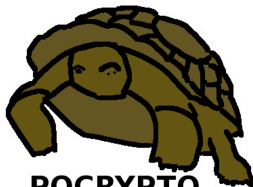


Cryptanalysis of NISTPQC submissions

Daniel J. Bernstein, Tanja Lange, Lorenz Panny

University of Illinois at Chicago, Technische Universiteit Eindhoven



PQCRYPTO
ICT-645622

18 August 2018

Workshops on Attacks in Cryptography

NSA announcements

August 11, 2015

IAD recognizes that there will be a move, in the not distant future, to a quantum resistant algorithm suite.



NSA announcements

August 11, 2015

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August 19, 2015

IAD will initiate a transition to quantum resistant algorithms in the not too distant future.



Post-quantum cryptography

- ▶ 2015 Finally even NSA admits that the world needs post-quantum crypto.
- ▶ 2016 Every agency posts something ([NCSC UK](#), [NCSC NL](#), [NSA](#) (broken certificate!)).
- ▶ 2016 NIST announces call for submissions to post-quantum project, solicits submissions on signatures, encryption, and key exchange.

Post-quantum cryptography

- ▶ 10 years of motivating people to work on post-quantum crypto.
- ▶ 2015 Finally even NSA admits that the world needs post-quantum crypto.
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NIST Post-Quantum “Competition”

December 2016, after public feedback: NIST **calls for submissions** of post-quantum cryptosystems to standardize.

30 November 2017: NIST **receives 82 submissions**.

Overview from Dustin Moody's (NIST) talk at Asiacrypt:

	Signatures	KEM/Encryption	Overall
Lattice-based	4	24	28
Code-based	5	19	24
Multi-variate	7	6	13
Hash-based	4		4
Other	3	10	13
Total	23	59	82



“Complete and proper” submissions

21 December 2017: NIST posts [69 submissions](#) from 260 people.

BIG QUAKE. BIKE. CFPKM. Classic McEliece. Compact LWE. CRYSTALS-DILITHIUM. CRYSTALS-KYBER. DAGS. Ding Key Exchange. DME. DRS. DualModeMS. Edon-K. EMBLEM and R.EMBLEM. FALCON. FrodoKEM. GeMSS. Giophantus. Gravity-SPHINCS. Guess Again. Gui. HILA5. HiMQ-3. HK17. HQC. KINDI. LAC. LAKE. LEDAkem. LEDApkc. Lepton. LIMA. Lizard. LOCKER. LOTUS. LUOV. McNie. Mersenne-756839. MQDSS. NewHope. NTRUencrypt. NTRU-HRSS-KEM. NTRU Prime. NTS-KEM. Odd Manhattan. OKCN/AKCN/CNKE. Ouroboros-R. Picnic. pqNTRUSign. pqRSA encryption. pqRSA signature. pqsigRM. QC-MDPC KEM. qTESLA. RaCoSS. Rainbow. Ramstake. RankSign. RLCE-KEM. Round2. RQC. RVB. SABER. SIKE. SPHINCS+. SRTPI. Three Bears. Titanium. WalnutDSA.



Attack timeline: month 0

2017.12.18 Bernstein–Groot Bruinderink–Panny–Lange:
attack script breaking CCA for HILA5



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⚠: submitter has claimed patent on submission.

Warning: Other people could also claim patents.

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2018.01.01 Bernstein, building on Bernstein–Lange, Wang–Malluhi,
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- 2018.01.23 Beullens: another attack reducing WalnutDSA♣♣ security level



Attack timeline: subsequent events

2018.02.01 Beullens: attack breaking WalnutDSA☢

Attack timeline: subsequent events

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2018.02.07 Fabsic–Hromada–Zajac: attack breaking CCA for LEDA

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2018.04.03 Debris–Alazard–Tillich: attack breaking RankSign;
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Color coding: **total break**; **partial break**



HILA5

- ▶ HILA5 is a RLWE-based KEM submitted to NISTPQC.

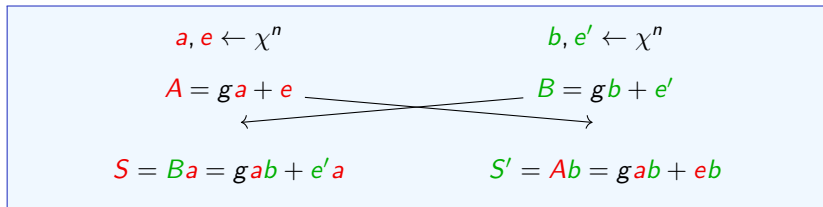
*This design also provides **IND-CCA secure** KEM-DEM public key encryption if used in conjunction with an appropriate AEAD such as NIST approved AES256-GCM.*

— HILA5 NIST submission document (v1.0)

- ▶ Decapsulation much faster than encapsulation (and faster than any other scheme).
- ▶ No mention of a CCA transform (e.g. Fujisaki–Okamoto).

