Computational algebraic number theory tackles lattice-based cryptography Daniel J. Bernstein University of Illinois at Chicago & Technische Universiteit Eindhoven

Moving to the left Moving to the right Big generator Moving through the night —Yes, "Big Generator", 1987

The short-generator problem

Take degree-n number field K. i.e. field $K \subseteq \mathbf{C}$ with len_Q K = n. (Weaker specification: field Kwith $\mathbf{Q} \subseteq K$ and $\operatorname{len}_{\mathbf{Q}} K = n$.) e.g. n = 2; $K = \mathbf{Q}(i) =$ $\mathbf{Q} \oplus \mathbf{Q}i \hookrightarrow \mathbf{Q}[x]/(x^2+1).$ e.g. n = 256; $\zeta = \exp(\pi i/n)$; $K = \mathbf{Q}(\zeta) \hookrightarrow \mathbf{Q}[x]/(x^n + 1).$ e.g. n = 660; $\zeta = \exp(2\pi i/661)$; $K = \mathbf{Q}(\zeta) \hookrightarrow \mathbf{Q}[x]/(x^n + \cdots + 1).$ e.g. $K = \mathbf{Q}(\sqrt{2}, \sqrt{3}, \sqrt{5}, \dots, \sqrt{29}).$ Define $\mathcal{O} = \overline{\mathbf{Z}} \cap K$; subring of K. $\mathcal{O} \hookrightarrow \mathbf{Z}^n$ as \mathbf{Z} -modules.

Nonzero ideals of \mathcal{O} factor uniquely as products of powers of prime ideals of \mathcal{O} .

e.g. $\mathcal{K} = \mathbf{Q}(i) \hookrightarrow \mathbf{Q}[x]/(x^2 + 1)$ $\Rightarrow \mathcal{O} = \mathbf{Z}[i] \hookrightarrow \mathbf{Z}[x]/(x^2 + 1).$ e.g. $\zeta = \exp(\pi i/256), \ \mathcal{K} = \mathbf{Q}(\zeta)$ $\Rightarrow \mathcal{O} = \mathbf{Z}[\zeta] \hookrightarrow \mathbf{Z}[x]/(x^{256} + 1).$ e.g. $\zeta = \exp(2\pi i/661), \ \mathcal{K} = \mathbf{Q}(\zeta)$ $\Rightarrow \mathcal{O} = \mathbf{Z}[\zeta] \hookrightarrow \cdots.$ e.g. $\mathcal{K} = \mathbf{Q}(\sqrt{5}) \Rightarrow \mathcal{O} =$ $\mathbf{Z}[(1+\sqrt{5})/2] \hookrightarrow \mathbf{Z}[x]/(x^2-x-1).$

The short-generator problem: Find "short" nonzero $g \in \mathcal{O}$ given the principal ideal $g\mathcal{O}$. e.g. $\zeta = \exp(\pi i/4); K = \mathbf{Q}(\zeta);$ $\mathcal{O} = \mathbf{Z}[\zeta] \hookrightarrow \mathbf{Z}[x]/(x^4+1).$ The **Z**-submodule of \mathcal{O} gen by $201 - 233\zeta - 430\zeta^2 - 712\zeta^3$, $935 - 1063\zeta - 1986\zeta^2 - 3299\zeta^3$, $979 - 1119\zeta - 2092\zeta^2 - 3470\zeta^3$, $718 - 829\zeta - 1537\zeta^2 - 2546\zeta^3$ is an ideal I of \mathcal{O} . Can you find a short $g \in \mathcal{O}$ such that $I = g \mathcal{O}$?

The lattice perspective

Use LLL to quickly find short elements of lattice ZA + ZB + ZC + ZD where A = (201, -233, -430, -712),B = (935, -1063, -1986, -3299),C = (979, -1119, -2092, -3470),D = (718, -829, -1537, -2546).

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Also find, e.g., (-4, -1, 3, 1). Multiplying by root of unity (here ζ^2) preserves shortness.

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Fancier lattice algorithms: Under reasonable assumptions, 2015 Laarhoven–de Weger finds g in time $\approx 1.23^n$. Big progress compared to, e.g., 2008 Nguyen–Vidick ($\approx 1.33^n$) but still exponential time.

