High-speed cryptography, part 4:

fast multiplication and its applications

Daniel J. Bernstein
University of Illinois at Chicago &
Technische Universiteit Eindhoven

Survey paper:

cr.yp.to/papers.html#multapps

Integer-factorization bottleneck: Given sequence of numbers, find nonempty subsequence with square product.

e.g. given 6, 7, 8, 10, 15, discover  $6 \cdot 10 \cdot 15 = 30^2$ .

Discrete-log bottleneck: Given sequence of numbers, find 1 as nontrivial product of powers. e.g. given 6, 7, 8, 10, 15, discover  $6^37^08^{-2}10^315^{-3} = 1$ .

More generally: find kth power.

Two very common cryptographic bottlenecks: Multiply large polynomials; multiply large integers.

Two very common cryptographic bottlenecks: Multiply large polynomials; multiply large integers.

All of these computations can be performed in essentially *linear* time.

Two very common cryptographic bottlenecks: Multiply large polynomials; multiply large integers.

All of these computations can be performed in essentially *linear* time.

Do real applications reach large enough sizes to benefit from these techniques? In cryptanalysis, definitely. In cryptography, sometimes: Gaudry–Schost Kummer surface; McBits; many more examples.

#### The fast Fourier transform

Use 
$$(c_0, c_1, \ldots, c_{n-1}) \in \mathbf{C}^n$$
 to represent  $f = \sum_j c_j x^j \in \mathbf{C}[x]$ .

Summary of representation size: "f has n coeffs". Warning: f does not determine n.

$$f=f_0(x^2)+xf_1(x^2)$$
 where  $(c_0,c_2,\ldots)\in \mathbf{C}^{\lceil n/2 \rceil},$   $(c_1,c_3,\ldots)\in \mathbf{C}^{\lfloor n/2 \rfloor}$  represent  $f_0,f_1$  respectively.

 ${f C}[x]$ -morphism  $y\mapsto x^2$  from  ${f C}[x][y]$  to  ${f C}[x]$  maps  $f_0(y)+xf_1(y)$  to f .

Quickly evaluate  $f(\alpha), f(-\alpha)$ by evaluating  $f_0(\alpha^2); f_1(\alpha^2);$  $f(\alpha) = f_0(\alpha^2) + \alpha f_1(\alpha^2);$  $f(-\alpha) = f_0(\alpha^2) - \alpha f_1(\alpha^2).$ 

Evaluate  $f(\alpha)$  for, e.g., all  $\alpha \in \mathbf{C}$  with  $\alpha^{1024} = 1$  by evaluating  $f_0(\beta)$ ,  $f_1(\beta)$  for all  $\beta \in \mathbf{C}$  with  $\beta^{512} = 1$ ; plus 1024 adds, 512 mults.

Apply this recursively  $\Rightarrow$   $n \lg n$  adds,  $(n/2) \lg n$  mults to evaluate n-coeff f for all  $\alpha \in \mathbf{C}$  with  $\alpha^n = 1$  if n is a power of 2.

#### Another view of the FFT

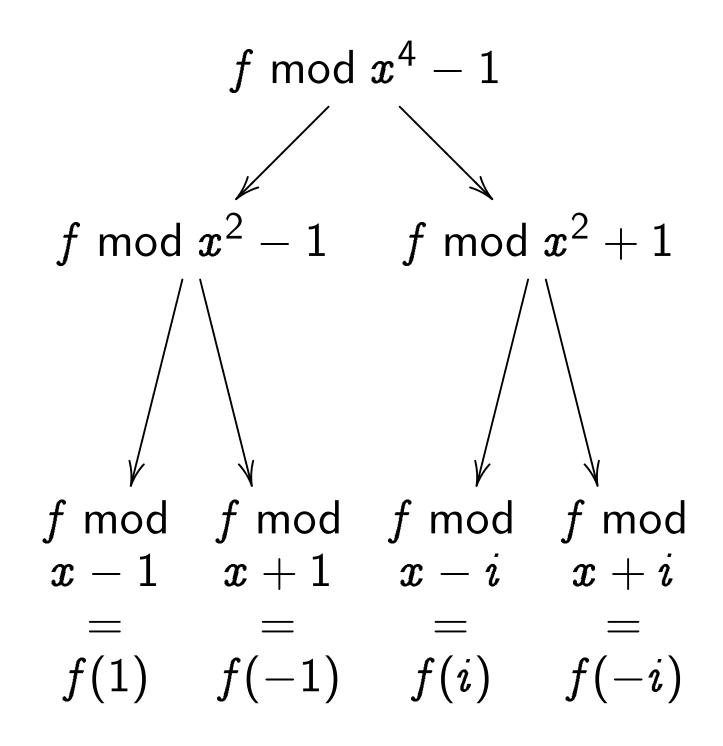
If 
$$f \in \mathbf{C}[x]$$
 and  $f \mod x^4 - 1 =$   $c_0 + c_1 x + c_2 x^2 + c_3 x^3$  then  $f \mod x^2 - 1 =$   $(c_0 + c_2) + (c_1 + c_3)x$ ,  $f \mod x^2 + 1 =$   $(c_0 - c_2) + (c_1 - c_3)x$ .

