## **Optimal normal bases**

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Abstract. Let  $K \subset L$  be a finite Galois extension of fields, of degree n. Let G be the Galois group, and let  $(\sigma \alpha)_{\sigma \in G}$  be a normal basis for L over K. An argument due to Mullin, Onyszchuk, Vanstone and Wilson (Discrete Appl. Math. **22** (1988/89), 149–161) shows that the matrix that describes the map  $x \mapsto \alpha x$  on this basis has at least 2n-1 non-zero entries. If it contains exactly 2n-1 non-zero entries, then the normal basis is said to be optimal. In the present paper we determine all optimal normal bases. In the case that K is finite our result confirms a conjecture that was made by Mullin et al. on the basis of a computer search.

Key words: normal basis, Galois theory.

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Let  $K \subset L$  be a finite Galois extension of fields, n the degree of the extension, and G the Galois group. A basis of L over K is called a *normal basis* if it is of the form  $(\sigma\alpha)_{\sigma\in G}$ , with  $\alpha \in L$ . Let  $(\sigma\alpha)_{\sigma\in G}$  be a normal basis for L over K, and let  $d(\tau, \sigma) \in K$ , for  $\sigma, \tau \in G$ , be such that

(1) 
$$\alpha \cdot \sigma \alpha = \sum_{\tau \in G} d(\tau, \sigma) \tau \alpha$$

for each  $\sigma \in G$ . Summing this over  $\sigma$  we find that

$$\sum_{\sigma} d(1,\sigma) = \operatorname{Tr} \alpha,$$
  
 $\sum_{\sigma} d(\tau,\sigma) = 0 \quad \text{ for } \tau \in G, \, \tau \neq 1,$ 

where  $\operatorname{Tr} \alpha = \sum_{\sigma} \sigma \alpha \in K$  denotes the trace of  $\alpha$ . Since  $\alpha$  is a unit, the matrix  $(d(\tau, \sigma))$  is invertible, so for each  $\tau$  there is at least one non-zero  $d(\tau, \sigma)$ . If  $\tau \neq 1$ , then by the above relations there are at least *two* non-zero  $d(\tau, \sigma)$ 's. Thus we find that

$$\#\{(\sigma,\tau)\in G\times G: d(\tau,\sigma)\neq 0\}\geq 2n-1.$$

The normal basis  $(\sigma \alpha)_{\sigma \in G}$  is called *optimal* if we have equality here.

The argument just given and the notion of an optimal normal basis are due to Mullin, Onyszchuk, Vanstone and Wilson [2]. They give several examples of optimal normal bases, and they formulate a conjecture that describes all finite extensions of the field of two elements that admit an optimal normal basis. In [1] this conjecture is extended to all finite fields. In the present paper we confirm the conjecture, and we show that the constructions given in [2] exhaust all optimal normal bases, even for Galois extensions of general fields.

Our result is as follows. If F is a field, we denote by  $F^*$  the multiplicative group of non-zero elements of F, and by char F the characteristic of F.

**Theorem.** Let  $K \subset L$  be a finite Galois extension of fields, with Galois group G, and let  $\alpha \in L$ . Then  $(\sigma\alpha)_{\sigma \in G}$  is an optimal normal basis for L over K if and only if there is a prime number p, a primitive pth root of unity  $\zeta$  in some algebraic extension of L, and an element  $c \in K^*$  such that one of (i), (ii) is true:

- (i) the irreducible polynomial of  $\zeta$  over K has degree p-1, and we have  $L = K(\zeta)$  and  $\alpha = c\zeta$ ;
- (ii) char K = 2, the irreducible polynomial of  $\zeta + \zeta^{-1}$  over K has degree (p-1)/2, and we have  $L = K(\zeta + \zeta^{-1})$  and  $\alpha = c(\zeta + \zeta^{-1})$ .

