

Lattice-based
public-key cryptosystems

D. J. Bernstein

NIST post-quantum competition:
82 submissions in first round,
from hundreds of people.

- 13 submissions that NIST
declared incomplete or improper.
- 5 withdrawn submissions.
- 3 merged submissions.

22 signature-system submissions.

5 lattice-based: Dilithium;
DRS (broken); FALCON☢;
pqNTRUSign☢; qTESLA.

47 encryption-system submissions.

20 lattice-based:

Compact LWE☢ (broken);
Ding☢; EMBLEM; Frodo;
HILA5 (CCA broken); KCL☢;
KINDI; Kyber; LAC; LIMA;
Lizard☢; LOTUS; NewHope;
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Let's try
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 Debian: apt inst
 Fedora: yum inst
 Source: [www.sage](http://www.sagemath.org)
 Web: [sagecell.s](http://sagecell.sagemath.org)
 Sage is Python 2
 + many math libr
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 sage: 10⁶ # pow
 1000000
 sage: factor(314
 317213509 * 9903
 sage:

3

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Source: www.sagemath.org

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Sage is Python 2

+ many math libraries

+ a few syntax differences:

```
sage: 10^6 # power, not x
```

```
1000000
```

```
sage: factor(314159265358
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```
317213509 * 990371647
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```
sage: f+g # built-in add
```

```
5*x^2 + 8*x + 5
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5*x^2 + 8*x + 5
sage:
```

```
sage: f*x      # built-in mul
4*x^3 + x^2 + 3*x
sage: f*x^2
4*x^4 + x^3 + 3*x^2
sage:
```



```
sage: Zx.<x> = ZZ[]
sage: # now Zx is a class
sage: # Zx objects are polys
sage: # in x with int coeffs
sage: f = Zx([3,1,4])
sage: f
4*x^2 + x + 3
sage: g = Zx([2,7,1])
sage: g
x^2 + 7*x + 2
sage: f+g      # built-in add
5*x^2 + 8*x + 5
sage:
```

```
sage: f*x      # built-in mul
4*x^3 + x^2 + 3*x
sage: f*x^2
4*x^4 + x^3 + 3*x^2
sage: f*2
8*x^2 + 2*x + 6
sage:
```

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```

```
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sage: f*x^2
4*x^4 + x^3 + 3*x^2
sage: f*2
8*x^2 + 2*x + 6
sage: f*(7*x)
28*x^3 + 7*x^2 + 21*x
sage:
```

```

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```

```

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4*x^3 + x^2 + 3*x
sage: f*x^2
4*x^4 + x^3 + 3*x^2
sage: f*2
8*x^2 + 2*x + 6
sage: f*(7*x)
28*x^3 + 7*x^2 + 21*x
sage: f*g
4*x^4 + 29*x^3 + 18*x^2 + 23*x
+ 6
sage:

```

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x^2 + 7*x + 2
sage: f+g      # built-in add
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```

sage: f*x      # built-in mul
4*x^3 + x^2 + 3*x
sage: f*x^2
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sage: f*2
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sage: f*(7*x)
28*x^3 + 7*x^2 + 21*x
sage: f*g
4*x^4 + 29*x^3 + 18*x^2 + 23*x
+ 6
sage: f*g == f*2+f*(7*x)+f*x^2
True
sage:

```

```

R[x] = ZZ[]
now Zx is a class
Zx objects are polys
in x with int coeffs
= Zx([3,1,4])

x + 3
= Zx([2,7,1])

*x + 2
+g      # built-in add
8*x + 5

```

6

```

sage: f*x      # built-in mul
4*x^3 + x^2 + 3*x
sage: f*x^2
4*x^4 + x^3 + 3*x^2
sage: f*2
8*x^2 + 2*x + 6
sage: f*(7*x)
28*x^3 + 7*x^2 + 21*x
sage: f*g
4*x^4 + 29*x^3 + 18*x^2 + 23*x
+ 6
sage: f*g == f*2+f*(7*x)+f*x^2
True
sage:

```

7

```

sage: #
sage: #
sage: de
...:
...:
sage:

```

```
Z[]
s a class
ts are polys
h int coeffs
1,4])
7,1])
uilt-in add
```

6

```
sage: f*x      # built-in mul
4*x^3 + x^2 + 3*x
sage: f*x^2
4*x^4 + x^3 + 3*x^2
sage: f*2
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4*x^4 + 29*x^3 + 18*x^2 + 23*x
+ 6
sage: f*g == f*2+f*(7*x)+f*x^2
True
sage:
```

7

```
sage: # replace
sage: # x^(n+1)
sage: def convol
....:     return (
....:
sage:
```

6

```

sage: f*x      # built-in mul
4*x^3 + x^2 + 3*x
sage: f*x^2
4*x^4 + x^3 + 3*x^2
sage: f*2
8*x^2 + 2*x + 6
sage: f*(7*x)
28*x^3 + 7*x^2 + 21*x
sage: f*g
4*x^4 + 29*x^3 + 18*x^2 + 23*x
+ 6
sage: f*g == f*2+f*(7*x)+f*x^2
True
sage:

```

7

```

sage: # replace x^n with
sage: # x^(n+1) with x, e
sage: def convolution(f,g
....:     return (f*g) % (x
....:
sage:

```

```

sage: f*x      # built-in mul
4*x^3 + x^2 + 3*x
sage: f*x^2
4*x^4 + x^3 + 3*x^2
sage: f*2
8*x^2 + 2*x + 6
sage: f*(7*x)
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4*x^4 + 29*x^3 + 18*x^2 + 23*x
+ 6
sage: f*g == f*2+f*(7*x)+f*x^2
True
sage:

```

```

sage: # replace x^n with 1,
sage: # x^(n+1) with x, etc.
sage: def convolution(f,g):
.....:     return (f*g) % (x^n-1)
.....:
sage:

```



```

sage: f*x      # built-in mul
4*x^3 + x^2 + 3*x
sage: f*x^2
4*x^4 + x^3 + 3*x^2
sage: f*2
8*x^2 + 2*x + 6
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+ 6
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.....:
sage: n = 3 # global variable
sage:

```

```

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4*x^3 + x^2 + 3*x
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sage: f*2
8*x^2 + 2*x + 6
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sage: convolution(f,x)
x^2 + 3*x + 4
sage:

```

```

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4*x^3 + x^2 + 3*x
sage: f*x^2
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sage: f*2
8*x^2 + 2*x + 6
sage: f*(7*x)
28*x^3 + 7*x^2 + 21*x
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sage: convolution(f,x)
x^2 + 3*x + 4
sage: convolution(f,x^2)
3*x^2 + 4*x + 1
sage:

```

```

sage: f*x      # built-in mul
4*x^3 + x^2 + 3*x
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sage: convolution(f,x)
x^2 + 3*x + 4
sage: convolution(f,x^2)
3*x^2 + 4*x + 1
sage: convolution(f,g)
18*x^2 + 27*x + 35
sage:

```

```

*x      # built-in mul
x^2 + 3*x
*x^2
x^3 + 3*x^2
*2
2*x + 6
*(7*x)
+ 7*x^2 + 21*x
*g
29*x^3 + 18*x^2 + 23*x
*g == f*2+f*(7*x)+f*x^2

```

```

sage: # replace x^n with 1,
sage: # x^(n+1) with x, etc.
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....:
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x^2 + 3*x + 4
sage: convolution(f,x^2)
3*x^2 + 4*x + 1
sage: convolution(f,g)
18*x^2 + 27*x + 35
sage:

```

```

sage: de

```

```

....:

```

```

....:

```

```

....:

```

```

....:

```

```

sage:

```

7

```

ilt-in mul
x
x^2
21*x
18*x^2 + 23*x
+f*(7*x)+f*x^2

```

```

sage: # replace x^n with 1,
sage: # x^(n+1) with x, etc.
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3*x^2 + 4*x + 1
sage: convolution(f,g)
18*x^2 + 27*x + 35
sage:

```

8

```

sage: def random
.....:     f = list
.....:     for j
.....:     return Z
sage:

```

7

1

```

sage: # replace x^n with 1,
sage: # x^(n+1) with x, etc.
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.....:     return (f*g) % (x^n-1)
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3*x^2 + 4*x + 1
sage: convolution(f,g)
18*x^2 + 27*x + 35
sage:

```

23*x

f*x^2

8

```

sage: def randompoly():
.....:     f = list(randrang
.....:         for j in range(
.....:     return Zx(f)
.....:
sage:

```

```
sage: # replace  $x^n$  with 1,  
sage: #  $x^{(n+1)}$  with  $x$ , etc.  
sage: def convolution(f,g):  
.....:     return (f*g) % (x^n-1)  
.....:  
sage: n = 3 # global variable  
sage: convolution(f,x)  
 $x^2 + 3*x + 4$   
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 $18*x^2 + 27*x + 35$   
sage:
```

```
sage: def randompoly():  
.....:     f = list(randrange(3)-1  
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.....:  
sage:
```



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3*x^2 + 4*x + 1
sage: convolution(f,g)
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sage:

```

```

sage: def randompoly():
.....:     f = list(randrange(3)-1
.....:         for j in range(n))
.....:     return Zx(f)
.....:
sage: n = 7
sage:

```

```

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```

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.....:     return Zx(f)
.....:
sage: n = 7
sage: randompoly()
-x^3 - x^2 - x - 1
sage:

```

```

sage: # replace x^n with 1,
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sage: def convolution(f,g):
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sage: convolution(f,g)
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.....:     return Zx(f)
.....:
sage: n = 7
sage: randompoly()
-x^3 - x^2 - x - 1
sage: randompoly()
x^6 + x^5 + x^3 - x
sage:

```

```

sage: # replace x^n with 1,
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.....:
sage: n = 7
sage: randompoly()
-x^3 - x^2 - x - 1
sage: randompoly()
x^6 + x^5 + x^3 - x
sage: randompoly()
-x^6 + x^5 + x^4 - x^3 - x^2 +
  x + 1
sage:

```

8

```

replace x^n with 1,
x^(n+1) with x, etc.
def convolution(f,g):
return (f*g) % (x^n-1)

n = 3 # global variable
convolution(f,x)
4*x + 4
convolution(f,x^2)
4*x + 1
convolution(f,g)
+ 27*x + 35

```

9

```

sage: def randompoly():
....:     f = list(randrange(3)-1
....:         for j in range(n))
....:     return Zx(f)
....:
sage: n = 7
sage: randompoly()
-x^3 - x^2 - x - 1
sage: randompoly()
x^6 + x^5 + x^3 - x
sage: randompoly()
-x^6 + x^5 + x^4 - x^3 - x^2 +
  x + 1
sage:

```

Will use

Some ch
in submi $n = 701$ $n = 743$ $n = 761$

8

x^n with 1,
with x , etc.
`def reduction(f,g):`
 `return (f*g) % (x^n-1)`

global variable
`n(f,x)`

`n(f,x^2)`

`n(f,g)`

35

```
sage: def randompoly():
.....:     f = list(randrange(3)-1
.....:         for j in range(n))
.....:     return Zx(f)
.....:
```

```
sage: n = 7
```

```
sage: randompoly()
```

```
-x^3 - x^2 - x - 1
```

```
sage: randompoly()
```

```
x^6 + x^5 + x^3 - x
```

```
sage: randompoly()
```

```
-x^6 + x^5 + x^4 - x^3 - x^2 +
```

```
    x + 1
```

```
sage:
```

9

Will use bigger n for

Some choices of n

in submissions to

$n = 701$ for NTRU

$n = 743$ for NTRU

$n = 761$ for sntru

8

```

1,
etc.
):
x^{n-1})
variable
sage: def randompoly():
.....:     f = list(randrange(3)-1
.....:         for j in range(n))
.....:     return Zx(f)
.....:
sage: n = 7
sage: randompoly()
-x^3 - x^2 - x - 1
sage: randompoly()
x^6 + x^5 + x^3 - x
sage: randompoly()
-x^6 + x^5 + x^4 - x^3 - x^2 +
  x + 1
sage:

```

9

Will use bigger n for security

Some choices of n

in submissions to NIST:

$n = 701$ for NTRU HRSS.

$n = 743$ for NTRUEncrypt.

$n = 761$ for sntrup4591761

```

sage: def randompoly():
.....:     f = list(randrange(3)-1
.....:         for j in range(n))
.....:     return Zx(f)
.....:
sage: n = 7
sage: randompoly()
-x^3 - x^2 - x - 1
sage: randompoly()
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sage: randompoly()
-x^6 + x^5 + x^4 - x^3 - x^2 +
  x + 1
sage:

```

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sage: n = 7
sage: randompoly()
-x^3 - x^2 - x - 1
sage: randompoly()
x^6 + x^5 + x^3 - x
sage: randompoly()
-x^6 + x^5 + x^4 - x^3 - x^2 +
  x + 1
sage:

```

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Overkill against attack algorithms
known today, even for future
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sage: def randompoly():
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.....:
sage: n = 7
sage: randompoly()
-x^3 - x^2 - x - 1
sage: randompoly()
x^6 + x^5 + x^3 - x
sage: randompoly()
-x^6 + x^5 + x^4 - x^3 - x^2 +
  x + 1
sage:

```

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```

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.....:         for j in range(n))
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sage: n = 7
sage: randompoly()
-x^3 - x^2 - x - 1
sage: randompoly()
x^6 + x^5 + x^3 - x
sage: randompoly()
-x^6 + x^5 + x^4 - x^3 - x^2 +
  x + 1
sage:

```

Will use bigger n for security.