Noisy Diffie–Hellman

- ▶ Have a ring $R = \mathbf{Z}[x]/(q, \varphi)$ where $q \in \mathbf{Z}$ and $\varphi \in \mathbf{Z}[x]$.
degree n
- ▶ Let χ be a narrow distribution around $0 \in R$.
- ▶ Fix some “random” element $g \in R$.

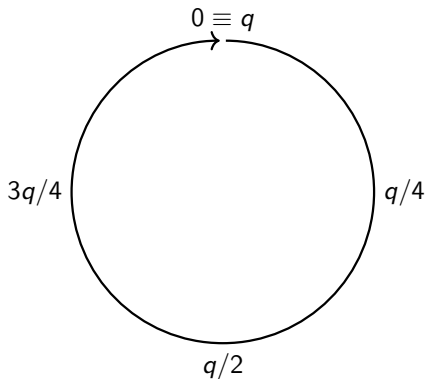


$$\implies S - S' = e'a - eb \approx 0$$

\uparrow
 χ small

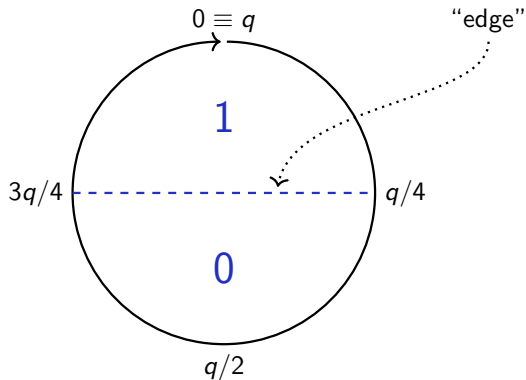
Reconciliation

Alice and Bob obtain close secret vectors $S, S' \in (\mathbf{Z}/q)^n$.
How to map coefficients to bits?



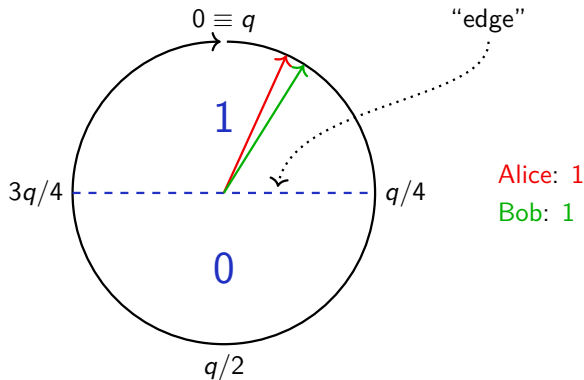
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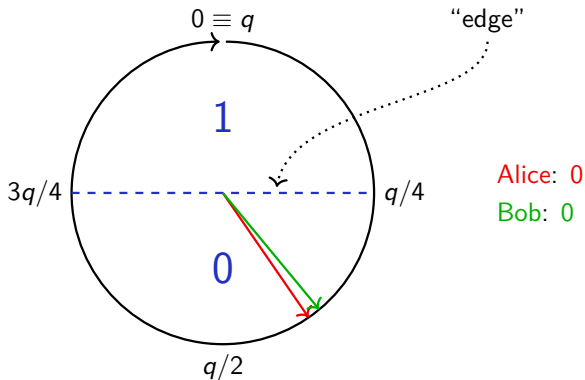
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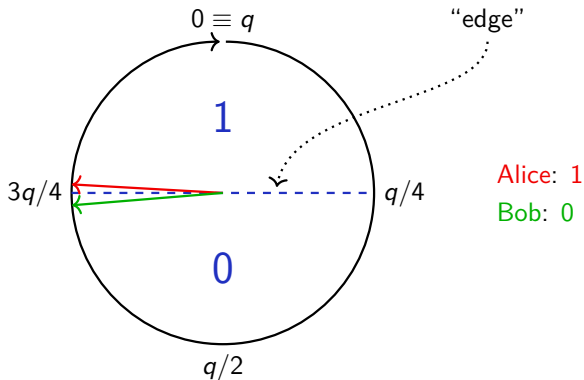
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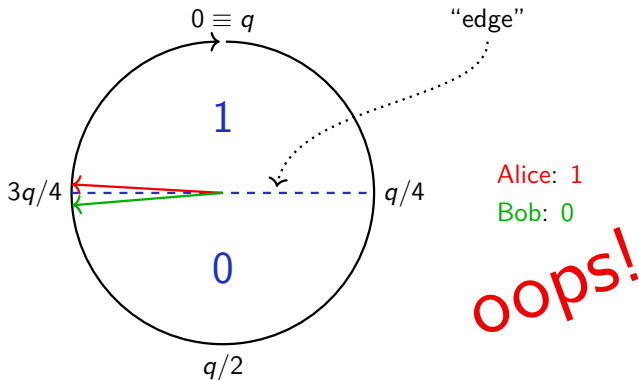
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Reconciliation