In case (i), the degree of L over K is p-1, and G is isomorphic to  $\mathbf{F}_p^*$ , where  $\mathbf{F}_p$  denotes the field of p elements. In case (ii), the prime number p is odd (because char K = 2), the degree of L over K is (p-1)/2, and G is isomorphic to  $\mathbf{F}_p^*/\{\pm 1\}$ . In particular, we see from the theorem that the Galois group is cyclic if there is an optimal normal basis.

In case (i) the irreducible polynomial of  $\zeta$  over K is clearly equal to  $\sum_{i=0}^{p-1} X^i$ . We remark that, when K is a field and p is a prime number, we can give a necessary and sufficient condition for the polynomial  $\sum_{i=0}^{p-1} X^i$  to be irreducible over K. Namely, it is irreducible over the prime field  $K_0$  of K if and only if either char K = 0, or char  $K \neq 0$ and char K is a primitive root modulo p, or char K = p = 2; and it is irreducible over Kif and only if it is irreducible over  $K_0$  and  $K_0(\zeta) \cap K = K_0$ , where  $\zeta$  denotes a zero of the polynomial in an extension field of K.

The formula for the irreducible polynomial of  $\zeta + \zeta^{-1}$  over K in case (ii) is a little

more complicated. Let  $a \prec b$ , for non-negative integers a and b, mean that each digit of a in the binary system is less than or equal to the corresponding digit of b; so we have  $a \prec b$  if and only if one can subtract a from b in binary without "borrowing". Further, write n = (p-1)/2. With this notation, the irreducible polynomial of  $\zeta + \zeta^{-1}$  over K in case (ii) equals  $\sum_i X^i$ , where i ranges over those non-negative integers for which we have  $2i \prec n + i$ . To prove this, one first observes that, for any primitive pth root of unity  $\zeta$  in any field, one has the polynomial identity

$$\prod_{j=1}^{n} (X - \zeta^{j} - \zeta^{-j}) = \sum_{j=0}^{[(n-1)/2]} (-1)^{j} \binom{n-1-j}{j} X^{n-(2j+1)} + \sum_{j=0}^{[n/2]} (-1)^{j} \binom{n-j}{j} X^{n-2j}.$$

Next one uses Lucas's theorem, which asserts that  $a \prec b$  if and only if the binomial coefficient  $\binom{b}{a}$  is odd. This leads to the formula stated above. Again, we can for any field K of characteristic 2 and for any odd prime number p = 2n + 1 give a necessary and sufficient condition for the polynomial to be irreducible over K. Namely, the polynomial is irreducible over the prime field  $\mathbf{F}_2$  of K if and only if the group  $\mathbf{F}_p^*/\{\pm 1\}$  is generated by the image of  $(2 \mod p)$ ; and it is irreducible over K if and only if it is irreducible over  $\mathbf{F}_2$  and  $\mathbf{F}_2(\gamma) \cap K = \mathbf{F}_2$ , where  $\gamma$  denotes a zero of the polynomial in an extension field of K.

We turn to the proof of the theorem. First we prove the "if" part. Let p be a prime number and  $\zeta$  a primitive pth root of unity such that (i) or (ii) holds for some  $c \in K^*$ . Clearly,  $\alpha$  gives rise to an optimal normal basis for L over K if and only if  $c\alpha$  does. Hence without loss of generality we may assume that c = 1.

Let it now first be supposed that we are in case (i). Since  $\zeta$  has degree p-1 over K, all primitive pth roots of unity  $\zeta^i$ ,  $1 \le i \le p-1$ , must be conjugate to  $\zeta$ . Also, the elements  $\zeta^i$ ,  $0 \le i \le p-2$ , form a basis for L over K. Multiplying this basis by  $\zeta$ , we see that the elements  $\zeta^i$ ,  $1 \le i \le p-1$ , form a basis for L over K as well, so this is a normal basis. Multiplication by  $\zeta$  on this basis is given by

$$\zeta \cdot \zeta^{i} = \zeta^{i+1} \qquad (i \neq p-1),$$
$$\zeta \cdot \zeta^{p-1} = 1 = \sum_{i=1}^{p-1} -\zeta^{i}.$$

It follows that the normal basis is optimal.