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Overkill against attack algorithms
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attacker with quantum computer.

Can we find better algorithms?

1998 NTRU paper took $n = 503$.

```
def randpoly():
    f = list(randrange(3)-1
             for j in range(n))
    return Zx(f)
```

= 7

```
randpoly()
```

$x^2 - x - 1$

```
randpoly()
```

$x^5 + x^3 - x$

```
randpoly()
```

$x^5 + x^4 - x^3 - x^2 +$

9

Will use bigger n for security.

Some choices of n

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Overkill against attack algorithms known today, even for future attacker with quantum computer.

Can we find better algorithms?

1998 NTRU paper took $n = 503$.

10

Modular

For integ

Sage's "

outputs

Matches

```

poly():
    (randrange(3)-1
in range(n))
x(f)

()
1
()
- x
()
- x^3 - x^2 +

```

Will use bigger n for security.

Some choices of n
in submissions to NIST:

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Overkill against attack algorithms
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Can we find better algorithms?

1998 NTRU paper took $n = 503$.

Modular reduction

For integers u, q v
Sage's " $u\%q$ " always
outputs between 0

Matches standard

Will use bigger n for security.

Some choices of n
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Overkill against attack algorithms
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Can we find better algorithms?

1998 NTRU paper took $n = 503$.

Modular reduction

For integers u, q with $q > 0$
Sage's " $u\%q$ " always produces
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Matches standard math defini

Will use bigger n for security.

Some choices of n

in submissions to NIST:

$n = 701$ for NTRU HRSS.

$n = 743$ for NTRUEncrypt.

$n = 761$ for sntrup4591761.

Overkill against attack algorithms known today, even for future attacker with quantum computer.

Can we find better algorithms?

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sage: balancedmod(u,200)
41*x - 86
sage:
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reduction

Integers u , q with $q > 0$,
 $u \% q$ always produces
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```
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...:
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...:
...:
...:
sage:
```

11

with $q > 0$,
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math definition.

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12

```
sage: def invert
....:     Fp = Int
....:     Fpx = Zx
....:     T = Fpx.
....:     return Z
sage:
```

11

```

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12

```

sage: def invertmodprime(
...:     Fp = Integers(p)
...:     Fpx = Zx.change_r
...:     T = Fpx.quotient(
...:     return Zx(lift(1/
...:
sage:

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sage: def invertmodprime(f,p):
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...:     return Zx(lift(1/T(f)))
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6*x^6 + 6*x^5 + 3*x^4 + 3*x^3 +
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sage:

```

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```
= 314-159*x
```

```
% 200
```

```
+ 114
```

```
u - 400) % 200
```

```
- 86
```

```
balancedmod(u,200)
```

```
86
```

13

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sage: convolution(f,f3)
```

```
6*x^6 + 6*x^5 + 3*x^4 + 3*x^3 +
```

```
3*x^2 + 3*x + 4
```

```
sage:
```

```
def inve
```

```
assert
```

```
g = in
```

```
M = ba
```

```
C = co
```

```
while
```

```
r =
```

```
if :
```

```
g =
```

Exercise

invertm

Hint: Co

12

```

edmod(f,q):
(f[i]+q//2)%q
r i in range(n))
x(g)
9*x
% 200
d(u,200)

```

```

sage: def invertmodprime(f,p):
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6*x^6 + 6*x^5 + 3*x^4 + 3*x^3 +
  3*x^2 + 3*x + 4
sage:

```

13

```

def invertmodpow
    assert q.is_po
    g = invertmodp
    M = balancedmo
    C = convolutio
    while True:
        r = M(C(g,f)
        if r == 1: r
        g = M(C(g,2-

```

Exercise: Figure out how to use `invertmodpower` to compute the inverse of `g` modulo `q`.
Hint: Compare `r` to `1`.

```

):
(2)%q)
nge(n))
sage: def invertmodprime(f,p):
.....:     Fp = Integers(p)
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6*x^6 + 6*x^5 + 3*x^4 + 3*x^3 +
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sage:

```

```

def invertmodpowerof2(f,q)
    assert q.is_power_of(2)
    g = invertmodprime(f,2)
    M = balancedmod
    C = convolution
    while True:
        r = M(C(g,f),q)
        if r == 1: return g
        g = M(C(g,2-r),q)

```

Exercise: Figure out how `invertmodpowerof2` works.
Hint: Compare `r` to previous

```

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    T = Fpx.quotient(x^n-1)
    return Zx(lift(1/T(f)))

= 7
= randompoly()
3 = invertmodprime(f,3)
convolution(f,f3)
6*x^5 + 3*x^4 + 3*x^3 +
+ 3*x + 4

```

14

```

def invertmodpowerof2(f,q):
    sage: n
    sage: q
    sage:
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    M = balancedmod
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```

modprime(f,p):
    eegers(p)
    .change_ring(Fp)
    quotient(x^n-1)
    x(lift(1/T(f)))

poly()
tmodprime(f,3)
n(f,f3)
3*x^4 + 3*x^3 +

```

14

```

def invertmodpowerof2(f,q):
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    g = invertmodprime(f,2)
    M = balancedmod
    C = convolution
    while True:
        r = M(C(g,f),q)
        if r == 1: return g
        g = M(C(g,2-r),q)

```

```

sage: n = 7
sage: q = 256
sage:

```

Exercise: Figure out how `invertmodpowerof2` works.
Hint: Compare `r` to previous `r`.

13

`f, p):``ing(Fp)``xn-1)``T(f))``(f, 3)``*x3 +`

14

`def invertmodpowerof2(f, q):` `assert q.is_power_of(2)` `g = invertmodprime(f, 2)` `M = balancedmod` `C = convolution` `while True:` `r = M(C(g, f), q)` `if r == 1: return g` `g = M(C(g, 2-r), q)``sage: n = 7``sage: q = 256``sage:`

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Hint: Compare `r` to previous `r`.


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sage: q = 256
sage:
```

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sage: n = 7
sage: q = 256
sage: f = randompoly()
sage:
```

```

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sage: n = 7
sage: q = 256
sage: f = randompoly()
sage: f
-x^6 - x^4 + x^2 + x - 1
sage:

```

```

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    g = invertmodprime(f,2)
    M = balancedmod
    C = convolution
    while True:
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```

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-x^6 - x^4 + x^2 + x - 1
sage: g = invertmodpowerof2(f,q)
sage:

```

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sage: q = 256
sage: f = randompoly()
sage: f
-x^6 - x^4 + x^2 + x - 1
sage: g = invertmodpowerof2(f,q)
sage: g
47*x^6 + 126*x^5 - 54*x^4 -
87*x^3 - 36*x^2 - 58*x + 61
sage:

```

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-x^6 - x^4 + x^2 + x - 1
sage: g = invertmodpowerof2(f,q)
sage: g
47*x^6 + 126*x^5 - 54*x^4 -
87*x^3 - 36*x^2 - 58*x + 61
sage: convolution(f,g)
-256*x^5 - 256*x^4 + 256*x + 257
sage:

```

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-x^6 - x^4 + x^2 + x - 1
sage: g = invertmodpowerof2(f,q)
sage: g
47*x^6 + 126*x^5 - 54*x^4 -
87*x^3 - 36*x^2 - 58*x + 61
sage: convolution(f,g)
-256*x^5 - 256*x^4 + 256*x + 257
sage: balancedmod(_,q)
1
sage:

```

```

invertmodpowerof2(f,q):
    if not q.is_power_of(2):
        raise ValueError
    invertmodprime(f,2)
    balancedmod
    convolution
    True:
    M(C(g,f),q)
    r == 1: return g
    M(C(g,2-r),q)

```

Figure out how
invertmodpowerof2 works.
Compare r to previous r.

```

sage: n = 7
sage: q = 256
sage: f = randompoly()
sage: f
-x^6 - x^4 + x^2 + x - 1
sage: g = invertmodpowerof2(f,q)
sage: g
47*x^6 + 126*x^5 - 54*x^4 -
87*x^3 - 36*x^2 - 58*x + 61
sage: convolution(f,g)
-256*x^5 - 256*x^4 + 256*x + 257
sage: balancedmod(_,q)
1
sage:

```

NTRU k

Parameter

n , position

q , power


```

erof2(f,q):
wer_of(2)
rime(f,2)
d
n

,q)
return g
r),q)

ut how
of2 works.
to previous r.

```

```

sage: n = 7
sage: q = 256
sage: f = randompoly()
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sage:

```

NTRU key generation

Parameters:

n , positive integer

q , power of 2 (e.g.

):

```

sage: n = 7
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sage: f = randompoly()
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sage: balancedmod(_,q)
1
sage:

```

s r.

NTRU key generation

Parameters:

n , positive integer (e.g., 701)

q , power of 2 (e.g., 4096).

```

sage: n = 7
sage: q = 256
sage: f = randompoly()
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```

NTRU key generation

Parameters:

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 q , power of 2 (e.g., 4096).

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 random n -coeff polynomial d ;
 all coefficients in $\{-1, 0, 1\}$.

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Require d invertible mod q .

Require d invertible mod 3.

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Public key: $A = 3a/d$ in the ring
 $R_q = (\mathbf{Z}/q)[x]/(x^n - 1)$.

```

= 7
= 256
= randompoly()

x^4 + x^2 + x - 1
= invertmodpowerof2(f,q)

+ 126*x^5 - 54*x^4 -
- 36*x^2 - 58*x + 61
onvolution(f,g)
5 - 256*x^4 + 256*x + 257
alancedmod(_,q)

```

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```

def keyp
    while
        try
            d
            d3
            d6
            b3
        except
            pa
        a = ra
    public
    secret
    return

```

```

poly()
+ x - 1
modpowerof2(f, q)
- 54*x^4 -
- 58*x + 61
n(f, g)
^4 + 256*x + 257
d(_, q)

```

NTRU key generation

Parameters:

n , positive integer (e.g., 701);
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 $R_q = (\mathbf{Z}/q)[x]/(x^n - 1)$.

```

def keypair():
    while True:
        try:
            d = random
            d3 = inver
            dq = inver
            break
        except:
            pass
    a = randompoly
    publickey = ba
            con
    secretkey = d,
    return publick

```


NTRU key generation

Parameters:

n , positive integer (e.g., 701);

q , power of 2 (e.g., 4096).

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```
def keypair():
    while True:
        try:
            d = randompoly()
            d3 = invertmodprime
            dq = invertmodpower
            break
        except:
            pass
    a = randompoly()
    publickey = balancedmod
                convolution(
    secretkey = d,d3
    return publickey,secret
```

NTRU key generation

Parameters:

n , positive integer (e.g., 701);

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            dq = invertmodpowerof2(d,q)
            break
        except:
            pass
    a = randompoly()
    publickey = balancedmod(3 *
                            convolution(a,dq),q)
    secretkey = d,d3
    return publickey,secretkey

```

key generation

ers:

ve integer (e.g., 701);

r of 2 (e.g., 4096).

ey:

n -coeff polynomial a ;

n -coeff polynomial d ;

coefficients in $\{-1, 0, 1\}$.

d invertible mod q .

d invertible mod 3.

ey: $A = 3a/d$ in the ring

$(\mathbb{Z}/q)[x]/(x^n - 1)$.