Mapping coefficients to bits using fixed intervals is bad.



Reconciliation

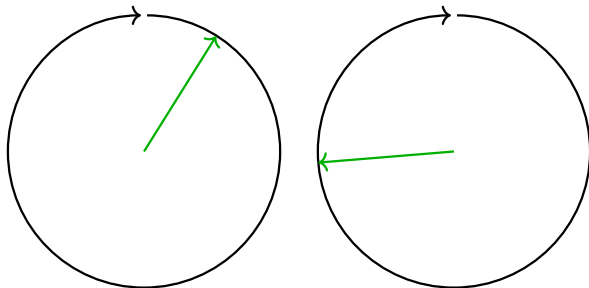
Mapping coefficients to bits using fixed intervals is bad.

Better: **Bob** chooses a mapping based on his coefficient and tells **Alice** which mapping he used.

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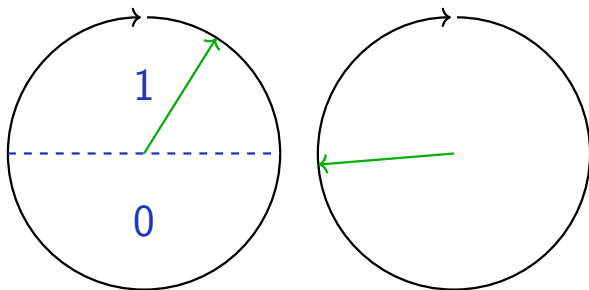
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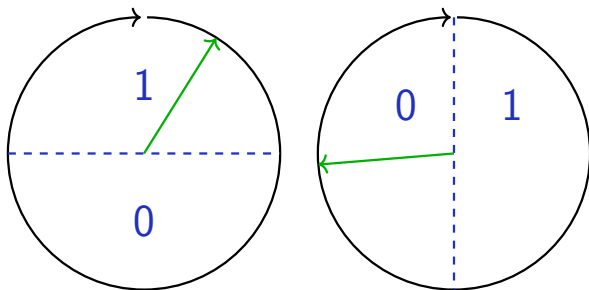
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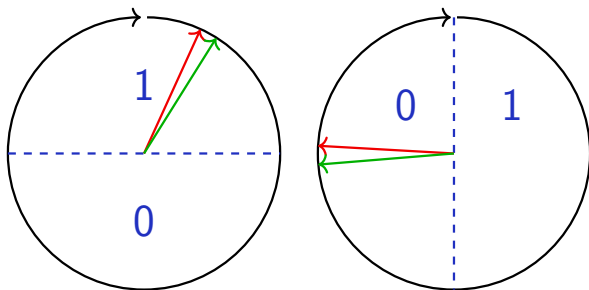
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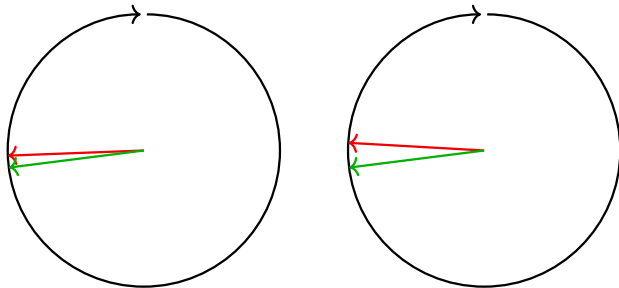
Fluhrer's attack <https://ia.cr/2016/085>

Problem: **Evil Bob** can trick **Alice** into leaking information by deliberately using the wrong mapping for one coefficient.