Next suppose that we are in case (ii), so that char K = 2 and  $\alpha = \zeta + \zeta^{-1}$ . If  $\gamma$  is conjugate to  $\alpha$  over K, then a zero  $\eta$  of  $X^2 - \gamma X + 1$  is conjugate to one of the zeroes  $\zeta$ ,  $\zeta^{-1}$  of  $X^2 - \alpha X + 1$  and is therefore a primitive pth root of unity. Then we have  $\eta = \zeta^i$  for some integer i that is not divisible by p, so  $\gamma = \eta + \eta^{-1} = \zeta^i + \zeta^{-i}$  for some integer i with  $1 \leq i \leq (p-1)/2$ . Since  $\alpha$  has degree (p-1)/2, it follows that its conjugates over K are precisely the elements  $\alpha_i = \zeta^i + \zeta^{-i}$  for  $1 \leq i \leq (p-1)/2$ . Note that for 0 < j < (p-1)/2we have  $\alpha^j = (\zeta + \zeta^{-1})^j = \sum_{i=0}^{\lfloor (j-1)/2 \rfloor} {j \choose i} \alpha_{j-2i}$ , and that  $\alpha^0 = 1 = \sum_{i=1}^{p-1} \zeta^i = \sum_{i=1}^{(p-1)/2} \alpha_i$ . This shows that the K-vector space spanned by  $\alpha^j$ ,  $0 \leq j < (p-1)/2$ , which is L, is contained in the K-vector space spanned by  $\alpha_i$ ,  $1 \leq i \leq (p-1)/2$ , form a normal basis for L over K. Multiplication by  $\alpha$  on this basis is given by

$$\begin{aligned} \alpha \cdot \alpha_i &= \alpha_{i-1} + \alpha_{i+1} \qquad (1 < i < (p-1)/2), \\ \alpha \cdot \alpha_1 &= \alpha^2 = \alpha_2, \\ \alpha \cdot \alpha_{(p-1)/2} &= \alpha_{(p-3)/2} + \alpha_{(p-1)/2}. \end{aligned}$$

It follows that the normal basis is optimal. This completes the proof of the "if" part of the theorem.

We begin the proof of the "only if" part with a few general remarks about normal bases. Let  $K \subset L$  be a finite Galois extension of fields, with Galois group G, and let  $\alpha \in L$ be such that  $(\sigma \alpha)_{\sigma \in G}$  is a normal basis for L over K. Let  $d(\tau, \sigma) \in K$ , for  $\sigma, \tau \in G$ , be such that (1) holds for each  $\sigma \in G$ . Applying  $\sigma^{-1}$  to (1) we find that

(2) 
$$d(\tau, \sigma) = d(\sigma^{-1}\tau, \sigma^{-1}) \quad \text{for all } \sigma, \tau \in G.$$

We now express multiplication by  $\alpha$  in the dual basis. Let  $\beta$  be the unique element of L satisfying  $\operatorname{Tr}(\beta \cdot \alpha) = 1$  and  $\operatorname{Tr}(\beta \cdot \sigma \alpha) = 0$  for all  $\sigma \in G$ ,  $\sigma \neq 1$ , where  $\operatorname{Tr}: L \to K$  denotes the trace map. Then for  $\sigma$ ,  $\tau \in G$  we have  $\operatorname{Tr}(\sigma\beta \cdot \tau\alpha) = 1$  or 0 according as  $\sigma = \tau$  or  $\sigma \neq \tau$ . It follows that  $(\sigma\beta)_{\sigma\in G}$  is also a normal basis for L over K; it is called the *dual* basis of  $(\sigma\alpha)_{\sigma\in G}$ . We claim that multiplication by  $\alpha$  is expressed in this basis by