```
def keypair():
```

```
    while True:
```

```
        try:
```

```
            d = randompoly()
```

```
            d3 = invertmodprime(d,3)
```

```
            dq = invertmodpowerof2(d,q)
```

```
            break
```

```
        except:
```

```
            pass
```

```
    a = randompoly()
```

```
    publickey = balancedmod(3 *
```

```
        convolution(a,dq),q)
```

```
    secretkey = d,d3
```

```
    return publickey,secretkey
```

sage: A

sage:

16

tion

(e.g., 701);
(., 4096).

polynomial a ;
polynomial d ;
 $\{-1, 0, 1\}$.

le mod q .
le mod 3.

a/d in the ring
($n - 1$).

17

```
def keypair():
    while True:
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            dq = invertmodpowerof2(d,q)
            break
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```

```
sage: A,secretke
sage:
```

16

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def keypair():
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```

17

```
sage: A,secretkey = keypa
sage:
```

```
def keypair():
    while True:
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            d3 = invertmodprime(d,3)
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            break
        except:
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    a = randompoly()
    publickey = balancedmod(3 *
                           convolution(a,dq),q)
    secretkey = d,d3
    return publickey,secretkey
```

```
sage: A,secretkey = keypair()
sage:
```

```

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            break
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```

```

sage: A,secretkey = keypair()
sage: A
-126*x^6 - 31*x^5 - 118*x^4 -
 33*x^3 + 73*x^2 - 16*x + 7
sage:

```

```

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            d = randompoly()
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            dq = invertmodpowerof2(d,q)
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sage: A
-126*x^6 - 31*x^5 - 118*x^4 -
 33*x^3 + 73*x^2 - 16*x + 7
sage: d,d3 = secretkey
sage: d
-x^6 + x^5 - x^4 + x^3 - 1
sage:

```

```

def keypair():
    while True:
        try:
            d = randompoly()
            d3 = invertmodprime(d,3)
            dq = invertmodpowerof2(d,q)
            break
        except:
            pass
    a = randompoly()
    publickey = balancedmod(3 *
                            convolution(a,dq),q)
    secretkey = d,d3
    return publickey,secretkey

```

```

sage: A,secretkey = keypair()
sage: A
-126*x^6 - 31*x^5 - 118*x^4 -
 33*x^3 + 73*x^2 - 16*x + 7
sage: d,d3 = secretkey
sage: d
-x^6 + x^5 - x^4 + x^3 - 1
sage: convolution(d,A)
-3*x^6 + 253*x^5 + 253*x^3 -
 253*x^2 - 3*x - 3
sage:

```

```

def keypair():
    while True:
        try:
            d = randompoly()
            d3 = invertmodprime(d,3)
            dq = invertmodpowerof2(d,q)
            break
        except:
            pass
    a = randompoly()
    publickey = balancedmod(3 *
                            convolution(a,dq),q)
    secretkey = d,d3
    return publickey,secretkey

```

```

sage: A,secretkey = keypair()
sage: A
-126*x^6 - 31*x^5 - 118*x^4 -
 33*x^3 + 73*x^2 - 16*x + 7
sage: d,d3 = secretkey
sage: d
-x^6 + x^5 - x^4 + x^3 - 1
sage: convolution(d,A)
-3*x^6 + 253*x^5 + 253*x^3 -
 253*x^2 - 3*x - 3
sage: balancedmod(_,q)
-3*x^6 - 3*x^5 - 3*x^3 + 3*x^2
- 3*x - 3
sage:

```

17

```

pair():
    True:
:
= randompoly()
3 = invertmodprime(d,3)
q = invertmodpowerof2(d,q)
break
ept:
ass
andompoly()
ckey = balancedmod(3 *
        convolution(a,dq),q)
tkey = d,d3
n publickey,secretkey

```

18

```

sage: A,secretkey = keypair()
sage: A
-126*x^6 - 31*x^5 - 118*x^4 -
  33*x^3 + 73*x^2 - 16*x + 7
sage: d,d3 = secretkey
sage: d
-x^6 + x^5 - x^4 + x^3 - 1
sage: convolution(d,A)
-3*x^6 + 253*x^5 + 253*x^3 -
  253*x^2 - 3*x - 3
sage: balancedmod(_,q)
-3*x^6 - 3*x^5 - 3*x^3 + 3*x^2
  - 3*x - 3
sage:

```

NTRU e

One mor
w, posit

17

```

poly()
tmodprime(d,3)
tmodpowerof2(d,q)
()
lancedmod(3 *
volution(a,dq),q)
d3
ey,secretkey

```

```

sage: A,secretkey = keypair()
sage: A
-126*x^6 - 31*x^5 - 118*x^4 -
  33*x^3 + 73*x^2 - 16*x + 7
sage: d,d3 = secretkey
sage: d
-x^6 + x^5 - x^4 + x^3 - 1
sage: convolution(d,A)
-3*x^6 + 253*x^5 + 253*x^3 -
  253*x^2 - 3*x - 3
sage: balancedmod(_,q)
-3*x^6 - 3*x^5 - 3*x^3 + 3*x^2
  - 3*x - 3
sage:

```

18

NTRU encryption

One more parameter
w, positive integer

17

```
sage: A,secretkey = keypair()
```

```
sage: A
```

$$-126x^6 - 31x^5 - 118x^4 - 33x^3 + 73x^2 - 16x + 7$$

```
sage: d,d3 = secretkey
```

```
sage: d
```

$$-x^6 + x^5 - x^4 + x^3 - 1$$

```
sage: convolution(d,A)
```

$$-3x^6 + 253x^5 + 253x^3 - 253x^2 - 3x - 3$$

```
sage: balancedmod(_,q)
```

$$-3x^6 - 3x^5 - 3x^3 + 3x^2 - 3x - 3$$

```
sage:
```

18

NTRU encryption

One more parameter:

w , positive integer (e.g., 46)

```

sage: A,secretkey = keypair()
sage: A
-126*x^6 - 31*x^5 - 118*x^4 -
 33*x^3 + 73*x^2 - 16*x + 7
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 253*x^2 - 3*x - 3
sage: balancedmod(_,q)
-3*x^6 - 3*x^5 - 3*x^3 + 3*x^2
  - 3*x - 3
sage:

```

NTRU encryption

One more parameter:

w , positive integer (e.g., 467).

```

sage: A,secretkey = keypair()
sage: A
-126*x^6 - 31*x^5 - 118*x^4 -
 33*x^3 + 73*x^2 - 16*x + 7
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sage: convolution(d,A)
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 253*x^2 - 3*x - 3
sage: balancedmod(_,q)
-3*x^6 - 3*x^5 - 3*x^3 + 3*x^2
  - 3*x - 3
sage:

```

NTRU encryption

One more parameter:

w , positive integer (e.g., 467).

Message for encryption:

n -coeff weight- w polynomial c
with all coeffs in $\{-1, 0, 1\}$.

“Weight w ”: w nonzero coeffs,
 $n - w$ zero coeffs.


```

sage: A,secretkey = keypair()
sage: A
-126*x^6 - 31*x^5 - 118*x^4 -
 33*x^3 + 73*x^2 - 16*x + 7
sage: d,d3 = secretkey
sage: d
-x^6 + x^5 - x^4 + x^3 - 1
sage: convolution(d,A)
-3*x^6 + 253*x^5 + 253*x^3 -
 253*x^2 - 3*x - 3
sage: balancedmod(_,q)
-3*x^6 - 3*x^5 - 3*x^3 + 3*x^2
  - 3*x - 3
sage:

```

NTRU encryption

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with all coeffs in $\{-1, 0, 1\}$.

“Weight w ”: w nonzero coeffs,
 $n - w$ zero coeffs.

Ciphertext: $C = Ab + c$ in R_q
where b is chosen randomly
from the set of messages.

```
,secretkey = keypair()
```

```
6 - 31*x^5 - 118*x^4 -
+ 73*x^2 - 16*x + 7
```

```
,d3 = secretkey
```

```
x^5 - x^4 + x^3 - 1
```

```
convolution(d,A)
```

```
+ 253*x^5 + 253*x^3 -
```

```
2 - 3*x - 3
```

```
balancedmod(_,q)
```

```
- 3*x^5 - 3*x^3 + 3*x^2
```

```
- 3
```

NTRU encryption

One more parameter:

w , positive integer (e.g., 467).

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n -coeff weight- w polynomial c
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where b is chosen randomly
from the set of messages.

```
sage: de
```

```
.....:
```

```
.....:
```

```
.....:
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.....:
```

```
.....:
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```
.....:
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```
.....:
```

```
.....:
```

```
.....:
```

```
.....:
```

```
sage: w
```

```
sage: ra
```

```
-x^6 - 1
```

```
sage:
```

```
y = keypair()
```

```
5 - 118*x^4 -
- 16*x + 7
```

```
retkey
```

```
+ x^3 - 1
```

```
n(d,A)
```

```
+ 253*x^3 -
```

```
3
```

```
d(_,q)
```

```
3*x^3 + 3*x^2
```

NTRU encryption

One more parameter:

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 $n - w$ zero coeffs.

Ciphertext: $C = Ab + c$ in R_q

where b is chosen randomly
from the set of messages.

```
sage: def random
```

```
.....: R = rand
```

```
.....: assert w
```

```
.....: c = n*[0
```

```
.....: for j in
```

```
.....: while
```

```
.....:     r =
```

```
.....:     if n
```

```
.....:     c[r] =
```

```
.....: return Z
```

```
.....:
```

```
sage: w = 5
```

```
sage: randommess
```

```
-x^6 - x^5 + x^4
```

```
sage:
```

NTRU encryption

One more parameter:

w , positive integer (e.g., 467).

Message for encryption:

n -coeff weight- w polynomial c
with all coeffs in $\{-1, 0, 1\}$.

“Weight w ”: w nonzero coeffs,
 $n - w$ zero coeffs.

Ciphertext: $C = Ab + c$ in R_q

where b is chosen randomly
from the set of messages.

```
sage: def randommessage()
...:     R = randrange
...:     assert w <= n
...:     c = n*[0]
...:     for j in range(w)
...:         while True:
...:             r = R(n)
...:             if not c[r]:
...:                 c[r] = 1-2*R(2)
...:     return Zx(c)
...:
sage: w = 5
sage: randommessage()
-x^6 - x^5 + x^4 + x^3 -
sage:
```

NTRU encryption

One more parameter:

w , positive integer (e.g., 467).

Message for encryption:

n -coeff weight- w polynomial c
with all coeffs in $\{-1, 0, 1\}$.

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where b is chosen randomly
from the set of messages.

```

sage: def randommessage():
...:     R = randrange
...:     assert w <= n
...:     c = n*[0]
...:     for j in range(w):
...:         while True:
...:             r = R(n)
...:             if not c[r]: break
...:             c[r] = 1-2*R(2)
...:     return Zx(c)
...:
sage: w = 5
sage: randommessage()
-x^6 - x^5 + x^4 + x^3 - x^2
sage:

```

Encryption

re parameter:

ive integer (e.g., 467).

e for encryption:

weight- w polynomial c
coeffs in $\{-1, 0, 1\}$.

" w ": w nonzero coeffs,
zero coeffs.

xt: $C = Ab + c$ in R_q

is chosen randomly

e set of messages.

```
sage: def randommessage():
...:     R = randrange
...:     assert w <= n
...:     c = n*[0]
...:     for j in range(w):
...:         while True:
...:             r = R(n)
...:             if not c[r]: break
...:             c[r] = 1-2*R(2)
...:     return Zx(c)
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(e.g., 467).

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$\{-1, 0, 1\}$.

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$Ab + c$ in R_q

randomly

essages.

