

Grover vs. McEliece

D. J. Bernstein University of Illinois at Chicago

Thanks to: NSF ITR–0716498 and Cisco University Research Program Conventional wisdom: Grover's algorithm forces 2× key size.

e.g. Want security 2<sup>128</sup>? 128-bit AES key seems safe before quantum computers; but need 256-bit AES key to resist Grover's algorithm.

e.g. Want security 2<sup>256</sup>? 256-bit AES key seems safe (despite "related-key" silliness) before quantum computers; but need new 512-bit cipher to resist Grover's algorithm. Breaking a good *b*-bit cipher takes  $2^b$  bit operations but  $2^{b/2}$  qubit operations. Breaking a good *b*-bit cipher takes  $2^b$  bit operations but  $2^{b/2}$  qubit operations.

Correction: Have to multiply  $2^b$ by cost of cipher evaluation; and have to multiply  $2^{b/2}$ by more, namely cost of *quantum* cipher evaluation.

Plausible scaling hypotheses  $\Rightarrow$ Correction changes comparison by various constant factors and logarithmic factors. Key-size ratio < 2; but ratio  $\rightarrow$  2 as  $b \rightarrow \infty$ . Many problems analogous to finding *b*-bit cipher key.

For  $2^b$  security of finding a hash preimage: Before quantum computers, need a good (1 + o(1))b-bit hash. After quantum computers, need a good (2 + o(1))b-bit hash. Ratio:  $\frac{(2 + o(1))b}{(1 + o(1))b} = 2 + o(1)$ . Many problems analogous to finding *b*-bit cipher key.

For  $2^b$  security of finding a hash preimage: Before quantum computers, need a good (1 + o(1))b-bit hash. After quantum computers, need a good (2 + o(1))b-bit hash. Ratio:  $\frac{(2 + o(1))b}{(1 + o(1))b} = 2 + o(1)$ .

But there are many problems where the conventional wisdom seems to be wrong! Ratio c + o(1) with c < 2. For 2<sup>b</sup> security of finding a hash collision:

Before quantum computers, need a good (2 + o(1))b-bit hash.

Common belief, based on 1998 Brassard–Høyer–Tapp: After quantum computers, need a good (3 + o(1))b-bit hash. Size ratio 1.5 + o(1). For 2<sup>b</sup> security of finding a hash collision:

Before quantum computers, need a good (2 + o(1))b-bit hash.

Common belief, based on 1998 Brassard–Høyer–Tapp: After quantum computers, need a good (3 + o(1))b-bit hash. Size ratio 1.5 + o(1).

2009 Bernstein: Actually, a good (2 + o(1))b-bit hash stops all known attacks, including Brassard–Høyer–Tapp. Size ratio 1 + o(1). Size ratio 1 + o(1)can often be *proven*: e.g., Grover's algorithm obviously has no effect on the key size needed for the 1974 Gilbert–MacWilliams–Sloane authentication system.

Can also find cases where 1 + o(1) is *conjectured*.

2009 Overbeck–Sendrier:

"Grover's algorithm is not able [to] give a significant speed-up for the existing attacks" against the McEliece cryptosystem.

# Information-set decoding

McEliece public key: linear map  $G : \mathbf{F}_2^k \hookrightarrow \mathbf{F}_2^n$ .

McEliece plaintext:  $m \in \mathbf{F}_2^k$ ; and  $e \in \mathbf{F}_2^n$  of weight t. McEliece ciphertext:  $Gm + e \in \mathbf{F}_2^n$ .

Typical parameter choices: k = Rn with R = 0.8;  $t = (n - k) / \lceil \lg n \rceil$  $\approx (1 - R)n / \lg n$ . Basic information-set decoding, given G and  $y \in \mathbf{F}_2^n$ :

Choose uniform random size-k subset  $S \subseteq \{1, 2, ..., n\}$ .

Hope that the composition  $\mathbf{F}_{2}^{k} \xrightarrow{G} \mathbf{F}_{2}^{n} \rightarrow \mathbf{F}_{2}^{S}$  is invertible (S is an "information set"). If not invertible, try new S.

Project y from  $\mathbf{F}_{2}^{n}$  to  $\mathbf{F}_{2}^{S}$ . Apply inverse, obtaining m. Compute e = y - Gm. If weight of e is not t, try new S. For typical G and y = Gm + e:  $\Pr[S \text{ finds } m \text{ and } e]$   $\approx 0.29 \binom{n-t}{k} / \binom{n}{k}$  $\in 1/c^{(1+o(1))n/\lg n}$ .