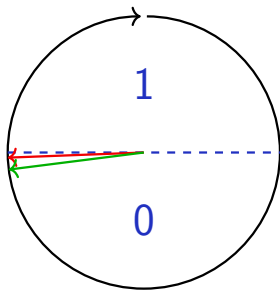
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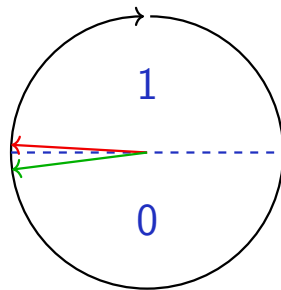


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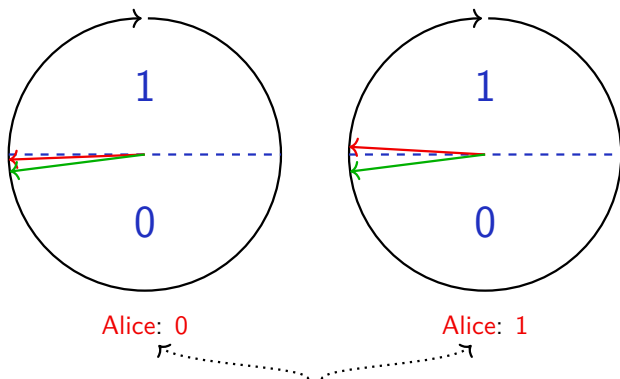
Alice: 0



Alice: 1

Fluhrer's attack <https://ia.cr/2016/085>

Problem: **Evil Bob** can trick **Alice** into leaking information by deliberately using the wrong mapping for one coefficient.



Evil Bob can distinguish these cases!
(He knows all the other key bits.)

Chosen-ciphertext information leaks

Evil Bob has two guesses k_0, k_1 for what Alice's key k will be given his manipulated public key B .



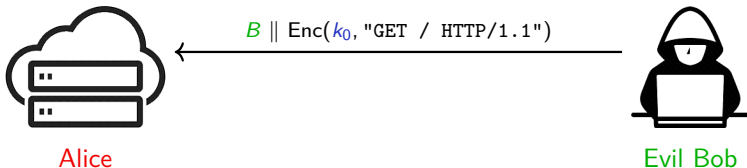
Alice



Evil Bob

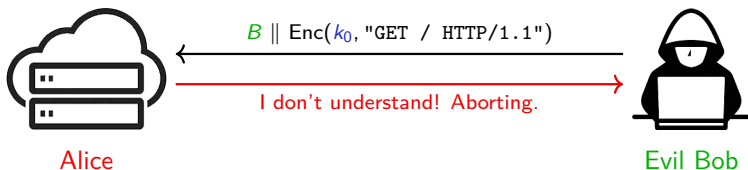
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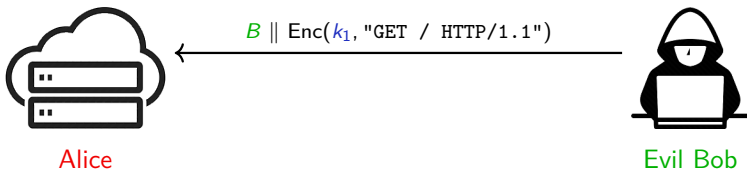
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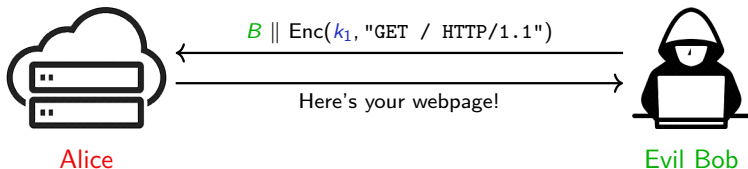
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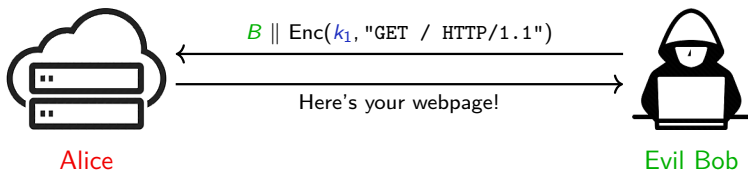
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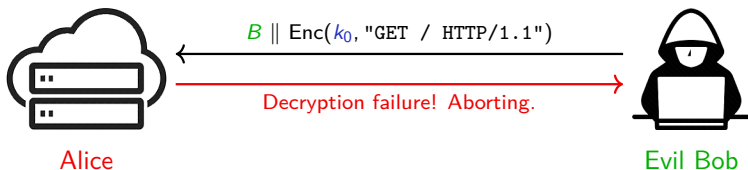
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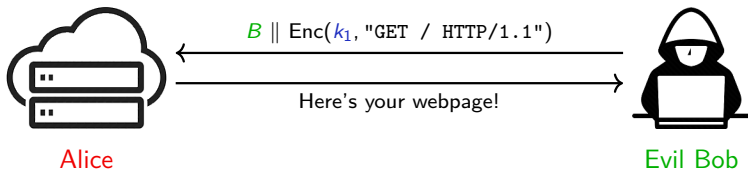