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```

```
sage: w = 5
```

```
sage: randommessage()
```

```
-x^6 - x^5 + x^4 + x^3 - x^2
```

```
sage:
```

```
sage: def encryp
```

```
.....:     b = rand
```

```
.....:     Ab = con
```

```
.....:     C = bala
```

```
.....:     return C
```

```
.....:
```

```
sage:
```

```

sage: def randommessage():
.....:     R = randrange
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```

```
sage: w = 5
```

```
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```

```
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```

```
sage:
```

```

sage: def encrypt(c,A):
.....:     b = randommessage
.....:     Ab = convolution(
.....:     C = balancedmod(A
.....:     return C
.....:
sage:

```



```

sage: def randommessage():
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```

```
sage: w = 5
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```

```
-x^6 - x^5 + x^4 + x^3 - x^2
```

```
sage:
```

```

sage: def encrypt(c,A):
....:     b = randommessage()
....:     Ab = convolution(A,b)
....:     C = balancedmod(Ab + c,q)
....:     return C
....:
sage:

```

```

sage: def randommessage():
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```

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```
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```

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```

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```

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....:
sage: A,secretkey = keypair()
sage: c = randommessage()
sage: C = encrypt(c,A)
sage: C
21*x^6 - 48*x^5 + 31*x^4 -
76*x^3 - 77*x^2 + 15*x - 113
sage:

```

```

def randommessage():
    R = randrange
    assert w <= n
    c = n*[0]
    for j in range(w):
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= 5

randommessage()

x^5 + x^4 + x^3 - x^2

```

```

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76*x^3 - 77*x^2 + 15*x - 113
sage:

```

```

message():
range
  <= n
]
range(w):
True:
R(n)
ot c[r]: break
1-2*R(2)
x(c)

age()
+ x^3 - x^2

```

```

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```

NTRU decryption

Compute $dC = 3a$

:

```
sage: def encrypt(c,A):
.....:     b = randommessage()
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.....:
```

:

```
sage: A,secretkey = keypair()
```

break

```
sage: c = randommessage()
```

```
sage: C = encrypt(c,A)
```

```
sage: C
```

```
21*x^6 - 48*x^5 + 31*x^4 -
 76*x^3 - 77*x^2 + 15*x - 113
```

```
sage:
```

x^2

NTRU decryption

Compute $dC = 3ab + dc$ in


```

sage: def encrypt(c,A):
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.....:     Ab = convolution(A,b)
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```

NTRU decryption

Compute $dC = 3ab + dc$ in R_q .

```

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Reduce modulo 3: dc in R_3 .

```

sage: def encrypt(c,A):
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Multiply by $1/d$ in R_3
to recover message c in R_3 .

```

sage: def encrypt(c,A):
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.....:     return C
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sage: C = encrypt(c,A)
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to recover message c in R_3 .

Coeffs are between -1 and 1 ,
so recover c in R .

```

def encrypt(c,A):
    b = randommessage()
    Ab = convolution(A,b)
    C = balancedmod(Ab + c,q)
    return C

```

```

,secretkey = keypair()
= randommessage()
= encrypt(c,A)

```

```

- 48*x^5 + 31*x^4 -
- 77*x^2 + 15*x - 113

```

NTRU decryption

Compute $dC = 3ab + dc$ in R_q .

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so recover c in R .

```
sage: d
```

```
.....:
```

```
.....:
```

```
.....:
```

```
.....:
```

```
.....:
```

```
.....:
```

```
sage:
```



```

t(c,A):
omessage()
volution(A,b)
ncedmod(Ab + c,q)

y = keypair()
message()
t(c,A)

+ 31*x^4 -
+ 15*x - 113

```

NTRU decryption

Compute $dC = 3ab + dc$ in R_q .

a, b, c, d have small coeffs,
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Multiply by $1/d$ in R_3

to recover message c in R_3 .

Coeffs are between -1 and 1 ,
so recover c in R .

```

sage: def decryp
...:     M = ba
...:     f,r =
...:     u=M(co
...:     c=M(co
...:     return
...:
sage:

```

NTRU decryption

Compute $dC = 3ab + dc$ in R_q .

a, b, c, d have small coeffs,
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```
sage: def decrypt(C, secre
...:     M = balancedmod
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...:     u=M(convolution
...:     c=M(convolution
...:     return c
...:
sage:
```

NTRU decryption

Compute $dC = 3ab + dc$ in R_q .

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Multiply by $1/d$ in R_3

to recover message c in R_3 .

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so recover c in R .

```
sage: def decrypt(C,secretkey):
...:     M = balancedmod
...:     f,r = secretkey
...:     u=M(convolution(C,f),q)
...:     c=M(convolution(u,r),3)
...:     return c
...:
sage:
```

NTRU decryption

Compute $dC = 3ab + dc$ in R_q .

a, b, c, d have small coeffs,
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...:     return c
...:
sage: c
x^5 + x^4 - x^3 + x + 1
sage:
```

NTRU decryption

Compute $dC = 3ab + dc$ in R_q .

a, b, c, d have small coeffs,
so $3ab + dc$ is not very big.

Assume that coeffs of $3ab + dc$
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Multiply by $1/d$ in R_3

to recover message c in R_3 .

Coeffs are between -1 and 1 ,
so recover c in R .

```
sage: def decrypt(C,secretkey):
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...:
sage: c
x^5 + x^4 - x^3 + x + 1
sage: decrypt(C,secretkey)
x^5 + x^4 - x^3 + x + 1
sage:
```

Decryption

Let $dC = 3ab + dc$ in R_q .

Let a, b have small coeffs,

and dc is not very big.

Assume that coeffs of $3ab + dc$

are between $-q/2$ and $q/2 - 1$.

Then $3ab + dc$ in R_q reveals

c in $R = \mathbf{Z}[x]/(x^n - 1)$.

Reduce modulo 3: dc in R_3 .

Since d is invertible by $1/d$ in R_3

we recover message c in R_3 .

Since coeffs are between -1 and 1 ,

we recover c in R .

```
sage: def decrypt(C,secretkey):
...:     M = balancedmod
...:     f,r = secretkey
...:     u=M(convolution(C,f),q)
...:     c=M(convolution(u,r),3)
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...:
sage: c
x^5 + x^4 - x^3 + x + 1
sage: decrypt(C,secretkey)
x^5 + x^4 - x^3 + x + 1
sage:
```

$ab + dc$ in R_q .

all coeffs,

are very big.

coeffs of $3ab + dc$

are $q/2 - 1$.

R_q reveals

$\mathbf{Z}[x]/(x^n - 1)$.

dc in R_3 .

in R_3

is c in R_3 .

is -1 and 1 ,

```
sage: def decrypt(C,secretkey):
.....:     M = balancedmod
.....:     f,r = secretkey
.....:     u=M(convolution(C,f),q)
.....:     c=M(convolution(u,r),3)
.....:     return c
.....:
```

```
sage: c
```

```
x^5 + x^4 - x^3 + x + 1
```

```
sage: decrypt(C,secretkey)
```

```
x^5 + x^4 - x^3 + x + 1
```

```
sage:
```

```
sage: n = 7
```

```
sage: w = 5
```

```
sage: q = 256
```

```
sage:
```

R_q . $+ dc$ $- 1$. s $- 1$).

.

1,

```

sage: def decrypt(C,secretkey):
.....:     M = balancedmod
.....:     f,r = secretkey
.....:     u=M(convolution(C,f),q)
.....:     c=M(convolution(u,r),3)
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.....:
sage: c
x^5 + x^4 - x^3 + x + 1
sage: decrypt(C,secretkey)
x^5 + x^4 - x^3 + x + 1
sage:

```

```

sage: n = 7
sage: w = 5
sage: q = 256
sage:

```



```

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```

```
sage: c
```

$$x^5 + x^4 - x^3 + x + 1$$

```
sage: decrypt(C,secretkey)
```

$$x^5 + x^4 - x^3 + x + 1$$

```
sage:
```

```
sage: n = 7
```

```
sage: w = 5
```

```
sage: q = 256
```

```
sage:
```

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.....:     return c
.....:

```

```
sage: c
```

$$x^5 + x^4 - x^3 + x + 1$$

```
sage: decrypt(C,secretkey)
```

$$x^5 + x^4 - x^3 + x + 1$$

```
sage:
```

```

sage: n = 7
sage: w = 5
sage: q = 256
sage: A,secretkey = keypair()
sage:

```

```

sage: def decrypt(C,secretkey):
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x^5 + x^4 - x^3 + x + 1
sage: decrypt(C,secretkey)
x^5 + x^4 - x^3 + x + 1
sage:

```

```

sage: n = 7
sage: w = 5
sage: q = 256
sage: A,secretkey = keypair()
sage: A
-101*x^6 - 76*x^5 - 90*x^4 -
  83*x^3 + 40*x^2 + 108*x - 54
sage:

```

```

sage: def decrypt(C,secretkey):
.....:     M = balancedmod
.....:     f,r = secretkey
.....:     u=M(convolution(C,f),q)
.....:     c=M(convolution(u,r),3)
.....:     return c
sage: c
x^5 + x^4 - x^3 + x + 1
sage: decrypt(C,secretkey)
x^5 + x^4 - x^3 + x + 1
sage:

```

```

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sage: d,d3 = secretkey
sage:

```

```

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sage: d
x^5 + x^4 - x^3 + x - 1
sage:

```

```

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```

```

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  83*x^3 + 40*x^2 + 108*x - 54
sage: d,d3 = secretkey
sage: d
x^5 + x^4 - x^3 + x - 1
sage: conv = convolution
sage:

```

```

sage: def decrypt(C,secretkey):
.....:     M = balancedmod
.....:     f,r = secretkey
.....:     u=M(convolution(C,f),q)
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.....:     return c
sage: c
x^5 + x^4 - x^3 + x + 1
sage: decrypt(C,secretkey)
x^5 + x^4 - x^3 + x + 1
sage:

```

```

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  83*x^3 + 40*x^2 + 108*x - 54
sage: d,d3 = secretkey
sage: d
x^5 + x^4 - x^3 + x - 1
sage: conv = convolution
sage: M = balancedmod
sage:

```

```

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.....:
sage: c
x^5 + x^4 - x^3 + x + 1
sage: decrypt(C,secretkey)
x^5 + x^4 - x^3 + x + 1
sage:

```

```

sage: n = 7
sage: w = 5
sage: q = 256
sage: A,secretkey = keypair()
sage: A
-101*x^6 - 76*x^5 - 90*x^4 -
  83*x^3 + 40*x^2 + 108*x - 54
sage: d,d3 = secretkey
sage: d
x^5 + x^4 - x^3 + x - 1
sage: conv = convolution
sage: M = balancedmod
sage: a3 = M(conv(d,A),q)
sage:

```



```

sage: def decrypt(C,secretkey):
.....:     M = balancedmod
.....:     f,r = secretkey
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sage: c
x^5 + x^4 - x^3 + x + 1
sage: decrypt(C,secretkey)
x^5 + x^4 - x^3 + x + 1
sage:

```

```

sage: n = 7
sage: w = 5
sage: q = 256
sage: A,secretkey = keypair()
sage: A
-101*x^6 - 76*x^5 - 90*x^4 -
  83*x^3 + 40*x^2 + 108*x - 54
sage: d,d3 = secretkey
sage: d
x^5 + x^4 - x^3 + x - 1
sage: conv = convolution
sage: M = balancedmod
sage: a3 = M(conv(d,A),q)
sage: a3
3*x^2 - 3*x

```

```

def decrypt(C,secretkey):
    M = balancedmod
    f,r = secretkey
    u=M(convolution(C,f),q)
    c=M(convolution(u,r),3)
    return c

```

$$x^4 - x^3 + x + 1$$

```
decrypt(C,secretkey)
```

$$x^4 - x^3 + x + 1$$

```

sage: n = 7
sage: w = 5
sage: q = 256
sage: A,secretkey = keypair()
sage: A
-101*x^6 - 76*x^5 - 90*x^4 -
 83*x^3 + 40*x^2 + 108*x - 54
sage: d,d3 = secretkey
sage: d
x^5 + x^4 - x^3 + x - 1
sage: conv = convolution
sage: M = balancedmod
sage: a3 = M(conv(d,A),q)
sage: a3
3*x^2 - 3*x

```

```
sage: c
```

```
sage:
```

23

```

t(C,secretkey):
balancedmod
secretkey
convolution(C,f),q)
convolution(u,r),3)
c
+ x + 1
secretkey)
+ x + 1

```

