Advances in code-based public-key cryptography
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## Advertisements

1. pqcrypto.org:

Post-quantum cryptography-
hash-based, lattice-based,
code-based, multivariate quadratic —introduction and bibliography.
2. pq.crypto.tw/pqc11/:

PQCrypto 2011, Taipei,
just before Asiacrypt.
Deadline 24 June 2011.
3. 2011.indocrypt.org:

Indocrypt 2011, Chennai,
just after Asiacrypt.
Deadline 31 July 2011.

## The McEliece cryptosystem

(1978 McEliece)
McEliece public key:
linear map $G: \mathbf{F}_{2}^{524} \hookrightarrow \mathbf{F}_{2}^{1024}$
represented as $1024 \times 524$ matrix.
McEliece plaintext:
$m \in \mathbf{F}_{2}^{524}$;
and $e \in \mathbf{F}_{2}^{1024}$ of weight 50 .
McEliece ciphertext:
$y=G m+e \in \mathbf{F}_{2}^{1024}$.
Basic problem for attacker:
Given $G, y$, find codeword $G m$ close to $y$ in the code $G \mathbf{F}_{2}^{524}$.

Instead use parity-check matrix (1986 Niederreiter).

Niederreiter public key:
linear map $H: \mathbf{F}_{2}^{1024} \rightarrow \mathbf{F}_{2}^{500}$ represented as $500 \times 1024$ matrix.

Niederreiter plaintext: $m \in \mathbf{F}_{2}^{1024}$ of weight 50 .

Niederreiter ciphertext:
$s=H m \in \mathbf{F}_{2}^{500}$.
Basic problem for attacker:
Given $H, s$, find low-weight $m \in \mathbf{F}_{2}^{1024}$ with $H m=s$. Equivalent to previous problem.

## Information-set decoding

Choose random size-500 subset
$S \subseteq\{1,2,3, \ldots, 1024\}$.
For almost all $H$ :
Good chance
that $\mathbf{F}_{2}^{S} \hookrightarrow \mathbf{F}_{2}^{1024} \xrightarrow{H} \mathbf{F}_{2}^{500}$ is invertible.

Hope $m \in \mathbf{F}_{2}^{S}$; chance $\approx 2^{-53}$.
Apply inverse map to Hm , revealing $m$ if $m \in \mathbf{F}_{2}^{S}$.

If $m \notin \mathbf{F}_{2}^{S}$, try again.
Total cost $\approx 2^{80}$.

Long history, many improvements:
1962 Prange;
1981 Clark (crediting Omura);
1988 Lee-Brickell; 1988 Leon;
1989 Krouk; 1989 Stern;
1989 Dumer;
1990 Coffey-Goodman;
1990 van Tilburg; 1991 Dumer;
1991 Coffey-Goodman-Farrell;
1993 Chabanne-Courteau;
1993 Chabaud;
1994 van Tilburg;
1994 Canteaut-Chabanne;
1998 Canteaut-Chabaud;
1998 Canteaut-Sendrier.

1998 Canteaut-ChabaudSendrier: $2^{68}$ Alpha cycles to attack a McEliece ciphertext.

2008 Bernstein-Lange-Peters:
further improvements;
$2^{58}$ Core 2 Quad cycles
to attack a McEliece ciphertext.
Ran attack successfully!
Subsequent literature:
2009 Finiasz-Sendrier;
2010 Peters;
2011 Bernstein-Lange-Peters.

## Higher security levels

Easily improve security
by scaling parameters up from
McEliece's 1024, 524, 50 example.
Niederreiter public key:
linear $\operatorname{map} H: \mathbf{F}_{2}^{n} \rightarrow \mathbf{F}_{2}^{n-k}$
represented as $(n-k) \times n$ matrix.
Niederreiter plaintext:
$m \in \mathbf{F}_{2}^{n}$ of weight $w$.
Niederreiter ciphertext:
$s=H m \in \mathbf{F}_{2}^{n-k}$.
How large do $n, k, w$
have to be for $2^{b}$ security?