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Suppose Evil Bob knows b_δ such that $gab_\delta[0] = \overset{\text{edge}}{\downarrow} M + \delta$.

\implies Querying Alice with $b = b_\delta$ leaks whether $-e'a[0] > \delta$.

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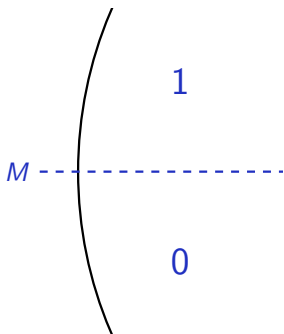
Structure of R

\rightsquigarrow Can choose e' such that $e'a[0] = a[i]$ to recover all of a .



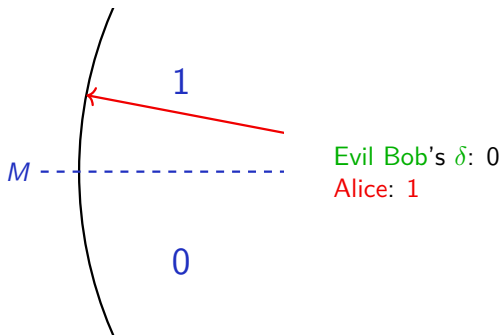
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Querying Alice with $b = b_\delta$ and $e' = 1$ leaks whether $-a[0] > \delta$.



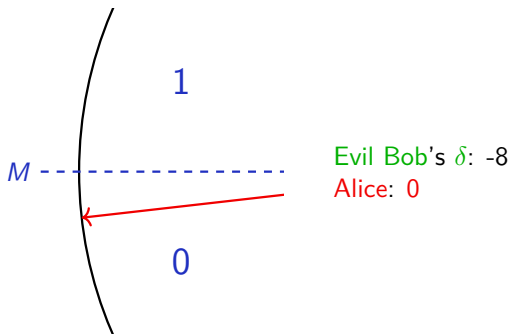
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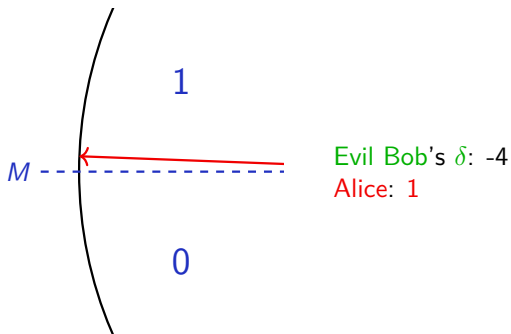
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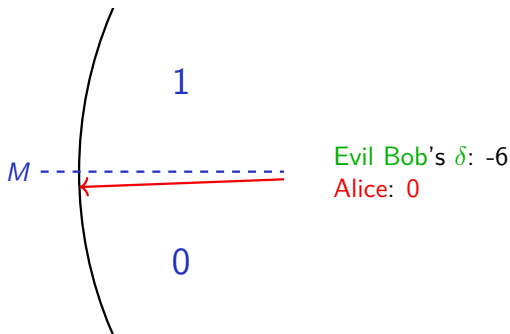
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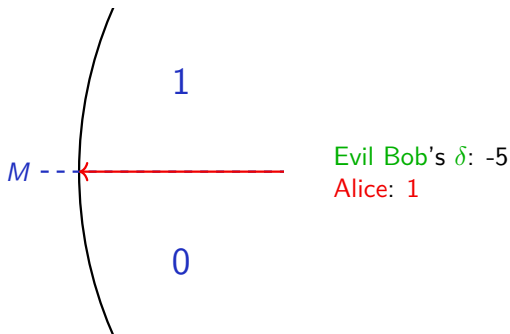
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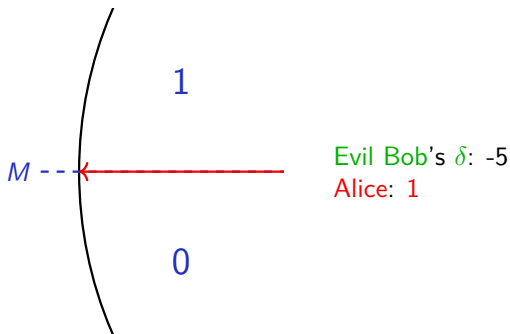
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Querying Alice with $b = b_\delta$ and $e' = 1$ leaks whether $-a[0] > \delta$.