```

sage: n = 7
sage: w = 5
sage: q = 256
sage: A,secretkey = keypair()
sage: A
-101*x^6 - 76*x^5 - 90*x^4 -
  83*x^3 + 40*x^2 + 108*x - 54
sage: d,d3 = secretkey
sage: d
x^5 + x^4 - x^3 + x - 1
sage: conv = convolution
sage: M = balancedmod
sage: a3 = M(conv(d,A),q)
sage: a3
3*x^2 - 3*x

```

24

```

sage: c = random
sage:

```

23

```

secretkey):
sage: n = 7
sage: w = 5
sage: q = 256
sage: A, secretkey = keypair(
(C, f), q)
(u, r), 3)
sage: A
-101*x^6 - 76*x^5 - 90*x^4 -
  83*x^3 + 40*x^2 + 108*x - 54
sage: d, d3 = secretkey
sage: d
x^5 + x^4 - x^3 + x - 1
sage: conv = convolution
sage: M = balancedmod
sage: a3 = M(conv(d, A), q)
sage: a3
3*x^2 - 3*x

```

24

```

sage: c = randommessage()
sage:

```

```
sage: n = 7
sage: w = 5
sage: q = 256
sage: A,secretkey = keypair()
sage: A
-101*x^6 - 76*x^5 - 90*x^4 -
 83*x^3 + 40*x^2 + 108*x - 54
sage: d,d3 = secretkey
sage: d
x^5 + x^4 - x^3 + x - 1
sage: conv = convolution
sage: M = balancedmod
sage: a3 = M(conv(d,A),q)
sage: a3
3*x^2 - 3*x
```

```
sage: c = randommessage()
sage:
```

```

sage: n = 7
sage: w = 5
sage: q = 256
sage: A,secretkey = keypair()
sage: A
-101*x^6 - 76*x^5 - 90*x^4 -
 83*x^3 + 40*x^2 + 108*x - 54
sage: d,d3 = secretkey
sage: d
x^5 + x^4 - x^3 + x - 1
sage: conv = convolution
sage: M = balancedmod
sage: a3 = M(conv(d,A),q)
sage: a3
3*x^2 - 3*x

```

```

sage: c = randommessage()
sage: b = randommessage()
sage:

```

```

sage: n = 7
sage: w = 5
sage: q = 256
sage: A,secretkey = keypair()
sage: A
-101*x^6 - 76*x^5 - 90*x^4 -
 83*x^3 + 40*x^2 + 108*x - 54
sage: d,d3 = secretkey
sage: d
x^5 + x^4 - x^3 + x - 1
sage: conv = convolution
sage: M = balancedmod
sage: a3 = M(conv(d,A),q)
sage: a3
3*x^2 - 3*x

```

```

sage: c = randommessage()
sage: b = randommessage()
sage: C = M(conv(A,b)+c,q)
sage:

```

```

sage: n = 7
sage: w = 5
sage: q = 256
sage: A,secretkey = keypair()
sage: A
-101*x^6 - 76*x^5 - 90*x^4 -
  83*x^3 + 40*x^2 + 108*x - 54
sage: d,d3 = secretkey
sage: d
x^5 + x^4 - x^3 + x - 1
sage: conv = convolution
sage: M = balancedmod
sage: a3 = M(conv(d,A),q)
sage: a3
3*x^2 - 3*x

```

```

sage: c = randommessage()
sage: b = randommessage()
sage: C = M(conv(A,b)+c,q)
sage: C
-57*x^6 + 28*x^5 + 114*x^4 +
  72*x^3 - 37*x^2 + 16*x + 119
sage:

```



```

sage: n = 7
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sage: q = 256
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sage: A
-101*x^6 - 76*x^5 - 90*x^4 -
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x^5 + x^4 - x^3 + x - 1
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3*x^2 - 3*x

```

```

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sage: C
-57*x^6 + 28*x^5 + 114*x^4 +
 72*x^3 - 37*x^2 + 16*x + 119
sage: u = M(conv(C,d),q)
sage:

```

```

sage: n = 7
sage: w = 5
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-101*x^6 - 76*x^5 - 90*x^4 -
 83*x^3 + 40*x^2 + 108*x - 54
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x^5 + x^4 - x^3 + x - 1
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sage: a3
3*x^2 - 3*x

```

```

sage: c = randommessage()
sage: b = randommessage()
sage: C = M(conv(A,b)+c,q)
sage: C
-57*x^6 + 28*x^5 + 114*x^4 +
 72*x^3 - 37*x^2 + 16*x + 119
sage: u = M(conv(C,d),q)
sage: u
-8*x^6 + 2*x^5 + 4*x^4 - x^3 -
 4*x^2 + 5*x + 1
sage:

```

```

sage: n = 7
sage: w = 5
sage: q = 256
sage: A,secretkey = keypair()
sage: A
-101*x^6 - 76*x^5 - 90*x^4 -
 83*x^3 + 40*x^2 + 108*x - 54
sage: d,d3 = secretkey
sage: d
x^5 + x^4 - x^3 + x - 1
sage: conv = convolution
sage: M = balancedmod
sage: a3 = M(conv(d,A),q)
sage: a3
3*x^2 - 3*x

```

```

sage: c = randommessage()
sage: b = randommessage()
sage: C = M(conv(A,b)+c,q)
sage: C
-57*x^6 + 28*x^5 + 114*x^4 +
 72*x^3 - 37*x^2 + 16*x + 119
sage: u = M(conv(C,d),q)
sage: u
-8*x^6 + 2*x^5 + 4*x^4 - x^3 -
 4*x^2 + 5*x + 1
sage: conv(a3,b)+conv(c,d)
-8*x^6 + 2*x^5 + 4*x^4 - x^3 -
 4*x^2 + 5*x + 1

```

```

= 7
= 5
= 256
,secretkey = keypair()
6 - 76*x^5 - 90*x^4 -
+ 40*x^2 + 108*x - 54
,d3 = secretkey
^4 - x^3 + x - 1
onv = convolution
= balancedmod
3 = M(conv(d,A),q)
3
3*x

```

```

sage: c = randommessage()
sage: b = randommessage()
sage: C = M(conv(A,b)+c,q)
sage: C
-57*x^6 + 28*x^5 + 114*x^4 +
72*x^3 - 37*x^2 + 16*x + 119
sage: u = M(conv(C,d),q)
sage: u
-8*x^6 + 2*x^5 + 4*x^4 - x^3 -
4*x^2 + 5*x + 1
sage: conv(a3,b)+conv(c,d)
-8*x^6 + 2*x^5 + 4*x^4 - x^3 -
4*x^2 + 5*x + 1
sage: M
x^6 - x
+ 1
sage:

```

24

```
y = keypair()
```

```
5 - 90*x^4 -
+ 108*x - 54
```

```
retkey
```

```
+ x - 1
```

```
volution
```

```
edmod
```

```
v(d,A),q)
```

```
sage: c = randommessage()
```

```
sage: b = randommessage()
```

```
sage: C = M(conv(A,b)+c,q)
```

```
sage: C
```

```
-57*x^6 + 28*x^5 + 114*x^4 +
72*x^3 - 37*x^2 + 16*x + 119
```

```
sage: u = M(conv(C,d),q)
```

```
sage: u
```

```
-8*x^6 + 2*x^5 + 4*x^4 - x^3 -
4*x^2 + 5*x + 1
```

```
sage: conv(a3,b)+conv(c,d)
```

```
-8*x^6 + 2*x^5 + 4*x^4 - x^3 -
4*x^2 + 5*x + 1
```

25

```
sage: M(u,3)
```

```
x^6 - x^5 + x^4
+ 1
```

```
sage:
```

```

sage: c = randommessage()
sage: b = randommessage()
sage: C = M(conv(A,b)+c,q)
sage: C
-57*x^6 + 28*x^5 + 114*x^4 +
  72*x^3 - 37*x^2 + 16*x + 119
sage: u = M(conv(C,d),q)
sage: u
-8*x^6 + 2*x^5 + 4*x^4 - x^3 -
  4*x^2 + 5*x + 1
sage: conv(a3,b)+conv(c,d)
-8*x^6 + 2*x^5 + 4*x^4 - x^3 -
  4*x^2 + 5*x + 1

```

```

sage: M(u,3)
x^6 - x^5 + x^4 - x^3 - x
+ 1
sage:

```

```

sage: c = randommessage()
sage: b = randommessage()
sage: C = M(conv(A,b)+c,q)
sage: C
-57*x^6 + 28*x^5 + 114*x^4 +
 72*x^3 - 37*x^2 + 16*x + 119
sage: u = M(conv(C,d),q)
sage: u
-8*x^6 + 2*x^5 + 4*x^4 - x^3 -
 4*x^2 + 5*x + 1
sage: conv(a3,b)+conv(c,d)
-8*x^6 + 2*x^5 + 4*x^4 - x^3 -
 4*x^2 + 5*x + 1

```

```

sage: M(u,3)
x^6 - x^5 + x^4 - x^3 - x^2 - x
+ 1
sage:

```

```

sage: c = randommessage()
sage: b = randommessage()
sage: C = M(conv(A,b)+c,q)
sage: C
-57*x^6 + 28*x^5 + 114*x^4 +
 72*x^3 - 37*x^2 + 16*x + 119
sage: u = M(conv(C,d),q)
sage: u
-8*x^6 + 2*x^5 + 4*x^4 - x^3 -
 4*x^2 + 5*x + 1
sage: conv(a3,b)+conv(c,d)
-8*x^6 + 2*x^5 + 4*x^4 - x^3 -
 4*x^2 + 5*x + 1

```

```

sage: M(u,3)
x^6 - x^5 + x^4 - x^3 - x^2 - x
+ 1
sage: M(conv(c,d),3)
x^6 - x^5 + x^4 - x^3 - x^2 - x
+ 1
sage:

```



```

sage: c = randommessage()
sage: b = randommessage()
sage: C = M(conv(A,b)+c,q)
sage: C
-57*x^6 + 28*x^5 + 114*x^4 +
 72*x^3 - 37*x^2 + 16*x + 119
sage: u = M(conv(C,d),q)
sage: u
-8*x^6 + 2*x^5 + 4*x^4 - x^3 -
 4*x^2 + 5*x + 1
sage: conv(a3,b)+conv(c,d)
-8*x^6 + 2*x^5 + 4*x^4 - x^3 -
 4*x^2 + 5*x + 1

```

```

sage: M(u,3)
x^6 - x^5 + x^4 - x^3 - x^2 - x
+ 1
sage: M(conv(c,d),3)
x^6 - x^5 + x^4 - x^3 - x^2 - x
+ 1
sage: conv(M(u,3),d3)
x^6 - x^5 - x^4 - 3*x^3 - x^2 +
  x - 3
sage:

```

```

sage: c = randommessage()
sage: b = randommessage()
sage: C = M(conv(A,b)+c,q)
sage: C
-57*x^6 + 28*x^5 + 114*x^4 +
 72*x^3 - 37*x^2 + 16*x + 119
sage: u = M(conv(C,d),q)
sage: u
-8*x^6 + 2*x^5 + 4*x^4 - x^3 -
 4*x^2 + 5*x + 1
sage: conv(a3,b)+conv(c,d)
-8*x^6 + 2*x^5 + 4*x^4 - x^3 -
 4*x^2 + 5*x + 1

```

```

sage: M(u,3)
x^6 - x^5 + x^4 - x^3 - x^2 - x
+ 1
sage: M(conv(c,d),3)
x^6 - x^5 + x^4 - x^3 - x^2 - x
+ 1
sage: conv(M(u,3),d3)
x^6 - x^5 - x^4 - 3*x^3 - x^2 +
x - 3
sage: M(_,3)
x^6 - x^5 - x^4 - x^2 + x
sage:

```

```

sage: c = randommessage()
sage: b = randommessage()
sage: C = M(conv(A,b)+c,q)
sage: C
-57*x^6 + 28*x^5 + 114*x^4 +
 72*x^3 - 37*x^2 + 16*x + 119
sage: u = M(conv(C,d),q)
sage: u
-8*x^6 + 2*x^5 + 4*x^4 - x^3 -
 4*x^2 + 5*x + 1
sage: conv(a3,b)+conv(c,d)
-8*x^6 + 2*x^5 + 4*x^4 - x^3 -
 4*x^2 + 5*x + 1

```