(3) 
$$\alpha \cdot \tau \beta = \sum_{\sigma \in G} d(\tau, \sigma) \sigma \beta$$
 for all  $\tau \in G$ 

To prove this, it suffices to observe that the coefficient of  $\alpha \cdot \tau \beta$  at  $\sigma \beta$  is given by

$$\operatorname{Tr}((\alpha \cdot \tau \beta) \cdot \sigma \alpha) = \operatorname{Tr}((\alpha \cdot \sigma \alpha) \cdot \tau \beta) = \operatorname{Tr}\left(\sum_{\rho \in G} d(\rho, \sigma) \rho \alpha \cdot \tau \beta\right) = d(\tau, \sigma).$$

Let it now be assumed that  $(\sigma\alpha)_{\sigma\in G}$  is an optimal normal basis for L over K. As we saw at the beginning of this paper this means the following. First of all, for each  $\tau \in G$ ,  $\tau \neq 1$ , there are exactly two elements  $\sigma \in G$  for which  $d(\tau, \sigma)$  is non-zero, and these two non-zero elements add up to zero. Secondly, there is exactly one element  $\sigma \in G$  for which  $d(1, \sigma)$  is non-zero, and denoting this element by  $\mu$  we have  $d(1, \mu) = \operatorname{Tr} \alpha$ . By (3), we can express the first property by saying that

(4) for each  $\tau \in G$ ,  $\tau \neq 1$ , the element  $\alpha \cdot \tau \beta$  equals an element of  $K^*$  times the difference of two distinct conjugates of  $\beta$ .

Likewise, the second property is equivalent to  $\alpha \cdot \beta = (\operatorname{Tr} \alpha)\mu\beta$ , where  $\mu \in G$ . Replacing  $\alpha$  by  $c\alpha$  for  $c = -1/\operatorname{Tr} \alpha$  we may, without loss of generality, assume that  $\operatorname{Tr} \alpha = -1$ . Then we have

(5) 
$$\alpha \cdot \beta = -\mu\beta.$$

Also, from  $(\operatorname{Tr} \alpha)(\operatorname{Tr} \beta) = \sum_{\sigma,\tau} \sigma \alpha \cdot \tau \beta = \sum_{\rho} \operatorname{Tr}(\alpha \cdot \rho \beta) = 1$  we see that we have  $\operatorname{Tr} \beta = -1$ .

If  $\mu = 1$  then from (5) we see that  $\alpha = -1$ , so that L = K. Then we are in case (i) of the theorem, with p = 2, if char  $K \neq 2$ , and we are in case (ii) of the theorem, with p = 3, if char K = 2. Let it henceforth be assumed that  $\mu \neq 1$ .

We first deal with the case that  $\mu^2 = 1$ . From (5) we see that  $\alpha = -\mu\beta/\beta$ , so  $\mu\alpha = -\mu^2\beta/\mu\beta = -\beta/\mu\beta = 1/\alpha$ . Therefore we have

$$\alpha \cdot \mu \alpha = 1 = -\operatorname{Tr} \alpha = \sum_{\sigma \in G} -\sigma \alpha.$$

This shows that  $d(\sigma, \mu) = -1$  for all  $\sigma \in G$ . By (3) and (4) this implies that for each  $\sigma \neq 1$  there is a unique  $\sigma^* \neq \mu$  such that

$$\alpha \cdot \sigma \beta = \sigma^* \beta - \mu \beta.$$

If  $\sigma \neq \tau$  then  $\alpha \cdot \sigma \beta \neq \alpha \cdot \tau \beta$ , so  $\sigma^* \neq \tau^*$ . Therefore  $\sigma \mapsto \sigma^*$  is a bijective map from  $G - \{1\}$  to  $G - \{\mu\}$ . Hence each  $\sigma^* \neq \mu$  occurs exactly once, and again using (3) we see that

$$\alpha \cdot \sigma^* \alpha = \sigma \alpha \quad \text{for } \sigma^* \neq \mu,$$
  