\implies Evil Bob learns that $a[0] = 5$.




Our work

Adaption of Fluhrer's attack to HILA5 and analysis

- ▶ Standard noisy Diffie–Hellman with new reconciliation.

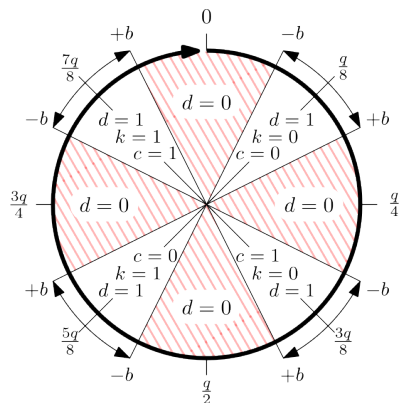
- ▶ Standard noisy Diffie–Hellman with new reconciliation.
- ▶ Ring: $\mathbf{Z}[x]/(q, x^{1024} + 1)$ where $q = 12289$.¹
- ▶ Noise distribution χ : $\underline{\Psi}_{16}$.¹  on $\{-16, \dots, 16\}$

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- ▶ Noise distribution $\chi: \Psi_{16}$.¹  on $\{-16, \dots, 16\}$
- ▶ New reconciliation mechanism:
 - ▶ Only use “safe bits” that are far from an edge.
 - ▶ Additionally apply an error-correcting code.

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HILA5's reconciliation



(picture: HILA5 documentation)

For each coefficient:

$d = 0$: Discard coefficient.

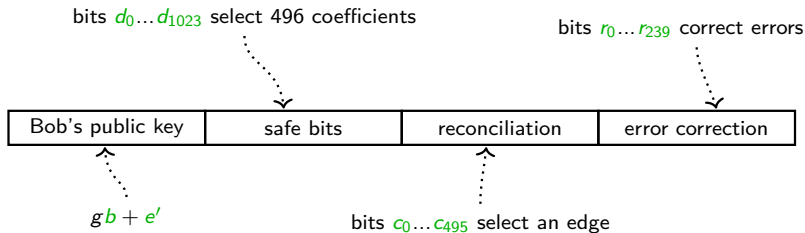
$d = 1$: Send reconciliation information c ; use for key bit k .

Edges:

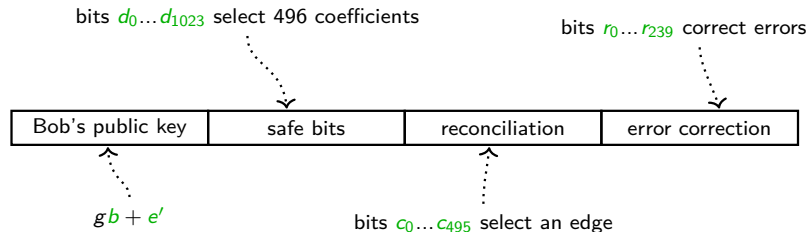
$c = 0$: $\lceil 3q/8 \rceil \dots \lceil 7q/8 \rceil \rightsquigarrow k = 0$.
 $\lceil 7q/8 \rceil \dots \lceil 3q/8 \rceil \rightsquigarrow k = 1$.

$c = 1$: $\lceil q/8 \rceil \dots \lceil 5q/8 \rceil \rightsquigarrow k = 0$.
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HILA5's packet format



HILA5's packet format



We're going to manipulate each of these parts.

Unsafe bits



We want to attack the first coefficient.