Here  $c = 1/(1-R)^{1-R} \approx 1.38;$  $o(1) \rightarrow 0$  as  $n \rightarrow \infty$ .

Total time  $c^{(1+o(1))n/\lg n}$ .

Advanced information-set decoding has many speedups. 2009 Bernstein–Lange–Peters– van Tilborg: these save  $n^{>const}$ , but still total time  $c^{(1+o(1))n/\lg n}$ .

## Previous Grover decoding

1998 Barg–Zhou: Grover's algorithm can decode any length-n code C, linear or not, "on a quantum computer of circuit size  $O(n|C|^{1/2})$  in time  $O(n|C|^{1/2})$ , which is essentially optimal following a result in [1997 Bennett et al.]."

# Previous Grover decoding

1998 Barg–Zhou: Grover's algorithm can decode any length-n code C, linear or not, "on a quantum computer of circuit size  $O(n|C|^{1/2})$  in time  $O(n|C|^{1/2})$ , which is essentially optimal following a result in [1997 Bennett et al.]."

Much slower than information-set decoding.

# Previous Grover decoding

1998 Barg–Zhou: Grover's algorithm can decode any length-n code C, linear or not, "on a quantum computer of circuit size  $O(n|C|^{1/2})$  in time  $O(n|C|^{1/2})$ , which is essentially optimal following a result in [1997 Bennett et al.]."

Much slower than information-set decoding.

2009 Overbeck–Sendrier: Begin with "the simplifying assumption that by Grover's algorithm we are able to search a set of size N in  $O(\sqrt{N})$  operations on a quantum computer with at least  $\log_2(N)$  QuBits."

Cannot search for sets S: "this would either require an iterative application of Grover's algorithm (which is not possible) or a memory of size of the whole search space, as the search function in the second step depends on the first step. This would clearly ruin the 'divide-and-conquer' strategy and is thus not possible either."

# <u>Grover's root-finding method</u>

1996 Grover "A fast quantum mechanical algorithm for database search" is not actually a database-search algorithm.

Input to Grover's transformation: circuit that computes a function  $f : \mathbf{F}_2^b \to \mathbf{F}_2$ .

Output: quantum circuit that (if possible) computes  $x \in \mathbf{F}_2^b$ such that f(x) = 0.

The transformation is explicit and efficient.

Simplest version—adequate when *f* has small, fast circuit:

circuit for f

- $\Rightarrow$  combinatorial circuit for f
- $\Rightarrow$  reversible circuit for f
- $\Rightarrow$  quantum circuit for f
- $\Rightarrow$  quantum circuit for f

plus quantum rotation etc.

 $\Rightarrow$  root-finding quantum circuit.

Root-finding circuit is small and uses  $\approx \sqrt{2^b/r}$  fast iterations if f has r roots.

(1996 Grover for r = 1; 1996 Boyer-Brassard-Høyer-Tapp)

# Quantum information-set decoding

Choose big b and  $\mathbf{F}_2^b \rightarrow \{S\}$ , close to uniformly distributed.

Define  $f : \mathbf{F}_2^b \to \mathbf{F}_2$  as follows:

Compute corresponding S. Return 1 if the composition  $\mathbf{F}_2^k \xrightarrow{G} \mathbf{F}_2^n \rightarrow \mathbf{F}_2^S$  is not invertible. Project y from  $\mathbf{F}_2^n$  to  $\mathbf{F}_2^S$ . Apply inverse, obtaining m. Compute e = y - Gm. Return 1 if weight is not t. Return 0. Compute this function fusing a combinatorial circuit containing  $n^{O(1)}$  bit operations. Basic information-set decoding searches randomly for a root of f.  $c^{(1+o(1))n/\lg n}$  evaluations of f, each taking time  $n^{O(1)}$ .

Basic quantum information-set decoding: Apply Grover. Root-finding circuit uses  $c^{(1/2+o(1))n/\lg n}$ 

quantum evaluations of f, each taking time  $n^{O(1)}$ ; and has size  $n^{O(1)}$ . Consequence for McEliece users:

Before quantum computers, need  $n \in (1 + o(1))(b/ \lg c) \lg b$ for security 2<sup>b</sup>. Key size

$$\left(\frac{R(1-R)}{(\lg c)^2}+o(1)\right)b^2(\lg b)^2.$$

After quantum computers, need  $n \in (2 + o(1))(b/\lg c) \lg b$ for security 2<sup>b</sup>. Key size  $\left(\frac{4R(1-R)}{dR(1-R)} + o(1)\right)b^2(\lg b)^2$ .

Ratio 4 + o(1).