```

sage: M(u,3)
x^6 - x^5 + x^4 - x^3 - x^2 - x
 + 1
sage: M(conv(c,d),3)
x^6 - x^5 + x^4 - x^3 - x^2 - x
 + 1
sage: conv(M(u,3),d3)
x^6 - x^5 - x^4 - 3*x^3 - x^2 +
 x - 3
sage: M(_,3)
x^6 - x^5 - x^4 - x^2 + x
sage: c
x^6 - x^5 - x^4 - x^2 + x
sage:

```

```

= randommessage()
= randommessage()
= M(conv(A,b)+c,q)

+ 28*x^5 + 114*x^4 +
- 37*x^2 + 16*x + 119
= M(conv(C,d),q)

+ 2*x^5 + 4*x^4 - x^3 -
+ 5*x + 1
conv(a3,b)+conv(c,d)
+ 2*x^5 + 4*x^4 - x^3 -
+ 5*x + 1

```

```

sage: M(u,3)
x^6 - x^5 + x^4 - x^3 - x^2 - x
+ 1
sage: M(conv(c,d),3)
x^6 - x^5 + x^4 - x^3 - x^2 - x
+ 1
sage: conv(M(u,3),d3)
x^6 - x^5 - x^4 - 3*x^3 - x^2 +
x - 3
sage: M(_,3)
x^6 - x^5 - x^4 - x^2 + x
sage: c
x^6 - x^5 - x^4 - x^2 + x
sage:

```

Does de

All coeff

All coeff

and exact

message()

message()

(A, b)+c, q)

+ 114*x^4 +

+ 16*x + 119

(C, d), q)

4*x^4 - x^3 -

+conv(c, d)

4*x^4 - x^3 -

sage: M(u, 3)

$x^6 - x^5 + x^4 - x^3 - x^2 - x + 1$

sage: M(conv(c, d), 3)

$x^6 - x^5 + x^4 - x^3 - x^2 - x + 1$

sage: conv(M(u, 3), d3)

$x^6 - x^5 - x^4 - 3*x^3 - x^2 + x - 3$

sage: M(_, 3)

$x^6 - x^5 - x^4 - x^2 + x$

sage: c

$x^6 - x^5 - x^4 - x^2 + x$

sage:

Does decryption a

All coeffs of a are
All coeffs of b are
and exactly w are

