

Integer factorization:

a progress report

D. J. Bernstein

Thanks to:

University of Illinois at Chicago

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Alfred P. Sloan Foundation

Exercise for the reader:

Find a nontrivial factor of

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Larger primes are

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www.loria.fr/~zimmerm

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Latest record: NFS has found
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How much more difficult
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www.loria.fr/~zimmerma/records/rsa200

NFS step 1: find a

NFS tries to factor
inspecting values of

Select integer $m \in$
find integers f_5, f_4
with $n = f_5 m^5 +$
for various integers
 $(a - bm)(f_5 a^5 + f_4$

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NFS step 1: find attractive m 's

NFS tries to factor n by inspecting values of a polynomial.

Select integer $m \in [n^{1/6}, n^{1/5}]$;
find integers f_5, f_4, \dots, f_0
with $n = f_5 m^5 + f_4 m^4 + \dots + f_0$;
for various integers a, b inspect
 $(a - bm)(f_5 a^5 + f_4 a^4 b + \dots + f_0 b^5)$.

Practically every choice of m will succeed in factoring n .

Better speed from smaller values
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e.g. $n = 31415926535897932384626433832795028841971693993751058209749445974047761179292959646698916669186010579951619523262943656412987217676236911516684893869136223837645237920939981508171844866564128834141991$
Can choose $m = 1370$
 $f_5 = 314, f_4 = 15926535897932384626433832795028841971693993751058209749445974047761179292959646698916669186010579951619523262943656412987217676236911516684893869136223837645237920939981508171844866564128834141991$
 $f_2 = 358, f_1 = 97$

NFS succeeds in factoring n
by inspecting values of
 $(a - 1000b)(314a^5 + 15926535897932384626433832795028841971693993751058209749445974047761179292959646698916669186010579951619523262943656412987217676236911516684893869136223837645237920939981508171844866564128834141991b + \dots + 97b^5)$
for various integers a, b .

But NFS succeeds in factoring n
using $m = 1370$, i.e.
 $(a - 1370b)(65a^5 + 15926535897932384626433832795028841971693993751058209749445974047761179292959646698916669186010579951619523262943656412987217676236911516684893869136223837645237920939981508171844866564128834141991b + \dots + 97b^5)$
 $38a^3b^2 + 377a^2b^3$

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with $n = f_5 m^5 + f_4 m^4 + \dots + f_0$;
for various integers a, b inspect
 $(a - bm)(f_5 a^5 + f_4 a^4 b + \dots + f_0 b^5)$.

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Better speed from smaller values
 $(a - bm)(f_5 a^5 + f_4 a^4 b + \dots + f_0 b^5)$.

e.g. $n = 314159265358979323$:

Can choose $m = 1000$,
 $f_5 = 314, f_4 = 159, f_3 = 265,$
 $f_2 = 358, f_1 = 979, f_0 = 323$.

NFS succeeds in factoring n
by inspecting values
 $(a - 1000b)(314a^5 + \dots + 323b^5)$
for various integer pairs (a, b) .

But NFS succeeds more quickly
using $m = 1370$, inspecting
 $(a - 1370b)(65a^5 + 130a^4b +$
 $38a^3b^2 + 377a^2b^3 + 127ab^4 + 33b^5)$.

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NFS step 1: Construct

2^{45} possible choices

Quickly identify, e.g.

2^{25} attractive candidates

Will choose one m

If $|a| \leq SR$ and $|b|$

$|a - bm| \leq \mu(m, S)R^6$

where

$(mS^{-1} + S)(|f_5 S^5$

Attractive m, S : see

(1999 Murphy)

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2^{45} possible choices of m .

Quickly identify, e.g.,

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Will choose one m in step 2.

If $|a| \leq SR$ and $|b| \leq S^{-1}R$ then

$|(a - bm)(f_5a^5 + \dots + f_0b^5)| \leq$

$\mu(m, S)R^6$ where $\mu(m, S) =$

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Attractive m, S : small $\mu(m, S)$.

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Choosing one typical $m \approx n^{1/6}$
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Question: How much time do we
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 m, S with $\mu(m, S) \approx B^{-1}n^{2/6}$?

This has as much impact as
chopping $\approx 3 \lg B$ bits out of n .

Searching for good values of m
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(If not, consider more m 's!)

End up with rather large B .

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Four answers:

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by searching consecutive m 's.

Time $B^{6+o(1)}$ by skipping

through m 's with small f_5, f_4 .

Time $B^{4.5+o(1)}$ to find $m \approx B^1n^{1/6}$

with $\mu(m, B^{0.75}) \approx B^{-1}n^{2/6}$.

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Time $B^{3.5+o(1)}$ by partly

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New method uses 4-dimensional
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Many lower-level speedups,
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NFS step 2: choose

Previous step inspired
Kept the attractive
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NFS step 2: Evaluate
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Merit evaluation is
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Given H, m, f_5, \dots
Consider integer p
with $b > 0$ and \gcd
How many values
 $(a - bm)(f_5 a^5 + \dots)$
are in $[-H, H]$?

μ bound is quite c

Instead enumerate
count a 's for each
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Given H, m, f_5, \dots, f_0 :

Consider integer pairs (a, b)
with $b > 0$ and $\gcd\{a, b\} = 1$.

How many values

$$(a - bm)(f_5 a^5 + \dots + f_0 b^5)$$

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μ bound is quite crude.

Instead enumerate b 's,
count a 's for each b .