$$\mathbf{C}[x]\text{-morphism } \mathbf{C}[x]/(x^4 - 1) \hookrightarrow \mathbf{C}[x]/(x^2 - 1) \oplus \mathbf{C}[x]/(x^2 + 1)$$
 maps  $c_0 + c_1 x + c_2 x^2 + c_3 x^3$  to  $((c_0 + c_2) + (c_1 + c_3)x,$   $(c_0 - c_2) + (c_1 - c_3)x)$ .

If  $f \in \mathbf{C}[x]$  and  $f \mod x^{2n} - \alpha^2 =$  $c_0 + c_1 x + \cdots + c_{2n-1} x^{2n-1}$  then  $f \mod x^n - \alpha =$  $(c_0 + \alpha c_n) + (c_1 + \alpha c_{n+1})x$  $+(c_2+\alpha c_{n+2})x^2+\cdots,$  $f \mod x^n + \alpha =$  $(c_0 - \alpha c_n) + (c_1 - \alpha c_{n+1})x$  $+(c_2-\alpha c_{n+2})x^2+\cdots$ 

Given  $c_0, c_1, \ldots, c_{2n-1} \in \mathbf{C}$ , use n mults, 2n adds to compute  $c_0 + \alpha c_n, c_1 + \alpha c_{n+1}, \ldots, c_0 - \alpha c_n, c_1 - \alpha c_{n+1}, \ldots$ 

# Apply this recursively:



(basic FFT idea: 1866 Gauss; this view: 1972 Fiduccia)

1966 Sande, 1966 Stockham: Can very quickly multiply in  $\mathbf{C}[x]/(x^n-1)$  or  $\mathbf{C}[x]$  or  $\mathbf{R}[x]$  by mapping  $\mathbf{C}[x]/(x^n-1)$  to  $\mathbf{C}^n$ .

Given  $f,g \in \mathbf{C}[x]/(x^n-1)$ : compute fg as  $T^{-1}(T(f)T(g))$ using  $T:\mathbf{C}[x]/(x^n-1) \hookrightarrow \mathbf{C}^n$ . Compute T quickly by the FFT.

Given  $f, g \in \mathbf{C}[x]$ ,  $\deg fg < n$ : compute fg from its image in  $\mathbf{C}[x]/(x^n-1)$ .

1966 Sande, 1966 Stockham: Can very quickly multiply in  $\mathbf{C}[x]/(x^n-1)$  or  $\mathbf{C}[x]$  or  $\mathbf{R}[x]$  by mapping  $\mathbf{C}[x]/(x^n-1)$  to  $\mathbf{C}^n$ .

Given  $f,g \in \mathbf{C}[x]/(x^n-1)$ : compute fg as  $T^{-1}(T(f)T(g))$ using  $T:\mathbf{C}[x]/(x^n-1) \hookrightarrow \mathbf{C}^n$ . Compute T quickly by the FFT.

Given  $f, g \in \mathbf{C}[x]$ ,  $\deg fg < n$ : compute fg from its image in  $\mathbf{C}[x]/(x^n-1)$ .

Later authors: Replace  $\mathbf{C}$  with, e.g.,  $R = \mathbf{Z}/(3 \cdot 2^{41} + 1)$ ; 23 has order  $2^{41}$  in  $R^*$ .

## Multiplication and division

Given  $r, s \in \mathbf{Z}$ , can compute rs in time  $\leq b(\lg b)^{1+o(1)}$  where b is number of input bits.

(1971 Pollard; independently 1971 Nicholson; independently 1971 Schönhage Strassen)

Also time  $\leq b(\lg b)^{1+o(1)}$  where b is number of input bits: Given  $r, s \in \mathbf{Z}$  with  $s \neq 0$ , compute  $\lfloor r/s \rfloor$  and  $r \mod s$ .