Basic information-set decoding: Hope $m \in \mathbf{F}_{2}^{S}$.
Chance $\binom{n-k}{w} /\binom{n}{w}$.
Trying $S$ costs $\approx n^{3}$.
Total cost $\approx n^{3}\binom{n}{w} /\binom{n-k}{w}$.

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If $w / n \rightarrow W$ as $n \rightarrow \infty$ then
$\binom{n}{w}^{1 / n} \rightarrow \frac{1}{W^{W}(1-W)^{1-W}}$.

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If furthermore $k / n \rightarrow R$ then $\binom{n-k}{w}^{1 / n} \rightarrow \frac{(1-R)^{1-R}}{W^{W}(1-R-W)^{1-R-W}}$.

So cost ${ }^{1 / n} \rightarrow \frac{(1-R-W)^{1-R-W}}{(1-R)^{1-R}(1-W)^{1-W}}$.

1988 Lee-Brickell idea:
Hope $m-e \in \mathbf{F}_{2}^{S}$ for some weight-2 vector $e \in \mathbf{F}_{2}^{n-S}$. Chance $\binom{n-k}{w-2}\binom{k}{2} /\binom{n}{w}$.

Trying $S$ costs $\approx n^{3}$; reuse one matrix inversion for all choices of $e$.
Speedup $\approx k^{2} w^{2} / 2(n-k-w)^{2}$.

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for all choices of $e$.
Speedup $\approx k^{2} w^{2} / 2(n-k-w)^{2}$.
Not visible in cost ${ }^{1 / n}$ limit: $\operatorname{cost}^{1 / n} \rightarrow \frac{(1-R-W)^{1-R-W}}{(1-R)^{1-R}(1-W)^{1-W}}$.
But still quite useful.

Many polynomial speedups in subsequent papers.
e.g. 1988 Leon:

Choose random $S$ as before;
invert $\mathbf{F}_{2}^{S} \hookrightarrow \mathbf{F}_{2}^{n} \xrightarrow{H} \mathbf{F}_{2}^{n-k}$;
choose size- $\ell$ subset $Z \subseteq S$. Hope $m-e \in \mathbf{F}_{2}^{S-Z}$
for some weight-2 vector $e$.

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for some weight-2 vector $e$.
Advantage over Lee-Brickell: quickly reject $e$ if $\varphi(m-e) \neq 0$; $\varphi: \mathbf{F}_{2}^{n} \rightarrow \mathbf{F}_{2}^{Z}$ is composition of $\mathbf{F}_{2}^{n} \rightarrow \mathbf{F}_{2}^{n-k} \rightarrow \mathbf{F}_{2}^{S} \rightarrow \mathbf{F}_{2}^{Z}$.

Some loss of success chance from disallowing $\mathbf{F}_{2}^{Z}$ in $m-e$.

Collision decoding (1989 Stern, independently 1989-1991 Dimer):

Again choose $S, Z$.
Partition $n-S$ into $X, Y$.
Hope $m-e-e^{\prime} \in \mathbf{F}_{2}^{S-Z}$
for weight- $p$ vectors $e, e^{\prime}$
with $e \in \mathbf{F}_{2}^{X}, e^{\prime} \in \mathbf{F}_{2}^{Y}$.

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find collisions between lists.

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Don't enumerate ( $e, e^{\prime}$ ).
Make list of $\varphi(m-e)$; make list of $\varphi\left(e^{\prime}\right)$;
find collisions between lists.
Optimal $p$ is unbounded.
Exponential speedup for any $(R, W)$, visible in cost ${ }^{1 / n}$ limit!

