# Rethinking the number field sieve 

## D. J. Bernstein

 University of Illinois at ChicagoNSF DMS-9970409

## Combining congruences

Want to factor $n$.
Consider pairs $(g, h)$
with $g \equiv h(\bmod n)$.
Find set $S$ of pairs so that
$\prod_{(g, h) \in S} g$ is a square and
$\prod_{(g, h) \in S} h$ is a square.
Then $a^{2} \equiv b^{2}(\bmod n)$
where $a=\sqrt{\prod g}, b=\sqrt{\prod h}$.

## The continued-fraction method

For each convergent $p / q$ to $\sqrt{n}$ :
$g=(p \bmod n)^{2}, h=p^{2}-n q^{2}$.
Then $g \equiv h(\bmod n)$.
Focus on smooth $h$ 's:
no large prime factors.
Find square products of $h$ 's by
linear algebra on $h$ factorizations.
(Lehmer, Powers,
Brillhart, Morrison)

How to find all prime factors $\leq y$ of a nonzero integer $h$ ?

Assume $h$ has $(\log y)^{O(1)}$ digits.
Trial division: Time $\leq y^{1+o(1)}$.
Fast-factorials method:
Time $\leq y^{1 / 2+o(1)}$. (Pollard)

Hyperelliptic-curve method: Time $\leq \exp \left((\log y)^{2 / 3+o(1)}\right)$ with negligible chance of error. (Lenstra, Pila, Pomerance)

Elliptic-curve method:
Conjectured time $\leq$
$\exp \sqrt{(2+o(1)) \log y \log \log y}$
with negligible chance of error. (Lenstra)

New method:
Time $(\log y)^{O(1)}$ if there are at least $y /(\log y)^{O(1)}$
$h$ 's to handle at once.
Number of $h$ 's to handle is roughly $y^{2}$ in congruence-combining methods.
"How to find
small factors of integers"
http://cr.yp.to
/papers/sf.dvi
"Factoring into coprimes
in essentially linear time"
http://cr.yp.to
/papers/dcba.dvi

Given set $P$ of primes, set $S$ of nonzero integers:

Find $x=\prod_{h \in S} h$.
Find $Q=\{q \in P: \times \bmod q=0\}$. If $\# S \leq 1$ : Print $(Q, S)$ and stop.
Choose $T \subseteq S, \# T=\lfloor \# S / 2\rfloor$.
Recursively handle $Q, T$.
Recursively handle $Q, S-T$.

Find $x \bmod q_{1}, x \bmod q_{2}$, etc. by computing $x \bmod q_{1} q_{2}, x \bmod q_{3} q_{4}$, etc. recursively, then $x \bmod q_{1} q_{2} \bmod q_{1}$, $x \bmod q_{1} q_{2} \bmod q_{2}$, $x \bmod q_{3} q_{4} \bmod q_{3}$, etc.
(Borodin, Moenck)

## The quadratic sieve

Combine pairs $\left(a^{2}, a^{2}-n\right)$
where $a \approx \sqrt{n}$.
Sieving finds small primes in $a^{2}-n$ for many consecutive a's:

(Schroeppel, Pomerance)

## Multiple lattices

For many $(d, k)$ with
$d$ square, $k^{2} \equiv n(\bmod d)$ :
Sieve over $\{a: a \equiv k(\bmod d)\}$.
For $S$ values of $a \equiv k(\bmod d)$ : $|a-\sqrt{n}|$ up to $\approx S d / 2$
so $\left|a^{2}-n\right| / d$ up to $\approx S \sqrt{n}$.
("special d": Davis, Holdridge; "MPQS": Montgomery; "lattice sieve": Pollard)

## How to choose $S$

Make $S$ as large as possible: overhead is divided by $S$.

Make $S$ as small as possible:
then $\left(a^{2}-n\right) / d$ is small and random access is fast in a size- $S$ sieve array.
(Example of sieving in L1 cache:
http://cr.yp.to
/primegen.html)

Standard solution ("early abort," aka "multiple large prime"):

1. Sieve using some primes.
2. Discard unlikely a's.
3. Check each remaining $a^{2}-n$.

Faster step 3
$\Rightarrow$ can keep more a's in step 2
$\Rightarrow$ can sieve less in step 1
$\Rightarrow$ can safely reduce $S$.

## The number field sieve

Fix algebraic numbers $\gamma_{0}, \gamma_{1}$ and ring maps $\mathbf{Z}\left[\gamma_{i}\right] \xrightarrow{\bmod n} \mathbf{Z} / n$ with $\gamma_{0} \bmod n=\gamma_{1} \bmod n$.

Combine pairs $\left(a-b \gamma_{0}, a-b \gamma_{1}\right)$ with small $a, b \in \mathbf{Z}$.
Find smooth pairs by sieving.
(Pollard, Buhler, Lenstra,
Pomerance, Adleman)
e.g. $n \approx 10^{300}$.

Choose $\gamma_{0} \in \mathbf{Z}, \gamma_{0} \approx 10^{40}$.
Find polynomial $f$ over $\mathbf{Z}$
with $n=f\left(\gamma_{0}\right)$,
$\operatorname{deg} f=7$, small coefficients.
Assume that $f_{7}$ is coprime to $n$.
Let $\gamma_{1}$ be a root of $f$.

Use multiple lattices
as in quadratic sieve.
Faster factoring allows faster sieve and smaller pairs $(g, h)$.

Bound on $(g, h)$ grows with $d$, so use more pairs $(a, b)$
for smaller $d$.

## Coppersmith's variant

Sieve to find smooth $a-b \gamma_{0}$. For each smooth $a-b \gamma_{0}$ :
Check $a-b \gamma_{1}$.
Faster than sieving $a-b \gamma_{1}$.
Have time to also try
$a-b \gamma_{2}, a-b \gamma_{3}, \ldots$.
Reduce bounds accordingly.

## Parameter selection

How to choose $\operatorname{deg} f, \gamma_{0}$,
$y$ for $\gamma_{0}, y$ for $\gamma_{1}, y$ for $\gamma_{2}$,
range of $(a, b)$, sieve limit, etc.?
Many sensible possibilities
$\downarrow$ quickly estimate NFS time
Attractive possibilities
$\downarrow$ accurately estimate NFS time
Best of the attractive possibilities

Can compute at reasonable speed a conjecturally accurate estimate for NFS time. (new)

Highlight: Very fast algorithm to compute tight bounds on smoothness probabilities.
e.g. lower bounds on $\Psi\left(x, 10^{6}\right)$ for $x \in\left\{2^{0}, 2^{1 / 776}, \ldots, 2^{262143 / 776}\right\}$
with relative log error $<10^{-4}$ in $7 \cdot 10^{10}$ Pentium-II cycles.

Method: Change primes slightly. e.g. increase 3 to $2^{1230 / 776}$,

$$
\text { increase } 5 \text { to } 2^{1802 / 776} \text {, etc. }
$$

This changes the Dirichlet series for smooth integers into a fractional power series. Use fast series exponentiation.
http://cr.yp.to
/psibound.html