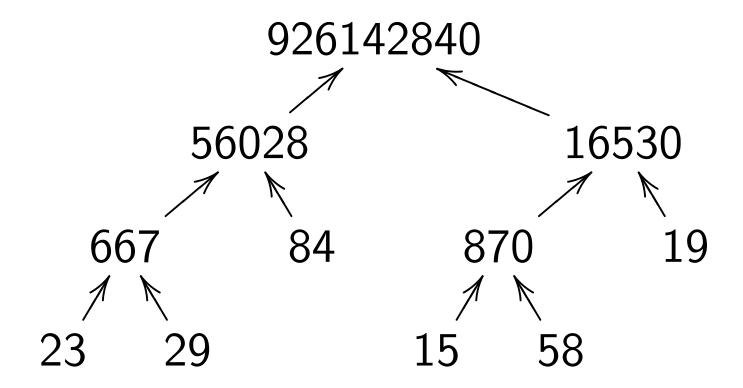
(reduction to product: 1966 Cook)

#### Product trees

Time  $\leq b(\lg b)^{2+o(1)}$  where b is number of input bits: Given  $x_1, x_2, \ldots, x_n \in \mathbf{Z}$ , compute  $x_1 x_2 \cdots x_n$ .

Actually compute  $\mathbf{product}$  tree of  $x_1, x_2, \ldots, x_n$ . Root is  $x_1x_2\cdots x_n$ . Has left subtree if  $n\geq 2$ : product tree of  $x_1,\ldots,x_{\lceil n/2\rceil}$ . Also right subtree if  $n\geq 2$ : product tree of  $x_{\lceil n/2\rceil+1},\ldots,x_n$ .

e.g. tree for 23, 29, 84, 15, 58, 19:



Tree has  $\leq (\lg b)^{1+o(1)}$  levels. Each level has  $\leq b(\lg b)^{0+o(1)}$  bits.

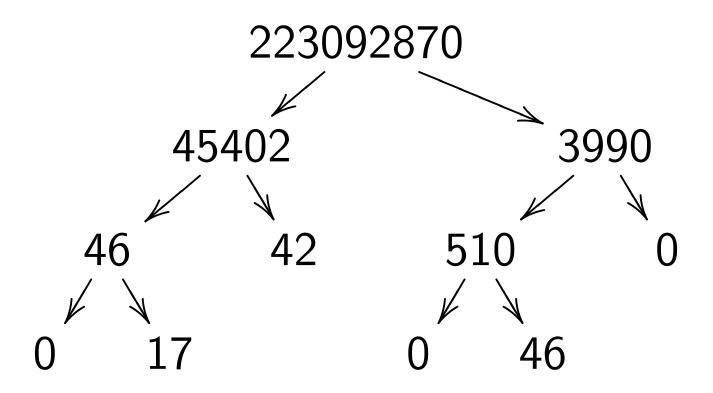
Obtain each level in time  $\leq b(\lg b)^{1+o(1)}$  by multiplying lower-level pairs.

#### Remainder trees

#### Remainder tree

of  $r, x_1, x_2, \ldots, x_n$  has one node r mod t for each node t in product tree of  $x_1, x_2, \ldots, x_n$ .

e.g. remainder tree of 223092870, 23, 29, 84, 15, 58, 19:



Time  $\leq b(\lg b)^{2+o(1)}$ : Given  $r \in \mathbf{Z}$  and nonzero  $x_1, \ldots, x_n \in \mathbf{Z}$ , compute remainder tree of  $r, x_1, \ldots, x_n$ .

In particular, compute  $r \mod x_1, \ldots, r \mod x_n$ .

In particular, see which of  $x_1, \ldots, x_n$  divide r.

(1972 Moenck Borodin, for "single precision"  $x_i$ 's, whatever exactly that means)

## Small primes, union

Time  $\leq b(\lg b)^{2+o(1)}$ : Given  $x_1, x_2, \ldots, x_n \in \mathbf{Z}$  and finite set  $Q \subseteq \mathbf{Z} - \{0\}$ , compute  $\{p \in Q: x_1x_2 \cdots x_n \bmod p = 0\}$ .

In particular, when p is prime, see whether p divides any of  $x_1, x_2, \ldots, x_n$ .

#### Algorithm:

- 1. Use a product tree to compute  $r=x_1x_2\cdots x_n$  .
- 2. Use a remainder tree to see which  $p \in Q$  divide r.