 $\alpha \cdot \mu \alpha = 1.$ 

It follows that the set  $\{1\} \cup \{\sigma \alpha : \sigma \in G\}$  is closed under multiplication by  $\alpha$ . Since it is also closed under the action of G, we conclude that it is a multiplicative group of order n + 1. This implies that  $\alpha^{n+1} = 1$ , and we also have  $\alpha \neq 1$ . Hence  $\alpha$  is a zero of  $X^n + \ldots + X + 1$ . Since  $\alpha$  has degree n over K, the polynomial  $X^n + \ldots + X + 1$  is irreducible over K. Therefore n + 1 is a prime number. This shows that we are in case (i) of the theorem.

For the rest of the proof we assume that  $\mu^2 \neq 1$ . By (5) we have  $d(1, \sigma) = -1$  or 0 according as  $\sigma = \mu$  or  $\sigma \neq \mu$ . Hence from (2) we find that

(6) 
$$d(\sigma, \sigma) = \begin{cases} -1 & \text{if } \sigma = \mu^{-1}, \\ 0 & \text{if } \sigma \neq \mu^{-1}. \end{cases}$$

Therefore  $\alpha \cdot \mu^{-1}\beta$  has a term  $-\mu^{-1}\beta$ , and from  $\mu^{-1} \neq 1$  and (4) we see that there exists  $\lambda \in G$  such that

(7) 
$$\alpha \cdot \mu^{-1}\beta = \lambda\beta - \mu^{-1}\beta, \qquad \lambda \neq \mu^{-1}.$$

We shall prove that we have

(8) 
$$\operatorname{char} K = 2,$$

(9) 
$$\alpha \cdot \mu \beta = \lambda \mu \beta + \beta,$$

(10) 
$$\lambda \mu = \mu \lambda.$$

Before we give the proof of these properties we show how they lead to a proof of the theorem.

Applying  $\mu$  to (7) and comparing the result to (9) we find by (8) and (10) that  $\mu \alpha \cdot \beta = \alpha \cdot \mu \beta$ , which is the same as

(11) 
$$\alpha/\beta = \mu(\alpha/\beta).$$

Multiplying (11) and (5) we find by (8) that  $\alpha^2 = \mu \alpha$ . By induction on k one deduces from this that  $\mu^k \alpha = \alpha^{2^k}$  for every non-negative integer k. If we take for k the order of  $\mu$ , then we find that  $\alpha^{2^k} = \alpha$ , which by the theory of finite fields means that  $\alpha$  is algebraic of degree dividing k over the prime field  $\mathbf{F}_2$  of K. Therefore we have  $k = \text{order } \mu \leq \#G =$  $[L:K] = [K(\alpha):K] \leq k$ . We must have equality everywhere, so  $\mu$  generates G. By (11), this implies that  $\alpha/\beta \in K$ , and since  $\text{Tr } \alpha = \text{Tr } \beta = -1$  we have in fact  $\alpha = \beta$ . Thus from (1) and (3) we see that

(12) 
$$d(\sigma, \tau) = d(\tau, \sigma) \quad \text{for all } \sigma, \tau \in G.$$