```
sage: M(u,3)
```

$$x^6 - x^5 + x^4 - x^3 - x^2 - x + 1$$

```
sage: M(conv(c,d),3)
```

$$x^6 - x^5 + x^4 - x^3 - x^2 - x + 1$$

```
sage: conv(M(u,3),d3)
```

$$x^6 - x^5 - x^4 - 3*x^3 - x^2 + x - 3$$

```
sage: M(_,3)
```

$$x^6 - x^5 - x^4 - x^2 + x$$

```
sage: c
```

$$x^6 - x^5 - x^4 - x^2 + x$$

```
sage:
```

Does decryption always work

All coeffs of a are in $\{-1, 0, 1\}$

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Experimentally confirmed:

Average of $c \text{rev}(c)$

over some decryption failures
 is close to $d \text{rev}(d)$.

Round to integers: $d \text{rev}(d)$.

Coeff of x^{n-1} in cd is

$$c_0 d_{n-1} + c_1 d_{n-2} + \dots + c_{n-1} d_0.$$

This coeff is large \Leftrightarrow

c_0, c_1, \dots, c_{n-1} has high correlation with

$$d_{n-1}, d_{n-2}, \dots, d_0.$$

Some coeff is large \Leftrightarrow

c_0, c_1, \dots, c_{n-1} has high correlation with some rotation of $d_{n-1}, d_{n-2}, \dots, d_0$.

i.e. c is correlated with

$x^i \text{rev}(d)$ for some i , where

$$\text{rev}(d) = d_0 + d_1 x^{n-1} + \dots + d_{n-1} x.$$

Reasonable guesses given a random decryption failure:

c correlated with some $x^i \text{rev}(d)$.

$\text{rev}(c)$ correlated with $x^{-i} d$.

$c \text{rev}(c)$ correlated with $d \text{rev}(d)$.

Experimentally confirmed:

Average of $c \text{rev}(c)$

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Round to integers: $d \text{rev}(d)$.

Eurocrypt 2002 Gentry–Szydlo algorithm then finds d .

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\dots, c_{n-1} has
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eff is large \Leftrightarrow

\dots, c_{n-1} has high
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1999 Hall–Goldberg–Schneier
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Fluhrer, etc.: Even easier attacks
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Attacker changes c to

$c \pm 1, c \pm x, \dots, c \pm x^{n-1};$
 $c \pm 2, c \pm 2x, \dots, c \pm 2x^{n-1};$
 $c \pm 3, \text{ etc.}$

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This changes $3ab + dc$: adds
 $\pm d, \pm xd, \dots, \pm x^{n-1} d;$
 $\pm 2d, \pm 2xd, \dots, \pm 2x^{n-1} d;$
 $\pm 3d, \text{ etc.}$

possible guesses given a
 decryption failure:
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 correlated with $x^{-i} d$.
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experimentally confirmed:

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e.g. $3ab + dc = \dots$
 all other coeffs in
 and $d = \dots + x^{47}$

1999 Hall–Goldberg–Schneier,
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 Hoffstein–Silverman, 2016
 Fluhrer, etc.: Even easier attacks
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e.g. $3ab + dc = \dots + 390x^{47}$
 all other coeffs in $[-389, 389]$
 and $d = \dots + x^{478} + \dots$

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Then $3ab + dc + kd =$
 $\dots + (390 + k)x^{478} + \dots.$

Decryption fails for big $k.$

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Search for smallest k that fails.

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Does $3ab + dc + kxd$ also fail?

Yes if $xd = \dots + x^{478} + \dots,$
 i.e., if $d = \dots + x^{477} + \dots.$

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Try $x^2kd, x^3kd, \text{ etc.}$

See pattern of d coeffs.

Ball–Goldberg–Schneier,

Adams–Joux, 2000

Barber–Silverman, 2016

etc.: Even easier attacks

on valid messages.

One changes c to

$$\pm x, \dots, c \pm x^{n-1};$$

$$\pm 2x, \dots, c \pm 2x^{n-1};$$

etc.

One changes $3ab + dc$: adds

$$\pm d, \dots, \pm x^{n-1}d;$$

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How to handle inv

Approach 1: Tell u
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 Use signatures to ensure
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If user reuses a key:
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fails for big k .

or smallest k that fails.

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$$d = \dots + x^{478} + \dots,$$

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Approach 2: Modified encryption and decryption to eliminate invalid messages.

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Solution: In decryption, compute all randomness that was used, e.g. after computing c in N^2 compute b from $3ab + dc$.

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Can view (b, c) as message, no further randomness.

“Deterministic encryption.”

Approach 2: Modify encryption and decryption to eliminate invalid messages.

e.g. “IND-CCA” New Hope submission; most submissions.

Basic idea, from Crypto 1999 Fujisaki–Okamoto: After decrypting message, check whether (1) message is valid and (2) ciphertext matches reencryption of message.

But encryption is randomized!
Reencryption won't match.

Solution: In decryption, compute all randomness that was used.

e.g. after computing c in NTRU, compute b from $3ab + dc$.

Can view (b, c) as message, no further randomness.

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“Product NTRU” variant is not naturally deterministic.

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New Hope submissions:

KINDI: 2^{-165} .

⋮

NTRUEncrypt: $< 2^{-128}$.

KCL: $\approx 2^{-60}$.

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Choose random message.

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Brute-force search

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$A = 3a/d$, ciphertext

Can attacker find

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Brute-force search

Attacker is given public key

$A = 3a/d$, ciphertext $C = A^c$

Can attacker find c ?

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Brute-force search

Attacker is given public key

$A = 3a/d$, ciphertext $C = Ab + c$.

Can attacker find c ?

Search $\binom{n}{w} 2^w$ choices of b .

If $c = C - Ab$ is small: done!

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Equivalence

Secret key
 secret key
 secret key

"mess" question:

Figure out

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and many

to prove that all

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good as one-wayness.

no proofs: bugs;

limitations of "ROM"

attacks; assumptions

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$n = 701$, $w = 467$:

$$\binom{n}{w} 2^w \approx 2^{1106.09};$$

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Brute force search

Given public key (A, d) , ciphertext $C = Ab + c$.
Can a hacker find c ?

$\binom{n}{w} 2^w$ choices of b .

– Ab is small: done!

Can we find two different ciphertexts c ? Unlikely. This would be a legitimate decryption.)

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Collision

Write d as d_1 and d_2 .
 $d_1 = \text{bottom } w \text{ bits}$
 $d_2 = \text{remaining } n-w \text{ bits}$

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Collision attacks

Write d as $d_1 + d_2$
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Equivalent keys

Secret key (a, d) is equivalent to
 secret key (xa, xd) ,
 secret key (x^2a, x^2d) , etc.

Search only about $3^n/n$ choices.

$n = 701, w = 467$:

$$\binom{n}{w} 2^w \approx 2^{1106.09};$$

$$3^n \approx 2^{1111.06};$$

$$3^n/n \approx 2^{1101.61}.$$

Exercise: Find more equivalences!

But if w is chosen smaller then

$\binom{n}{w} 2^w$ search will be faster.

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Write d as $d_1 + d_2$ where
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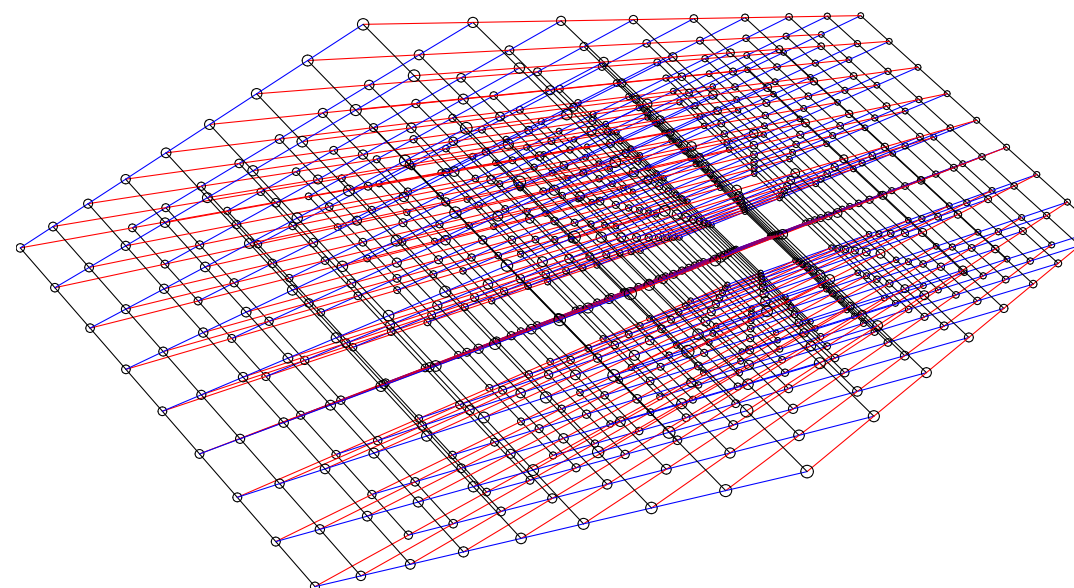
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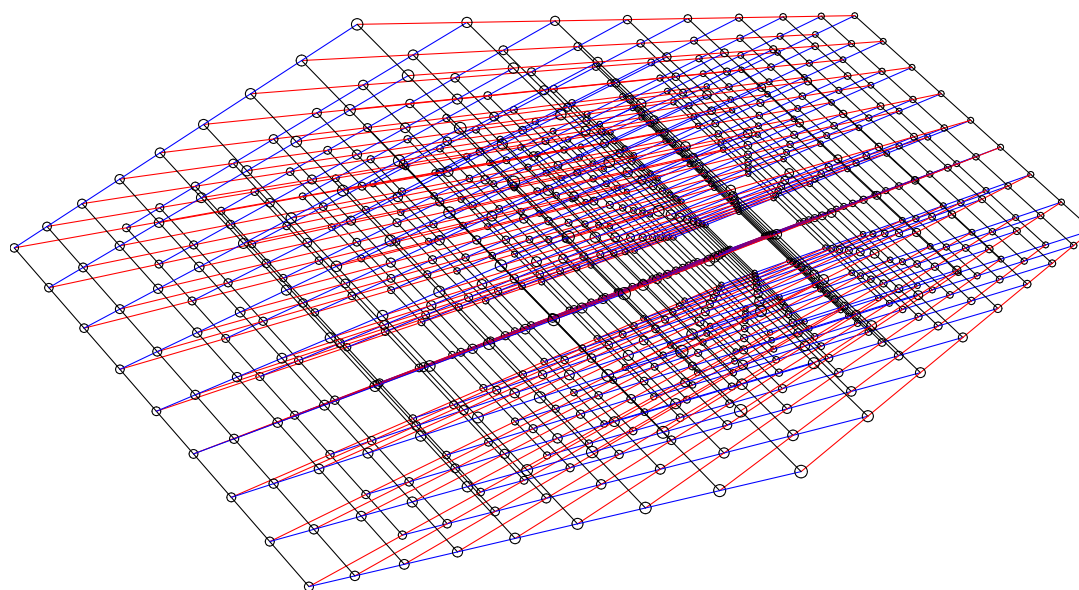
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Lattices,

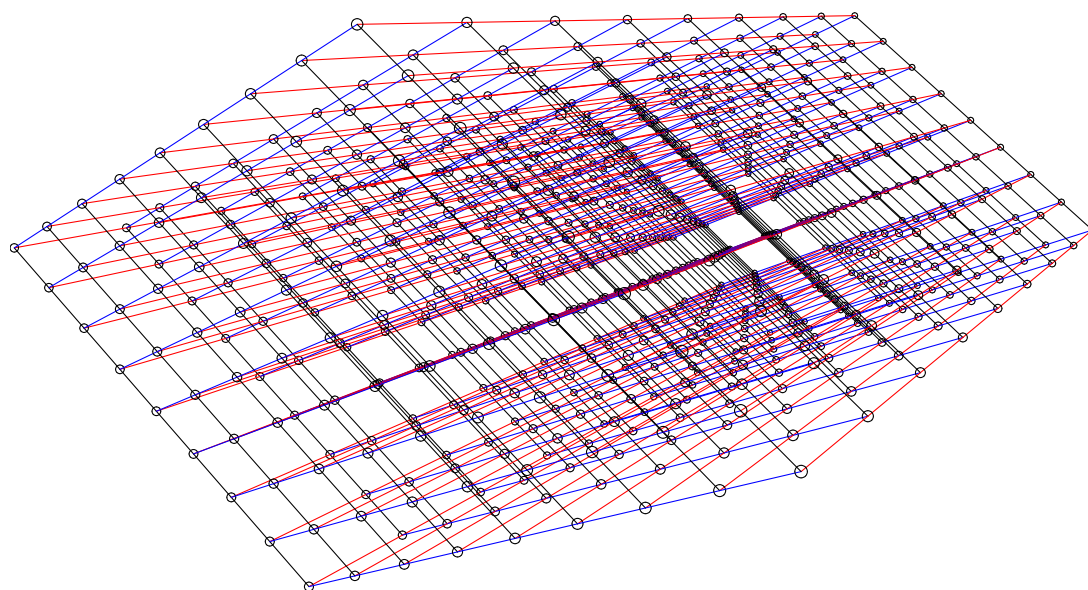
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Lattices, mathematical

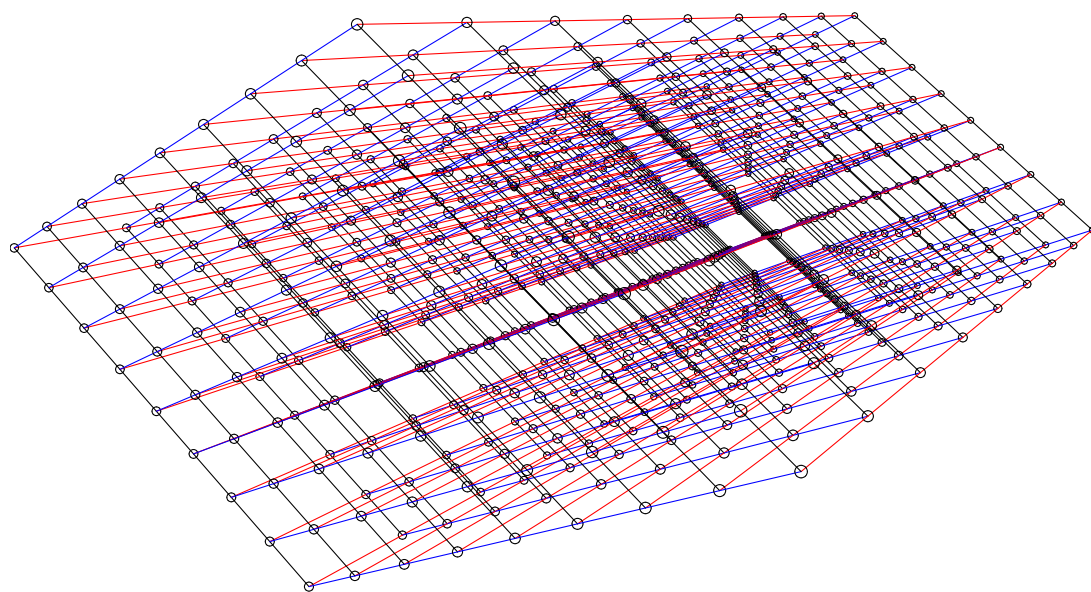
Assume that b_1, b_2, \dots, b_k are \mathbf{R} -linearly independent vectors in \mathbf{R}^k , i.e., $\mathbf{R}b_1 + \dots + \mathbf{R}b_k$ is a k -dimensional lattice.

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Lattices, mathematically

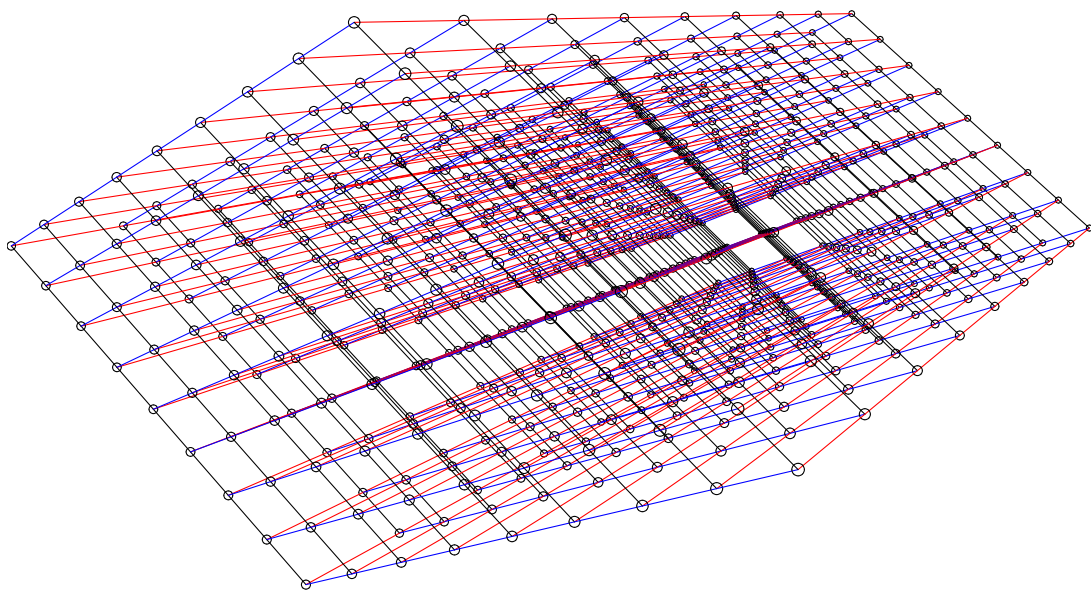
Assume that $b_1, b_2, \dots, b_k \in \mathbb{R}^d$ are \mathbf{R} -linearly independent, i.e., $\mathbf{R}b_1 + \dots + \mathbf{R}b_k = \{r_1 b_1 + \dots + r_k b_k : r_1, \dots, r_k \in \mathbb{R}\}$ is a k -dimensional vector space.

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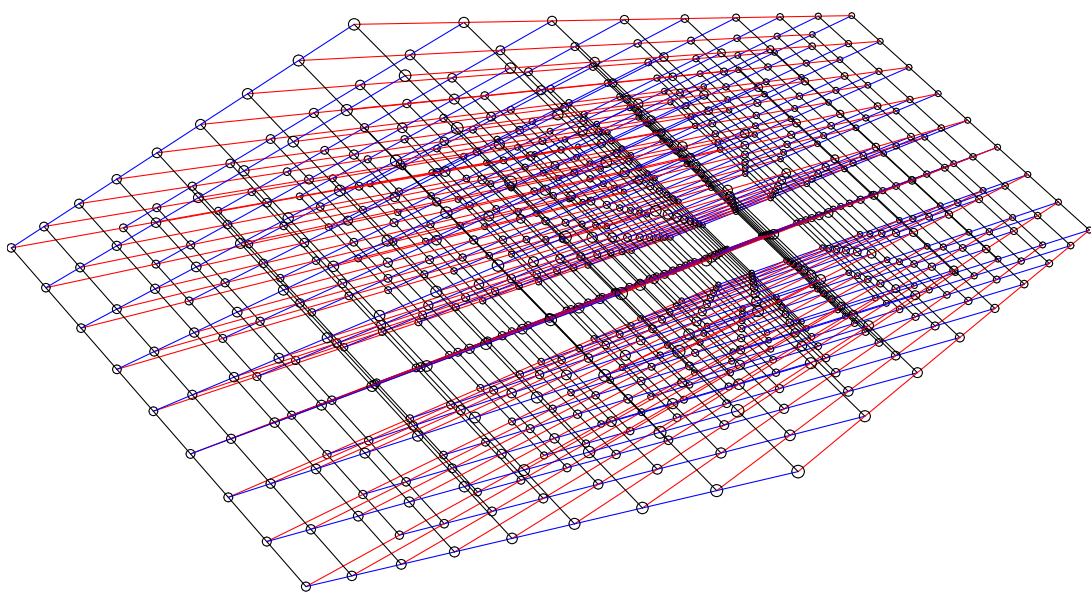
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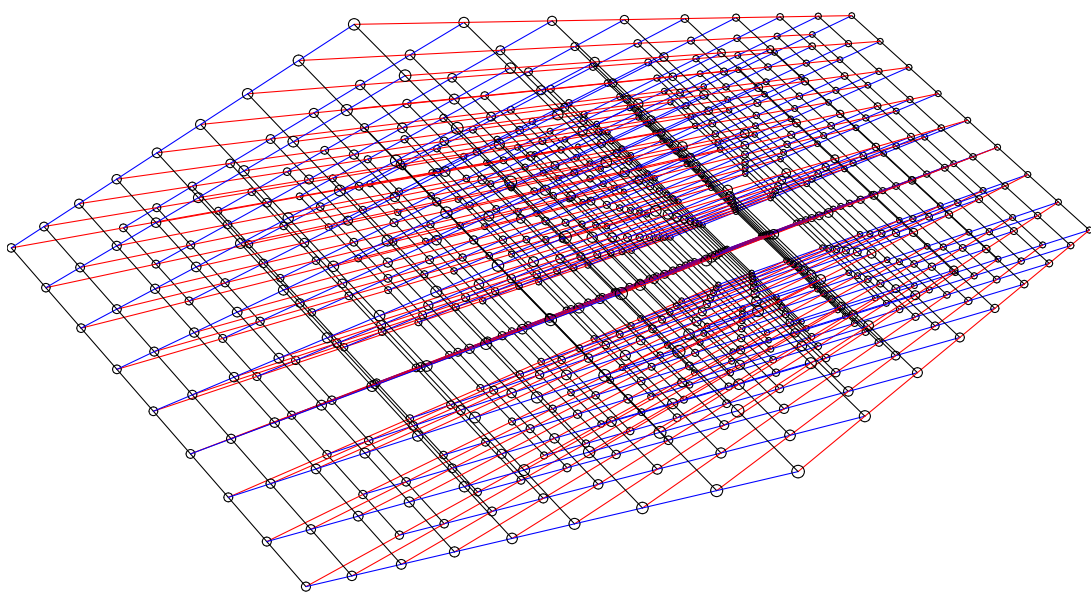
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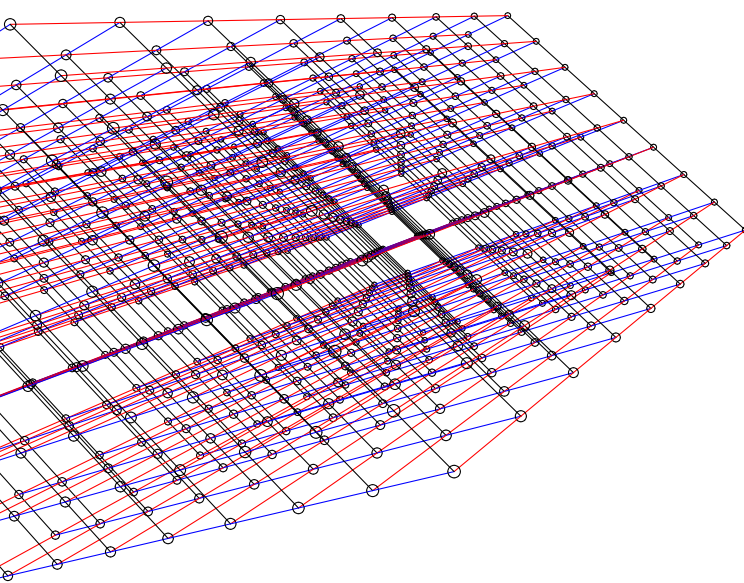