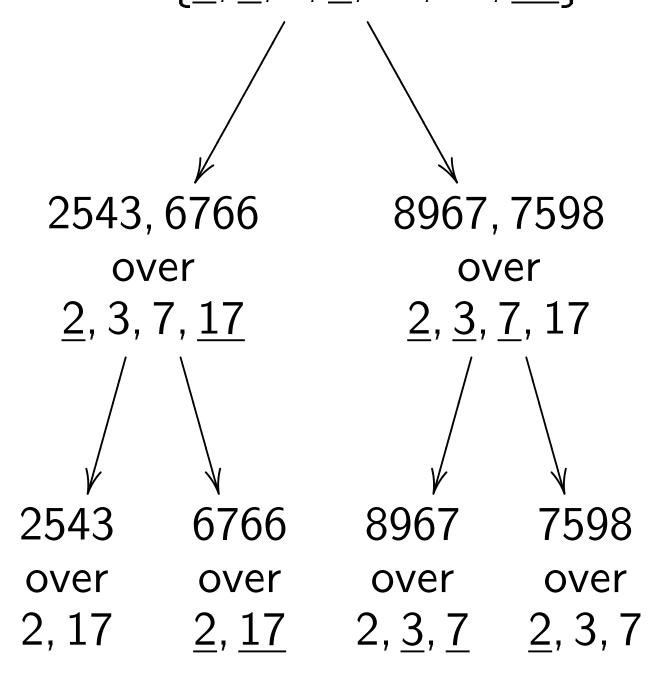
## Small primes, separately

Time  $\leq b(\lg b)^{3+o(1)}$ : Given  $x_1, x_2, \ldots, x_n \in \mathbf{Z}$  and finite set Q of primes, compute  $\{p \in Q : x_1 \mod p = 0\}$ ,  $\ldots$ ,  $\{p \in Q : x_n \mod p = 0\}$ . (2000 Bernstein)

## Algorithm for n > 1:

- 1. Replace Q with  $\{p \in Q: x_1 \cdots x_n \ \mathsf{mod} \ p = 0\}.$
- 2. If n = 1, print Q and stop.
- 3. Recurse on  $x_1, \ldots, x_{\lceil n/2 \rceil}, Q$ .
- 4. Recurse on  $x_{\lceil n/2 \rceil+1}, \ldots, x_n, Q$ .

Factor 2543, 6766, 8967, 7598 over  $\{2, 3, 5, 7, 11, 13, 17\}$ 



Each level has  $\leq b(\lg b)^{0+o(1)}$  bits.

## Exponents of a small prime

Time  $\leq b(\lg b)^{2+o(1)}$ :

Given nonzero  $p, x \in \mathbb{Z}$ , find  $e, p^e, x/p^e$  with maximal e.

#### Algorithm:

- 1. If  $x \mod p \neq 0$ : Print 0, 1, x and stop.
- 2. Find f,  $(p^2)^f$ ,  $r = (x/p)/(p^2)^f$  with maximal f.
- 3. If  $r \mod p = 0$ : Print 2f + 2,  $(p^2)^f p^2$ , r/p and stop.
- 4. Print 2f + 1,  $(p^2)^f p$ , r.

## Exponents of small primes

Time  $\leq b(\lg b)^{3+o(1)}$ : Given finite set Q of primes and nonzero  $x \in \mathbf{Z}$ , find maximal e,  $\prod_{p \in Q} p^{e(p)}$ ,  $x/\prod_{p \in Q} p^{e(p)}$ .

#### Algorithm:

- 1. Replace Q with  $\{p \in Q : x \bmod p = 0\}.$
- 2. Find maximal f, s, r with  $s = \prod (p^2)^{f(p^2)}, r = (x/\prod p)/s$ .
- 3. Find  $T = \{ p \in Q : r \mod p = 0 \}$ .
- 4. Output  $e, s \prod_{p \in T} p, r / \prod_{p \in T} p$  where  $e(p) = 2f(p^2) + [p \in T]$ .

## Smooth parts, old approach

Time  $\leq b(\lg b)^{3+o(1)}$ : Given nonzero  $x_1, x_2, \ldots, x_n \in \mathbf{Z}$ and finite set Q of primes, compute Q-smooth part of  $x_1$ , Q-smooth part of  $x_2, \ldots, Q$ -smooth part of  $x_n$ .

Q-smooth means product of powers of elements of Q.