Let now  $\zeta$  be a zero of  $X^2 - \alpha X + 1$  in some algebraic extension of L, so that  $\zeta + \zeta^{-1} = \alpha$ . Since  $\alpha$  is algebraic over  $\mathbf{F}_2$ , the same is true for  $\zeta$ , so the multiplicative order of  $\zeta$  is finite and odd; let it be 2m + 1. For each integer i, write  $\gamma_i = \zeta^i + \zeta^{-i}$ , so that  $\gamma_0 = 0$  and  $\gamma_1 = \alpha$ . We have  $\gamma_i = \gamma_j$  if and only if the zeroes  $\zeta^i$ ,  $\zeta^{-i}$  of  $X^2 - \gamma_i X + 1$  coincide with the zeroes  $\zeta^j$ ,  $\zeta^{-j}$  of  $X^2 - \gamma_j X + 1$ , if and only if  $i \equiv \pm j \mod 2m + 1$ . Hence there are exactly m different non-zero elements among the  $\gamma_i$ , namely  $\gamma_1, \gamma_2, \ldots, \gamma_m$ . Each of the n conjugates of  $\alpha$  is of the form  $\mu^j \alpha = \alpha^{2^j} = \zeta^{2^j} + \zeta^{-2^j} = \gamma_{2^j}$  for some integer j, and therefore occurs among the  $\gamma_i$ . This implies that  $n \leq m$ . We show that n = m by proving that, conversely, every non-zero  $\gamma_i$  is a conjugate of  $\alpha$ . This is done by induction on i. We have  $\gamma_1 = \alpha$  and  $\gamma_2 = \mu \alpha$ , so it suffices to take  $3 \leq i \leq m$ . We have

$$\alpha \cdot \gamma_{i-2} = (\zeta + \zeta^{-1}) \cdot (\zeta^{i-2} + \zeta^{2-i}) = \gamma_{i-1} + \gamma_{i-3},$$

where by the induction hypothesis each of  $\gamma_{i-2}$ ,  $\gamma_{i-1}$  is conjugate to  $\alpha$ , and  $\gamma_{i-3}$  is either conjugate to  $\alpha$  or equal to zero. Thus when  $\alpha \cdot \gamma_{i-2}$  is expressed in the normal basis  $(\sigma\alpha)_{\sigma\in G}$ , then  $\gamma_{i-1}$  occurs with a coefficient 1. By (12), this implies that when  $\alpha \cdot \gamma_{i-1}$ is expressed in the same basis,  $\gamma_{i-2}$  likewise occurs with a coefficient 1. Hence from (4) (with  $\beta = \alpha$ ) and  $\gamma_{i-1} \neq \alpha$  we see that  $\alpha \cdot \gamma_{i-1}$  is equal to the sum of  $\gamma_{i-2}$  and some other conjugate of  $\alpha$ . But since we have  $\alpha \cdot \gamma_{i-1} = \gamma_{i-2} + \gamma_i$ , that other conjugate of  $\alpha$  must be  $\gamma_i$ . This completes the inductive proof that all non-zero  $\gamma_i$  are conjugate to  $\alpha$  and that n = m.

From the fact that each non-zero  $\gamma_i$  equals a conjugate  $\mu^j \alpha$  of  $\alpha$  it follows that for each integer *i* that is not divisible by 2m+1 there is an integer *j* such that  $i \equiv \pm 2^j \mod 2m+1$ .

In particular, every integer *i* that is not divisible by 2m + 1 is relatively prime to 2m + 1, so 2m + 1 is a prime number. Thus with p = 2m + 1 we see that all assertions of (ii) have been proved.

It remains to prove (8), (9), and (10). The hypotheses are that  $\alpha$  gives rise to an optimal normal basis with  $\operatorname{Tr} \alpha = -1$ , that  $\beta$  gives rise to the corresponding dual basis, that  $\mu$  and  $\lambda$  satisfy (5) and (7), and that  $\mu^2 \neq 1$ . The main technique of the proof is to use the obvious identity  $\rho \alpha \cdot (\sigma \alpha \cdot \tau \beta) = \sigma \alpha \cdot (\rho \alpha \cdot \tau \beta)$  for several choices of  $\rho$ ,  $\sigma$ ,  $\tau \in G$ .