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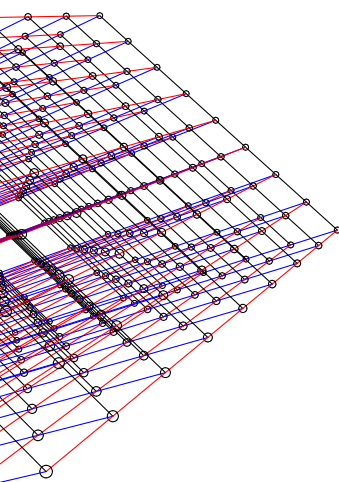
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Lattice view of NT

Given public key A

Compute $A/3 = a$

Short vectors in lattices $\in \mathbf{R}^n$

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Lattice view of NTRU

Given public key $A = 3a/d$.
 Compute $A/3 = a/d$.

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at surprisingly high speed.

Lattice view of NTRU

Given public key $A = 3a/d$.
Compute $A/3 = a/d$.

Short vectors in lattices

Given $b_1, b_2, \dots, b_k \in \mathbf{Z}^n$,
what is shortest vector
in $\mathbf{Z}b_1 + \dots + \mathbf{Z}b_k$?

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What is shortest nonzero vector?

LLL algorithm runs in poly time,
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\vdots

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$(xA/3, x$

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Write $A/3$ as

$H_0 + H_1x + \dots + H_{n-1}x^{n-1}.$

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\dots, x^{n-1}

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is obtained from

$x^2, \dots, qx^{n-1},$

$A/3, \dots, x^{n-1} A/3$

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(a, d) is obtained from

$(q, 0),$

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\vdots

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(a_0, a_1, \dots)

is obtained

$(q, 0, \dots)$

$(0, q, \dots)$

\vdots

$(0, 0, \dots)$

(H_0, H_1, \dots)

(H_{n-1}, H_n, \dots)

\vdots

(H_1, H_2, \dots)

by a few

TRU

$$A = 3a/d.$$

$$/d.$$

1

, subtractions.

d from

$$^{-1}A/3$$

, subtractions.

$$^{n-1},$$

$$^{-1}A/3$$

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(a, d) is obtained from

$$(q, 0),$$

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\vdots

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$(a_0, a_1, \dots, a_{n-1}, 0)$

is obtained from

$$(q, 0, \dots, 0, 0, 0, \dots)$$

$$(0, q, \dots, 0, 0, 0, \dots)$$

\vdots

$$(0, 0, \dots, q, 0, 0, \dots)$$

$$(H_0, H_1, \dots, H_{n-1}, 0)$$

$$(H_{n-1}, H_0, \dots, H_{n-2}, 0)$$

\vdots

$$(H_1, H_2, \dots, H_0, 0)$$

by a few additions

(a, d) is obtained from
 $(q, 0),$
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$(a_0, a_1, \dots, a_{n-1}, d_0, d_1, \dots,$
 is obtained from

$(q, 0, \dots, 0, 0, 0, \dots, 0),$
 $(0, q, \dots, 0, 0, 0, \dots, 0),$
 \vdots
 $(0, 0, \dots, q, 0, 0, \dots, 0),$
 $(H_0, H_1, \dots, H_{n-1}, 1, 0, \dots,$
 $(H_{n-1}, H_0, \dots, H_{n-2}, 0, 1, \dots,$
 \vdots
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 is obtained from
 $(q, 0, \dots, 0, 0, 0, \dots, 0)$,
 $(0, q, \dots, 0, 0, 0, \dots, 0)$,
 \vdots
 $(0, 0, \dots, q, 0, 0, \dots, 0)$,
 $(H_0, H_1, \dots, H_{n-1}, 1, 0, \dots, 0)$,
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 \vdots
 $(H_1, H_2, \dots, H_0, 0, 0, \dots, 1)$
 by a few additions, subtractions.

obtained from

0),

k),

$(3, x^{n-1})$

by additions, subtractions.

/3 as

$$x + \dots + H_{n-1}x^{n-1}.$$

$(a_0, a_1, \dots, a_{n-1}, d_0, d_1, \dots, d_{n-1})$

is obtained from

$$(q, 0, \dots, 0, 0, 0, \dots, 0),$$

$$(0, q, \dots, 0, 0, 0, \dots, 0),$$

⋮

$$(0, 0, \dots, q, 0, 0, \dots, 0),$$

$$(H_0, H_1, \dots, H_{n-1}, 1, 0, \dots, 0),$$

$$(H_{n-1}, H_0, \dots, H_{n-2}, 0, 1, \dots, 0),$$

⋮

$$(H_1, H_2, \dots, H_0, 0, 0, \dots, 1)$$

by a few additions, subtractions.

(a_0, a_1, \dots)

is a surp

in lattice

$(q, 0, \dots)$

from

$$(a_0, a_1, \dots, a_{n-1}, d_0, d_1, \dots, d_{n-1})$$

is obtained from

$$(q, 0, \dots, 0, 0, 0, \dots, 0),$$

$$(0, q, \dots, 0, 0, 0, \dots, 0),$$

⋮

$$(0, 0, \dots, q, 0, 0, \dots, 0),$$

$$(H_0, H_1, \dots, H_{n-1}, 1, 0, \dots, 0),$$

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⋮

$$(H_1, H_2, \dots, H_0, 0, 0, \dots, 1)$$

by a few additions, subtractions.

$$H_{n-1}x^{n-1}.$$

$$(a_0, a_1, \dots, a_{n-1}, c)$$

is a surprisingly sh

in lattice generate

$$(q, 0, \dots, 0, 0, 0, \dots)$$

$$(a_0, a_1, \dots, a_{n-1}, d_0, d_1, \dots, d_{n-1})$$

is obtained from

$$(q, 0, \dots, 0, 0, 0, \dots, 0),$$

$$(0, q, \dots, 0, 0, 0, \dots, 0),$$

⋮

$$(0, 0, \dots, q, 0, 0, \dots, 0),$$

$$(H_0, H_1, \dots, H_{n-1}, 1, 0, \dots, 0),$$

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⋮

$$(H_1, H_2, \dots, H_0, 0, 0, \dots, 1)$$

by a few additions, subtractions.

$$(a_0, a_1, \dots, a_{n-1}, d_0, d_1, \dots,$$

is a surprisingly short vector

in lattice generated by

$$(q, 0, \dots, 0, 0, 0, \dots, 0) \text{ etc.}$$

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$$(0, 0, \dots, q, 0, 0, \dots, 0),$$

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$(q, 0, \dots, 0, 0, 0, \dots, 0)$ etc.

Attacker searches for short vector

in this lattice using LLL etc.

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$(q, 0, \dots, 0, 0, 0, \dots, 0),$

$(0, q, \dots, 0, 0, 0, \dots, 0),$

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$(0, 0, \dots, q, 0, 0, \dots, 0),$

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$(H_{n-1}, H_0, \dots, H_{n-2}, 0, 1, \dots, 0),$

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1997 Coppersmith–Shamir

balancing: e.g., set up lattice
to contain $(10a, d)$

if d is chosen $10\times$ larger than a .

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is obtained from

$(q, 0, \dots, 0, 0, 0, \dots, 0),$

$(0, q, \dots, 0, 0, 0, \dots, 0),$

\vdots

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Exercise: Describe search for
 (b, c) as a problem of finding
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$\dots, a_{n-1}, d_0, d_1, \dots, d_{n-1})$

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$\dots, 0, 0, 0, \dots, 0),$

$\dots, 0, 0, 0, \dots, 0),$

$\dots, q, 0, 0, \dots, 0),$

$\dots, H_{n-1}, 1, 0, \dots, 0),$

$H_0, \dots, H_{n-2}, 0, 1, \dots, 0),$

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$(d_0, d_1, \dots, d_{n-1})$

$(\dots, 0),$

$(\dots, 0),$

$(\dots, 0),$

$(\dots, 1, 0, \dots, 0),$

$(\dots, -2, 0, 1, \dots, 0),$

$(\dots, 0, \dots, 1)$

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Quotient NTRU v

“Quotient NTRU”

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for small random a

i.e., $dA - 3a = 0$

$d_{n-1})$ $(a_0, a_1, \dots, a_{n-1}, d_0, d_1, \dots, d_{n-1})$

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 $(q, 0, \dots, 0, 0, 0, \dots, 0)$ etc.

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..., 0),

1997 Coppersmith–Shamir
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Quotient NTRU vs. product

“Quotient NTRU” (new name)
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$(a_0, a_1, \dots, a_{n-1}, d_0, d_1, \dots, d_{n-1})$

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1997 Coppersmith–Shamir
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Bob sends $C = Ab + c$ in R_q .
Alice computes dC in R_q ,
i.e., $3ab + dc$ in R_q .

$(a_0, a_1, \dots, a_{n-1}, d_0, d_1, \dots, d_{n-1})$

is a surprisingly short vector
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i.e., $3ab + dc$ in R_q .

Alice reconstructs $3ab + dc$ in R ,
using smallness of a, b, d, c .

Alice computes dc in R_3 ,
deduces c , deduces b .

$\dots, a_{n-1}, d_0, d_1, \dots, d_{n-1})$

surprisingly short vector

generated by

$(\dots, 0, 0, 0, \dots, 0)$ etc.

searches for short vector

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Hoppersmith–Shamir

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“Product

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Everyone

Alice gen

for small

$(d_0, d_1, \dots, d_{n-1})$
 short vector
 d by
 $(\dots, 0)$ etc.
 for short vector
 g LLL etc.
 –Shamir
 t up lattice
)
 larger than a .
 e search for
 n of finding
 n lattice.

Quotient NTRU vs. product NTRU

“Quotient NTRU” (new name)
 is the structure we’ve seen:

Alice generates $A = 3a/d$ in R_q
 for small random a, d :
 i.e., $dA - 3a = 0$ in R_q .

Bob sends $C = Ab + c$ in R_q .

Alice computes dC in R_q ,
 i.e., $3ab + dc$ in R_q .

Alice reconstructs $3ab + dc$ in R ,
 using smallness of a, b, d, c .

Alice computes dc in R_3 ,
 deduces c , deduces b .

“Product NTRU”
 2010 Lyubashevsky
 Everyone knows ra
 Alice generates A
 for small random a

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Bob sends $B = Gb + e$ in R_q

and $C = m + Ab + c$ in R_q

where b, c, e are small and

each coefficient of m is 0 or $q/2$.

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Alice computes $C - aB$ in R_q ,

i.e., $m + db + c - ae$ in R_q .

Alice reconstructs m ,

using smallness of d, b, c, a, e .