(Silverman, Contini, Lenstra)

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Faster (2004 Bern

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$\{(a, b) \in \mathbf{R} \times \mathbf{R} : \dots\}$

Number of qualify

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$(3/\pi^2)H^{2/6} \int_{-\infty}^{\infty} a$

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Evaluate superellip

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Faster (2004 Bernstein):

Numerically approximate
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Number of qualifying pairs
is extremely close to

$$(3/\pi^2) H^{2/6} \int_{-\infty}^{\infty} dx / (f(x)^2)^{1/6}$$

where

$$f(x) = (x - m)(f_5 x^5 + \dots + f_0).$$

Evaluate superelliptic integral
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f_0 :
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(Schnorr, Lenstra)

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Simplified definition

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$$(a - bm)(f_5a^5 + \dots + f_0b^5).$$

Won't be able to use values
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Merit of $m \approx$ chance that

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Simplified definition of

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Try to account for
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(Schroeppel, Murp

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 $(a - bm)(f_5 a^5 + \dots + f_0 b^5)$
will be fully factored.

Simplified definition of
“fully factored”: “ 2^{40} -smooth,”
i.e., no prime divisors $> 2^{40}$.

What is chance that
 $(a - bm)(f_5 a^5 + \dots + f_0 b^5)$
will be fully factored,
given that it is in $[-H, H]$?

Try to account for
roots modulo small primes.
(Schroeppel, Murphy, et al.)

Can do this accurately.
(2002 Bernstein)

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cr.yp.to/papers.html#psi

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NFS step 3: find s

Have integer m , p

$f(x) = (x - m)(f$

Consider values b^6

$(a - bm)(f_5 a^5 +$

NFS step 3: Choo

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Simplified definition
 $\leq 2^{12}$.

(Serious misconception)

“Sieving”: Consider
array of 2^{15} consecutive

For each small prime

Mark a 's with
 $b^6 f(a/b)$ divisible

Can jump quickly
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“Sieving”: Consider one b , array of 2^{15} consecutive a 's.

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Can jump quickly through these a 's: they lie in a few arithmetic progressions mod p .

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Example:

For primes $p \in [2^{12}, 2^{15}]$
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8 or 9 array entries

Always mark 9 entries
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NFS can use $b^6 f(a/b)/q$

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Number of $b^6 f(a/b)/q$ in $[-H, H]$ is proportional to $q^{-2/3}$.

Can choose surprisingly small H and compensate by using many q 's.

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NFS step 4: early

Have many pairs (a, b)

For each $b^6 f(a/b)$

small prime divisor

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NFS step 4: Choose

Discard all values

not-yet-factored pairs

How to choose L ?

Balance time for step

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NFS step 4: early abort

Have many pairs (a, b) .

For each $b^6 f(a/b)$, know

small prime divisors

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NFS step 4: Choose L .

Discard all values $b^6 f(a/b)$ with
not-yet-factored parts above L .

How to choose L ? Answer:

Balance time for step 5

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NFS step 5: Identify
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Should replace “24”
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NFS step 5: fully factor

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With proper balan

time roughly $RT(\dots)$

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(1982 Pomerance)

Want 12 as large a

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But want 12 below

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sieving fits into $L1$

cr.yp.to/bib/entries.

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Assume that original values are smooth with probability $1/R$; step 3 spends time S per value; step 5 spends time T per value.

With proper balance, time roughly $RT(S/T)^{12/40}$ to find one smooth value.
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Want 12 as large as possible to move from RT towards RS . But want 12 below 15, and want 15 small so that sieving fits into L1 cache.

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cr.yp.to/bib/entries.html#1982/pomerance

Traditional algorithm

For each pair (a, b)
use ECM to find p
dividing $b^6 f(a/b)$.

Complications save
“rho,” more aborts

Much faster to handle
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(2000 Bernstein)

Save even more time
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Traditional algorithm for step 5: For each pair (a, b) separately, use ECM to find primes $\leq 2^{40}$ dividing $b^6 f(a/b)$.

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Streamlined batch
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Streamlined batch algorithm

(2004 Bernstein):

Multiply primes $\leq 2^{40}$
in pairs, pairs of pairs, etc.,
to obtain their product P .
Relies on fast disk-based
multiplication of huge integers.

Compute $P \bmod v$ for each value v .
Relies on fast division.

Now a value v is smooth iff
 $(P \bmod v)^{2^{\lceil \lg \lg v \rceil}} \bmod v = 0$.

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cr.yp.to/papers.html#smoothparts

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Compute P with “FFT doubling”:
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Reduce communication costs.

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<http://www.sharcs.org>: new
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NFS step 6: linear

Have some pairs (a, b)
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NFS step 6: linear algebra

Have some pairs (a, b)
with complete factorizations
of the values $b^6 f(a/b)$.

NFS step 6: Find nonempty subset
of pairs (a, b) for which $a - bm$ and
 $a - b\alpha$ both have square product.
Here $\alpha \neq m$ is a root of f .

Do this by finding a linear
dependency among vectors mod 2.
Guaranteed to succeed
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Choose prime bound 2^{40}
to minimize total time of
linear algebra and previous steps.

Larger bound would minimize time
of previous steps, but then linear
algebra would be a bottleneck.
Reduce bound to balance linear
algebra with previous steps.

This balancing means
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NFS step 7: square

Have some pairs (m)

Product of $a - bm$

Product of $a - b\alpha$

NFS step 7: Use p

factor n , maybe n

Simplest method,

$\sqrt{\prod (a - b\alpha)}$, is n

Other methods in

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NFS step 7: square roots

Have some pairs (a, b) .

Product of $a - bm$ is square.

Product of $a - b\alpha$ is square.

NFS step 7: Use pairs to
factor n , maybe nontrivially.

Simplest method, computing
 $\sqrt{\prod (a - b\alpha)}$, is not a bottleneck.
Other methods in literature are a
waste of programmer time.