From (5) we see that

$$\mu\alpha \cdot (\alpha \cdot \beta) = \mu\alpha \cdot (-\mu\beta) = -\mu(\alpha \cdot \beta) = \mu^2\beta,$$

and from (7) we obtain

$$\alpha \cdot (\mu \alpha \cdot \beta) = \alpha \cdot \mu (\alpha \cdot \mu^{-1} \beta) = \alpha \cdot \mu (\lambda \beta - \mu^{-1} \beta) = \alpha \cdot \mu \lambda \beta - \alpha \cdot \beta = \alpha \cdot \mu \lambda \beta + \mu \beta$$

Therefore we have

(13) 
$$\alpha \cdot \mu \lambda \beta = \mu^2 \beta - \mu \beta.$$

From  $\mu \neq \mu^{-1}$  and (6) we see that  $d(\mu, \mu) = 0$ , so (13) implies that

(14) 
$$\lambda \neq 1$$

By (2) and (7) we have  $d(\lambda^{-1}\mu^{-1}, \lambda^{-1}) = d(\mu^{-1}, \lambda) = 1$ . Also,  $\lambda^{-1}\mu^{-1} \neq 1$  by (7), so from (4) we obtain

(15) 
$$\alpha \cdot \lambda^{-1} \mu^{-1} \beta = \lambda^{-1} \beta - \kappa \beta$$
 for some  $\kappa \in G, \ \kappa \neq \lambda^{-1}$ .

We have  $\lambda^{-1}\mu^{-1} \neq \mu^{-1}$  by (14), so (6) gives

(16) 
$$\kappa \neq \lambda^{-1} \mu^{-1}.$$

From (7) and (15) we obtain

$$\lambda \alpha \cdot (\alpha \cdot \mu^{-1} \beta) = \lambda \alpha \cdot (\lambda \beta - \mu^{-1} \beta) = \lambda (\alpha \cdot \beta - \alpha \cdot \lambda^{-1} \mu^{-1} \beta) = -\lambda \mu \beta - \beta + \lambda \kappa \beta,$$

and (15) gives

$$\alpha \cdot (\lambda \alpha \cdot \mu^{-1} \beta) = \alpha \cdot \lambda (\alpha \cdot \lambda^{-1} \mu^{-1} \beta) = \alpha \cdot (\beta - \lambda \kappa \beta) = -\mu \beta - \alpha \cdot \lambda \kappa \beta.$$

Therefore we have

(17) 
$$\alpha \cdot \lambda \kappa \beta = -\mu \beta + \lambda \mu \beta + \beta - \lambda \kappa \beta.$$

By (16) we have  $\lambda \kappa \neq \mu^{-1}$ , so by (6) the term  $-\lambda \kappa \beta$  does not appear in  $\alpha \cdot \lambda \kappa \beta$ . It must therefore be canceled by one of the other terms of (17). We have  $\lambda \kappa \neq 1$  by (15), so it is not canceled by  $\beta$ . Therefore it is canceled either by  $\lambda \mu \beta$  or by  $-\mu \beta$ . We shall derive a contradiction from the hypothesis that it is canceled by  $\lambda \mu \beta$ ; this will prove that it is canceled by  $-\mu\beta$ .

Suppose therefore that  $\lambda \kappa \beta = \lambda \mu \beta$ . Then we have  $\kappa = \mu$ , so (17) gives

(18) 
$$\alpha \cdot \lambda \mu \beta = \beta - \mu \beta.$$

By (2) and (18) we have  $d(\mu^{-1}\lambda\mu,\mu^{-1}) = d(\lambda\mu,\mu) = -1$ , and since by (14) we have  $\mu^{-1}\lambda\mu \neq 1$  it follows that

(19) 
$$\alpha \cdot \mu^{-1} \lambda \mu \beta = \nu \beta - \mu^{-1} \beta$$
, for some  $\nu \in G, \ \nu \neq \mu^{-1}$ .