Ball-collision decoding
(Bernstein-Lange-Peters,
to appear at Crypto 2011):
Partition $Z$ into $A, B$.
Hope $m-e-e^{\prime}-f-f^{\prime} \in \mathbf{F}_{2}^{S-Z}$
with $e \in \mathbf{F}_{2}^{X}$ of weight $p$,
$e^{\prime} \in \mathbf{F}_{2}^{Y}$ of weight $p$,
$f \in \mathbf{F}_{2}^{A}$ of weight $\leq q$,
$f^{\prime} \in \mathbf{F}_{2}^{B}$ of weight $\leq q$.
Expand $\varphi(m-e)$ into
ball of radius $q$; similarly $\varphi\left(e^{\prime}\right)$; find collisions between balls.

Exponential speedup over Stern for any reasonable $(R, W)$.

## Decryption

How does legitimate receiver decrypt $s$ (or $y$ )?

Answer: Secretly generate a fast decoding algorithm $D$
for a code $C(D)$.
Take random $H$ (or $G$ ) with
$C(D)=\operatorname{Ker} H\left(\right.$ or $\left.C(D)=G F_{2}^{k}\right)$.
Or systematic $H$ : smaller, faster.
Fastest algorithms known to exploit McEliece's choice of $D$
(by, e.g., computing $D$ ) are many orders of magnitude slower than collision decoding.

Fix a prime power $q$;
a positive integer $m$;
a positive integer $n \leq q^{m}$;
distinct $a_{1}, \ldots, a_{n} \in \mathbf{F}_{q}$; polynomial $g \in \mathbf{F}_{q^{m}}[x]$ with $\operatorname{deg} g<n / m$ and
$g\left(a_{1}\right) \cdots g\left(a_{n}\right) \neq 0$.
The classical Goppa code
$\Gamma_{q}\left(a_{1}, \ldots, a_{n}, g\right)$
is the set of $c \in \mathbf{F}_{q}^{n}$ with
$\sum_{i} c_{i} /\left(x-a_{i}\right)=0$ in $\mathbf{F}_{q^{m}}[x] / g$.
Code dimension $k \geq n-m$ deg $g$.
Almost always $k=n-m \operatorname{deg} g$.

McEliece's choice of $C(D)$ : $\Gamma_{2}\left(a_{1}, \ldots, a_{n}, g\right)$
with irreducible $g$ of degree $w$.
Can you figure out $a_{1}, \ldots, a_{n}, g$
given $\Gamma_{2}\left(a_{1}, \ldots, a_{n}, g\right)$ ?

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Original parameters: $m=10$, $w=50, n=1024, k=524$.

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Original parameters: $m=10$, $w=50, n=1024, k=524$.

Much higher security: $m=12$, $w=150, n=3600, k=1800$.

If $k / n \rightarrow R$ as $n \rightarrow \infty$
then $1-m(\operatorname{deg} g) / n \rightarrow R$ but $m \geq(\lg n) / \lg q$
so $w / n=(\operatorname{deg} g) / n \rightarrow 0$.
Standard conjecture is that decoding is still quite hard: (constant $+o(1))^{n / \lg n}$ as $n \rightarrow \infty$.

McEliece reaches $2^{b}$ security with $n \in b^{1+o(1)}$.
Encryption and decryption cost only $b^{2+o(1)}$.

ECC also costs $b^{2+o(1), ~}$ but ECC's o(1) seems bigger and ECC isn't post-quantum.

2008 Bernstein-Lange-Peters:
Why stop with deg $g$ errors?
Can take $w$ above $\operatorname{deg} g$.
Use fast list-decoding algorithms
for exactly the same codes.
List can have $>1$ plaintext, but standard "CCA2 conversions" easily identify correct plaintext.

Each extra error makes
known attacks more difficult. More security for same key size.
$\Rightarrow$ Smaller key for same security.

