Product NTRU.
653, 761, 857.	sntrup	Quotient NTRU.
by, mama, papa.	threebears	Product NTRU.

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sed	One categorization of the KEMs:		
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1344.	frodo	Product NTRU.	
1024.	kyber	Product NTRU.	
2, 256.	lac	Product NTRU.	
1024.	newhope	Product NTRU.	
48677,	ntru	Quotient NTRU.	
ss701.			
L, 857.	ntrulpr	Product NTRU.	
., 3, 5.	round5n1	Product NTRU.	
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5.5d.			
fire.	saber	Product NTRU.	
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Revised plan:

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Again clean up; check by hand; track failure categories.

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Risk #1: P does not reach security level λ' .

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 $\delta = 0$ proven for 10 KEMs: ntru, ntrulpr, sntrup. (Also, simpler ROM IND-CCA2 proof.)

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The proofs are limited to ROM IND-CCA2 attacks.

Issue for Product NTRU and for Quotient NTRU.

For all target KEMs, need non-ROM IND-CCA2 cryptanalysis.

Decryption failures ("fail" / "conj")

2017 Hofheinz–Hövelmanns–Kiltz proofs do not rule out ROM IND–CCA2 attacks with probability $Q\delta$, even if the PKEs are secure.

Q: number of hash calls.

 δ : failure probability.

 $\delta=0$ proven for 10 KEMs: ntru, ntrulpr, sntrup. (Also, simpler ROM IND-CCA2 proof.)

frodo640, kyber512 prove $\delta \leq 2^{-128} \text{ with security goal } 2^{128}.$

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When hashing is involved, analyze three types of attacks:

- (1) ROM attacks.
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As far as I can tell, none of the target KEMs claim higher *U*-user security.