Now we have on the one hand

$$\alpha \cdot (\mu \alpha \cdot \lambda \mu \beta) = \alpha \cdot \mu (\alpha \cdot \mu^{-1} \lambda \mu \beta) = \alpha \cdot \mu (\nu \beta - \mu^{-1} \beta) = \alpha \cdot \mu \nu \beta + \mu \beta,$$

by (19), and on the other hand

$$\mu\alpha \cdot (\alpha \cdot \lambda\mu\beta) = \mu\alpha \cdot (\beta - \mu\beta) = \mu(\alpha \cdot \mu^{-1}\beta - \alpha \cdot \beta) = \mu\lambda\beta - \beta + \mu^2\beta,$$

by (18) and (7). This leads to

$$\alpha \cdot \mu \nu \beta = \mu \lambda \beta - \beta + \mu^2 \beta - \mu \beta.$$

Since 1,  $\mu$ ,  $\mu^2$  are pairwise distinct, the term  $\mu\lambda\beta$  must be canceled by one of the other three terms. Therefore  $\mu\lambda \in \{1, \mu, \mu^2\}$ , so  $\lambda$  belongs to the subgroup generated by  $\mu$ , and therefore  $\lambda\mu = \mu\lambda$ . But then (13) and (18) give  $\mu^2 = 1$ , contradicting our hypothesis. We conclude that the term  $-\lambda\kappa\beta$  in (17) is canceled by  $-\mu\beta$ , that is,  $-\mu\beta - \lambda\kappa\beta = 0$ . This implies that  $\mu = \lambda\kappa$  and  $2\mu\beta = 0$ . This proves (8), and (17) gives (9). From (15) we obtain

(20) 
$$\alpha \cdot \lambda^{-1} \mu^{-1} \beta = \lambda^{-1} \beta + \lambda^{-1} \mu \beta.$$

Combining this with (2) we find that  $d(\mu^{-2}, \mu^{-1}\lambda) = d(\lambda^{-1}\mu^{-1}, \lambda^{-1}\mu) = 1$ , and since  $\mu^{-2} \neq 1$  this gives

$$\alpha \cdot \mu^{-2}\beta = \mu^{-1}\lambda\beta + \nu\beta$$
 for some  $\nu \in G$ .

This implies that

$$\lambda \alpha \cdot (\mu \alpha \cdot \mu^{-1} \beta) = \lambda \alpha \cdot \mu (\alpha \cdot \mu^{-2} \beta) = \lambda \alpha \cdot \mu (\mu^{-1} \lambda \beta + \nu \beta) = \lambda \mu \beta + \lambda \alpha \cdot \mu \nu \beta,$$

whereas (20) and (7) lead to

$$\mu \alpha \cdot (\lambda \alpha \cdot \mu^{-1} \beta) = \mu \alpha \cdot \lambda (\alpha \cdot \lambda^{-1} \mu^{-1} \beta) = \mu \alpha \cdot \lambda (\lambda^{-1} \beta + \lambda^{-1} \mu \beta)$$
$$= \mu (\alpha \cdot \mu^{-1} \beta + \alpha \cdot \beta) = \mu (\lambda \beta + \mu^{-1} \beta + \mu \beta) = \mu \lambda \beta + \beta + \mu^2 \beta.$$

Therefore we have

$$\lambda \alpha \cdot \mu \nu \beta = \lambda \mu \beta + \mu \lambda \beta + \beta + \mu^2 \beta.$$

This is conjugate to  $\alpha \cdot \lambda^{-1} \mu \nu \beta$ , so two terms on the right must cancel. From  $1 \notin \{\lambda \mu, \mu \lambda, \mu^2\}$  it follows that  $\beta$  does not cancel any of the other terms. Hence two of  $\lambda \mu \beta$ ,  $\mu \lambda \beta$ ,  $\mu^2 \beta$  must cancel, so that we have  $\lambda \mu = \mu \lambda$ , or  $\mu \lambda = \mu^2$ , or  $\mu^2 = \lambda \mu$ . In each of the three cases  $\lambda$  and  $\mu$  commute. This proves (10), which completes the proof of the theorem.

## References

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