Unsafe bits

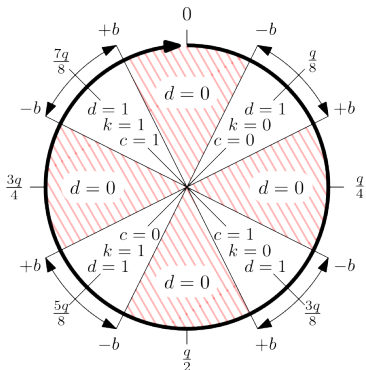


We want to attack the first coefficient.
 \implies Force $d_0 = 1$ to make *Alice* use it.

Living on the edge



We want to attack the edge at $M = \lceil q/8 \rceil$.



Living on the edge

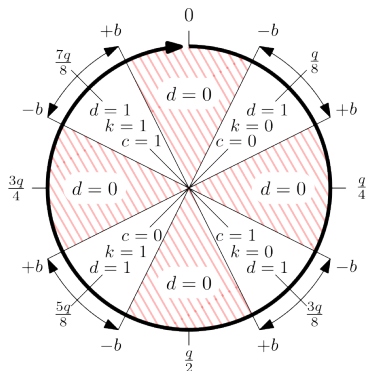
$gb + e'$

safe bits

reconciliation

error correction

We want to attack the edge at $M = \lceil q/8 \rceil$. \implies Force $c_0 = 1$.



Making errors



- ▶ HILA5 uses a custom linear error-correcting code XE5.
- ▶ Encrypted (XOR) using part of **Bob's** shared secret S' .
- ▶ Ten variable-length codewords $R_0 \dots R_9$.
- ▶ **Alice** corrects $S[0]$ using the first bit of each R_i .
- ▶ Capable of correcting (at least) 5-bit errors.

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We want to keep errors in $S[0]$. \implies Flip the first bit of $R_0 \dots R_4$!

All coefficients for the price of one



Our binary search recovers $e'a[0]$ from $gab_\delta + e'a$ by varying δ .
How to get $a[1]$, $a[2]$, ..?

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How to get $a[1]$, $a[2]$, ..?

By construction of $R = \mathbf{Z}[x]/(q, x^{1024} + 1)$,
Evil Bob can rotate $a[i]$ into $e'a[0]$ by setting $e' = -x^{1024-i}$.

Running the search for all i yields all coefficients of a .

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Recall that Evil Bob needs b_δ such that $gab_\delta[0] = M + \delta$.
How to obtain b_δ without knowing a ?

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If b_0 was wrong, the recovered coefficients are all 0 or -1 .

⇒ easily detectable.



Implementation

- ▶ Our code¹ attacks the HILA5 reference implementation.
- ▶ 100% success rate in our experiments.
- ▶ Less than 6000 queries (virtually always).

(Note: **Evil Bob** could recover fewer coefficients and compute the rest by solving a lattice problem of reduced dimension.)

¹<https://helaas.org/hila5-20171218.tar.gz>



TUJE KWALITEITSGARANTIE
SMEIJG TOT OP DE BODEM

BRON VAN BOUWSTOFFEN*

BRON VAN VITAMINE A & D

HILA5
PINDAKAAS

STUKJES PINDA

Per 100g
100 kcal

HK17

“HK17 consists broadly in a Key Exchange Protocol (KEP) based on non-commutative algebra of hypercomplex numbers limited to quaternions and octonions. In particular, this proposal is based on non-commutative and non-associative algebra using octonions.”

Security analysis: “. . . In our protocol, we could not find any ways to proceed with any abelianization of our octonions non-associative Moufang loop [29] or reducing of the GSDP problem of polynomial powers of octonions to a finitely generated nilpotent image of the given free group in the cryptosystem and a further nonlinear decomposition attack. We simply conclude that Roman'kov attacks do not affect our proposal.”

What are octonions?

R: set of real numbers.

C: set of complex numbers; dim-2 **R**-vector space.

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Exercise: Every $q \in \mathbf{O}$ has $q^2 = tq - n$ and $q^* = t - q$ for some $t, n \in \mathbf{R}$.



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Use integers modulo prime p instead of real numbers.
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Shared secret: $a^m(b^krb^\ell)a^n = b^k(a^mra^n)b^\ell$.

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$$q^m (q^k r q^\ell) q^n = q^k (q^m r q^n) q^\ell.$$

$a^m (b^k r b^\ell) a^n = b^k (a^m r a^n) b^\ell$ because a, b are polynomials in q .



A fast attack, and a faster attack

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So every polynomial in q is a linear combination of $1, q$.
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Even faster: Attacker solves $a^m r a^n = (q + x)r(yq + z)$.
Eight equations in three variables x, y, z ; linearize.
This was the second attack script: practically instantaneous.