More codes
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## More codes

"I can increase $w$ using an asymptotically good code!
$k / n \rightarrow R>0$ and
$w / n \rightarrow W>0$."
Maybe, but this isn't easy.
Do you also have a good $D$ ?
Does your $D$ run quickly?
Are there many choices of $D$ ?
No exploitable structure in $C(D)$ ? Is $D$ actually better than $\Gamma_{2}$ for reasonable values of $n$ ?

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Problem 1: Structural attacks seem disastrous for large $q$. e.g. 1992 Shestakov-Sidelnikov broke 1986 Niederreiter proposal using $\Gamma_{q}(\ldots)$ with $q \approx n$.

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Problem 2: Patterson's algorithm is specific to $q=2$.
Conventional wisdom: correct only $(\operatorname{deg} g) / 2$ errors for $q \geq 3$.

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2010 Bernstein-Lange-Peters: "Wild Goppa codes"
$\Gamma_{q}\left(\ldots, g^{q-1}\right)$ with squarefree $g$
correct $q(\operatorname{deg} g) / 2$ errors,
generalizing smoothly from $q=2$.
Even more with list decoding.
Gain already for $q=3$.
Ongoing work:
optimizing $\Gamma_{q}\left(\ldots, f g^{q-1}\right)$.

Also many ongoing efforts
to reduce key size by creating
$C(D)$ with visible structure.
But safety is unclear.
e.g.

2010 Gauthier Umana-Leander and 2010 Faugère-Otmani-Perret-Tillich broke most of the quasi-cyclic and quasi-dyadic proposals by 2009 Berger-Cayrel-Gaborit-
Otmani and 2009 MisockiBarreto.

## List-decoding algorithms

Most often quoted results:
Take any alternant code over $\mathbf{F}_{q}$ of designed distance $t+1$.
Assume $(n / t) q\left(\lg q^{m}\right) \in(\lg n)^{O(1)}$.

## 1999 Guruswami-Sudan:

Polynomial-time algorithm
for $w<n-\sqrt{n(n-t-1)}$.
(Roughly: $w<t / 2+t^{2} / 8 n$.)
2000 Koetter-Vardy:
Polynomial-time algorithm
for $w<n^{\prime}-\sqrt{n^{\prime}\left(n^{\prime}-t-1\right)}$ where $n^{\prime}=n(q-1) / q$. (Roughly: $w<t / 2+t^{2} / 8 n+t^{2} / 8 n(q-1)$.)

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## Easy application:

$\Gamma_{q}(\ldots, g)$ is an alternant code with designed distance $\operatorname{deg} g+1$.
Slightly above $(\operatorname{deg} g) / 2$ errors.

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2010 Bernstein-Lange-Peters:
Plug 1999 Guruswami-Sudan into 1975 Sugiyama-Kasahara-Hirasawa-Namekawa identity
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Plug 2000 Koetter-Vardy into
1975 Sugiyama-Kasahara-
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2011 Bernstein "Simplified highspeed high-distance list decoding for alternant codes" :

Write $J^{\prime}=n^{\prime}-\sqrt{n^{\prime}\left(n^{\prime}-t-1\right)}$.
$n^{O(1)}$ bit operations
if $w \leq J^{\prime}+O((\lg n) / \lg \lg n)$.

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$O\left(n^{4.5}\right)$ bit operations
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$O\left(n^{4.5}\right)$ bit operations
if $w \leq J^{\prime}+o((\lg n) / \lg \lg n)$.
$n(\lg n)^{O(1)}$ bit operations
if $w \leq J^{\prime}-n /(\lg n)^{O(1)}$.
Can of course combine with 1975
Sugiyama-Kasahara-Hirasawa-
Namekawa identity.

Still not really fast.
Big problem for, e.g., $n=3600$.
New wave of "rational"
list-decoding algorithms promise much better speeds: 2007 Wu ; 2008 Bernstein "List decoding for binary Goppa codes" (final version: IWCC 2011).

These algorithms are efficient only up to about $J$, not $J^{\prime}$.
Can this limitation be removed? I'm exploring one idea for this: "jet list decoding."