Q-smooth part means largest Q-smooth divisor. In particular, see which of  $x_1, x_2, \ldots, x_n$  are smooth.

#### Algorithm:

- 1. Find  $Q_1=\{p:x_1 mod p=0\}, \ldots, \ Q_n=\{p:x_n mod p=0\}.$
- 2. For each i separately: Find maximal e, s, r with  $s = \prod_{p \in Q_i} p^{e(p)}, r = x_i/s$ . Print s.
- e.g. factor 2543, 6766, 8967, 7598 over {2, 3, 5, 7, 11, 13, 17}: 2543 over {}, smooth part 1; 6766 over {2, 17}, smooth part 34; 8967 over {3, 7}, smooth part 147; 7598 over {2}, smooth part 2.

# Smooth multiplicative dependencies

Recall cryptanalytic bottleneck: find kth power nontrivially as product of powers of  $x_1, x_2, \ldots, x_n$ .

Choose y; imagine  $y=2^{40}$ . Define Q as set of primes  $\leq y$ . See which of  $x_1, x_2, \ldots, x_n$  are y-smooth, i.e., Q-smooth. Know their factorizations. Do linear algebra over  $\mathbf{Z}/k$ on the exponent vectors.

# Smooth parts, new approach

Given nonzero  $x_1, x_2, \ldots, x_n \in \mathbf{Z}$  and finite set Q of primes: Time typically  $\leq b(\lg b)^{2+o(1)}$  to obtain smooth parts of x's. (2004 Franke Kleinjung Morain Wirth, in ECPP context)

## Algorithm:

Compute  $r = \prod_{p \in Q} p$ . Compute  $r \mod x_1, \ldots, r \mod x_n$ . For each i separately:

Replace  $x_i$  by  $x_i/\gcd\{x_i, r \bmod x_i\}$  repeatedly until gcd is 1.

Slight variant (2004 Bernstein): Time always  $\leq b(\lg b)^{2+o(1)}$ .

Compute smooth part of  $x_i$  as  $\gcd\{x_i, (r \bmod x_i)^{2^k} \bmod x_i\}$  where  $k = \lceil \lg \lg x_i \rceil$ .

Subroutine: Computing gcd takes time  $\leq b(\lg b)^{2+o(1)}$ . (1971 Schönhage; core idea: 1938 Lehmer;  $b(\lg b)^{5+o(1)}$ : 1971 Knuth)

Or, to see if  $x_i$  is smooth, see if  $(r \mod x_i)^{2^k} \mod x_i = 0$ .

Minor problem: New algorithm finds the smooth numbers but doesn't factor them.

Minor problem: New algorithm finds the smooth numbers but doesn't factor them.

#### Solution:

Feed the smooth numbers to the old algorithm.

Very few smooth numbers, so this is very fast.

Bottom line for cryptanalysis: time per input number to find and factor smooth numbers has dropped by  $(\lg b)^{1+o(1)}$ .

# Is smooth the right question?

After finding smooth numbers, do first step of linear algebra: Throw away primes that appear only once; throw away numbers with those primes; repeat until stable.

Don't want *all* smooth numbers. Want smooth numbers only if they are built from primes that divide the *other* numbers.

## An alternate approach

Given nonzero  $x_1, x_2, \ldots, x_n \in \mathbf{Z}$ : Compute  $r = x_1x_2 \cdots x_n$ . Compute  $(r/x_1) \mod x_1, \ldots, (r/x_n) \mod x_n$ . For each i separately: see if  $((r/x_i) \mod x_i)^{2^k} \mod x_i = 0$  where  $k = \lceil \lg \lg x_i \rceil$ .

Finds  $x_i$  iff all primes in  $x_i$  are divisors of other x's.

Time  $\leq b(\lg b)^{2+o(1)}$ .

(2004 Bernstein)

Compute  $(r/x_1) \mod x_1, \ldots,$   $(r/x_n) \mod x_n$  by computing  $r \mod x_1^2, \ldots, r \mod x_n^2$ . (1972 Moenck Borodin)

Compute  $(r/x_1) \mod x_1, \ldots,$   $(r/x_n) \mod x_n$  by computing  $r \mod x_1^2, \ldots, r \mod x_n^2$ . (1972 Moenck Borodin)

Problem: Recognizing the interesting x's is not enough; also need their factorizations.

Compute  $(r/x_1) \mod x_1, \ldots,$   $(r/x_n) \mod x_n$  by computing  $r \mod x_1^2, \ldots, r \mod x_n^2$ . (1972 Moenck Borodin)

Problem: Recognizing the interesting x's is not enough; also need their factorizations.