RaCoSS – Random Code-based Signature Schemes

- ▶ System parameters: $n = 2400$, $k = 2060$.
Random matrix $H \in \mathbf{F}_2^{(n-k) \times n}$.
- ▶ Secret key: sparse $S \in \mathbf{F}_2^{n \times n}$.
- ▶ Public key: $T = H \cdot S$. (looks pretty random).
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Implementation bug:

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...compares only the first 300 coefficients!

Thus, a signature with $c[0\dots299] = 0$ is accepted for

$$\binom{2100}{3} / \binom{2400}{3} \approx 67\%$$

of all messages.

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- ▶ Only

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possible outputs.

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- ▶ Expected time for a preimage on ≈ 100 cores: 10 hours.
- ▶ crashed while brute-forcing: memory leaks
- ▶ another message signed by the first KAT:

NISTPQC is so much fun! 10900qmmP

Wait, there is more!

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Pick $n - k$ columns of H that form an invertible matrix H_1 .
- ▶ Compute $z = (z_1 || 00 \dots 0)$ by linear algebra.
- ▶ Expected weight of z is $\approx (n - k)/2 = 170 \ll 1564$.
- ▶ Properly generated signatures have $\text{weight}(z) \approx 261$.



RaCoSS – Summary

- ▶ Bug in code: bit vs. byte confusion meant only every 8th bit verified.
- ▶ Preimages for RaCoSS' special hash function: only

$$\binom{2400}{3} = 2301120800 \sim 2^{31.09}$$

possible outputs.

- ▶ The code dimensions give a lot of freedom to the attacker – our forged signature is better than a real one!

Code-based encryption

BIG QUAKE
Classic McEliece
LAKE
LOCKER
DAGS
LEDAkem
LEDAPkc
Lepton
McNie

Edon-K†
BIKE☢
HQC☢
NTS-KEM☢
Ouroboros-R☢
QC-MDPC KEM☢
RQC☢
RLCE-KEM☢

†: submitter has withdrawn submission.

Lattice-based encryption

CRYSTALS-KYBER
EMBLEM and R.EMBLEM
FrodoKEM
KINDI
LAC
LIMA
LOTUS
NewHope
NTRUEncrypt
NTRU-HRSS-KEM

NTRU Prime
Odd Manhattan
SABER
Titanium
HILA5
Ding Key Exchange☢☢
Lizard☢
KCL OKCN/AKCN/CNKE☢☢
Round2☢☢
Compact LWE☢



Other encryption

SIKE: isogeny-based encryption



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CFPKM: multivariate encryption

SRTPI†: multivariate encryption

DME☢: multivariate encryption

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Guess Again: hard to classify

HK17†: hard to classify

RVB†: hard to classify

Signatures

Gravity-SPHINCS: hash-based

Picnic: hash-based

SPHINCS+: hash-based

DualModeMS: multivariate

GeMSS: multivariate

HiMQ-3: multivariate

LUOV: multivariate

Giophantus: multivariate

Gui[⚠]: multivariate

MQDSS[⚠]: multivariate

Rainbow[⚠]: multivariate

pqRSA: factoring-based

CRYSTALS-DILITHIUM: lattice-based

qTESLA: lattice-based

DRS: lattice-based

FALCON[⚠]: lattice-based

pqNTRUSign[⚠]: lattice-based

pqsigRM: code-based

RaCoSS: code-based

RankSign[†]: code-based

WalnutDSA[⚠]: braid-group

Further resources

- ▶ <https://2017.pqcrypto.org/school>: PQCRYPTO summer school with 21 lectures on video + slides + exercises.
- ▶ <https://2017.pqcrypto.org/exec>: Executive school (12 lectures), less math, more overview. So far slides, soon videos.
- ▶ <https://pqcrypto.org>: Our survey site.
 - ▶ Many pointers: e.g., to PQCrypto conferences.
 - ▶ Bibliography for 4 major PQC systems.
- ▶ <https://pqcrypto.eu.org>: PQCRYPTO EU project.
 - ▶ Expert recommendations.
 - ▶ Free software libraries.
 - ▶ More video presentations, slides, papers.
- ▶ https://twitter.com/pqc_eu: PQCRYPTO Twitter feed.
- ▶ <https://twitter.com/PQCryptoConf>: PQCrypto conference Twitter feed.
- ▶ <https://csrc.nist.gov/projects/post-quantum-cryptography/round-1-submissions>
NIST PQC competition.