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Familiar issue from "index calculus" DL methods, CFRAC, LS, QS, NFS, etc. Model the norm of $(\alpha/g)O$ as "random" integer in [1, x]; y-smoothness chance $\approx 1/y$ if $\log y \approx \sqrt{(1/2) \log x \log \log x}$. Variation: Ignore $g\mathcal{O}$. Generate rather short $\alpha \in \mathcal{O}$, factor $\alpha \mathcal{O}$ into small primes. After enough α 's, solve system of equations; obtain generator for each prime.

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"Do all primes have generators?"

— Standard heuristics:

For many (most?) number fields, yes; but for big cyclotomics, no! Modulo a few small primes, yes. {principal nonzero ideals} is kernel of a semigroup map {nonzero ideals} $\rightarrow C$ where *C* is a finite abelian group, the "class group of *K*".

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Factoring many small αO is a standard textbook method of computing class group and generators of ideals.

Also compute unit group \mathcal{O}^* via ratios of generators.

<u>Big generator</u>

Smart–Vercauteren: "However this method is likely to produce a generator of large height, i.e., with large coefficients. Indeed so large, that writing the obtained generator down as a polynomial in θ may take exponential time."

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How do we find g from gu?

There are exactly *n* distinct ring maps $\varphi_1, \ldots, \varphi_n : K \to \mathbf{C}$. There are exactly *n* distinct ring maps $\varphi_1, \ldots, \varphi_n : K \to \mathbb{C}$. Define Log : $K^* \to \mathbb{R}^n$ by Log = $(\log |\varphi_1|, \ldots, \log |\varphi_n|)$.

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Find elements of Log \mathcal{O}^* close to Log *g u*.

This is a close-vector problem ("bounded-distance decoding"). "Embedding" heuristic: CVP as fast as SVP. Compute Log guas sum of multiples of Log α for the original α 's.

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This finds Log *u*. Easily reconstruct *g* up to a root of unity. #{roots of unity} is small.

(2014.02 Bernstein)

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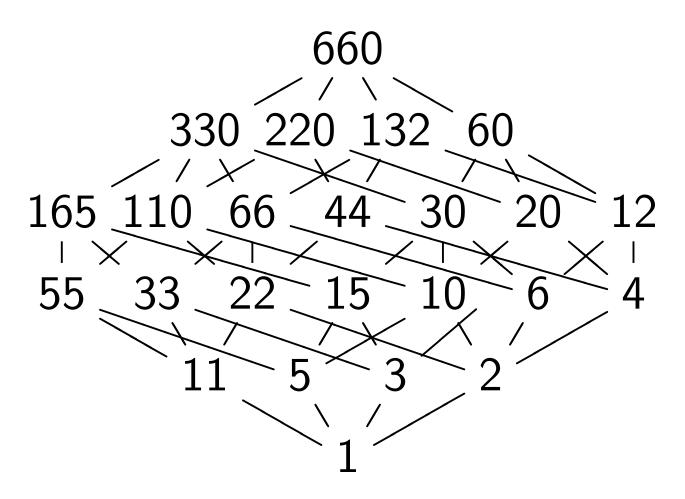
Find elements close to $\log g u$. Lower-dimension lattice problem, if unit rank of F is positive. Start by recursively computing $Log norm_{K:F} g$ via norm of $g\mathcal{O}$ for each $F \subset K$.

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e.g. $\zeta = \exp(2\pi i/661)$, $K = \mathbf{Q}(\zeta)$. Degrees of subfields of K:



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Composite of quadratics, such as $K = \mathbf{Q}(\sqrt{2}, \sqrt{3}, \sqrt{5}, \dots, \sqrt{29}).$

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Confused summary by Cramer– Ducas–Peikert–Regev: method "may yield slightly subexponential runtimes in *cyclotomic* rings of *highly smooth* index". Further improvements: 1, 2

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2 2015.01 Song announcement:
Fast quantum algorithm for gu.
"PIP ... solved [BiasseSong'14]".
But paper not available yet.