#### Solution:

Again, very few of them.
Have ample time to
use rho method (1974 Pollard)
or use ECM (1987 Lenstra)
or factor into coprimes.

# Factoring into coprimes

Time  $\leq b(\lg b)^{O(1)}$ : Given positive  $x_1, x_2, \ldots, x_n$ , find coprime set Qand complete factorization of each  $x_i$  over Q.

(announced 1995 Bernstein; journal version: 2005)

Immediately gives  $b(\lg b)^{O(1)}$  for the other factoring problems. Subsequent research:  $\lg speedups$ , constant-factor speedups, etc.

Typical application: detecting multiplicative relations.

Does  $91^{1952681}119^{1513335}221^{634643}$  equal  $1547^{1708632}6898073^{439346}$ ?

Each side has logarithm  $\approx 19466590.674872$ .

Typical application: detecting multiplicative relations.

Does  $91^{1952681}119^{1513335}221^{634643}$  equal  $1547^{1708632}6898073^{439346}$ ?

Each side has logarithm  $\approx 19466590.674872$ .

More generally:

What is kernel of  $(a, b, c, d, e) \mapsto 91^a 119^b 221^c 1547^{-d} 6898073^{-e}$ ?

Kernel lets us find relations, not just verify relations.

#### Factor into coprimes:

$$91 = 7 \cdot 13$$
;  $119 = 7 \cdot 17$ ;  
 $221 = 13 \cdot 17$ ;  $1547 = 7 \cdot 13 \cdot 17$ ;  
 $6898073 = 7^4 \cdot 13^2 \cdot 17$ .

$$(a, b, c, d, e) \mapsto$$
  
 $91^{a}119^{b}221^{c}1547^{-d}6898073^{-e} =$   
 $7^{a+b-d-4e}13^{a+c-d-2e}17^{b+c-d-e}$ .

Kernel is generated by (1, 1, 1, 2, 0) and (3, 2, 0, 1, 1).

Factor into coprimes:

$$91 = 7 \cdot 13$$
;  $119 = 7 \cdot 17$ ;  
 $221 = 13 \cdot 17$ ;  $1547 = 7 \cdot 13 \cdot 17$ ;  
 $6898073 = 7^4 \cdot 13^2 \cdot 17$ .

$$(a, b, c, d, e) \mapsto$$
  
 $91^{a}119^{b}221^{c}1547^{-d}6898073^{-e} =$   
 $7^{a+b-d-4e}13^{a+c-d-2e}17^{b+c-d-e}$ .

Kernel is generated by (1, 1, 1, 2, 0) and (3, 2, 0, 1, 1).

Factoring into coprimes remains fast for larger numbers. Factoring into primes does not.

Can apply same algorithms in more generality: e.g., replace integers with polynomials.

Typical application:

Take a squarefree  $g \in (\mathbf{Z}/2)[x]$ . What are g's irreducible divisors?

One answer: Find basis  $h_1, h_2, \ldots$  for  $\{h \in (\mathbf{Z}/2)[x] : (gh)' = h^2\}$  as a vector space over  $\mathbf{Z}/2$ . Factor  $g, h_1, h_2, \ldots$  into coprimes. This list of coprimes contains all irreducible divisors of g.

(1993 Niederreiter, 1994 Göttfert)

More examples, applications of factoring into coprimes: see 1890 Stieltjes; 1974 Collins; 1985 Kaltofen; 1985 Della Dora DiCrescenzo Duval; 1986 Bach Miller Shallit; 1986 von zur Gathen; 1986 Lüneburg; 1989 Pohst Zassenhaus; 1990 Teitelbaum; 1990 Smedley; 1993 Bach Driscoll Shallit; 1994 Ge; 1994 Buchmann Lenstra; 1996 Bernstein; 1997 Silverman; 1998 Cohen Diaz y Diaz Olivier; 1998 Storjohann; . . . cr.yp.to/coprimes.html

Exercise: Given 2<sup>23</sup> RSA keys, how would you check for primes shared among those keys?

2012 Heninger–Durumeric–
Wustrow–Halderman,
best-paper award at
USENIX Security Symposium;
2012 Lenstra–Hughes–Augier–
Bos–Kleinjung–Wachter,
independent "Ron was wrong,
Whit is right" paper, Crypto:

RSA keys on the Internet use such bad randomness that this does find factors!